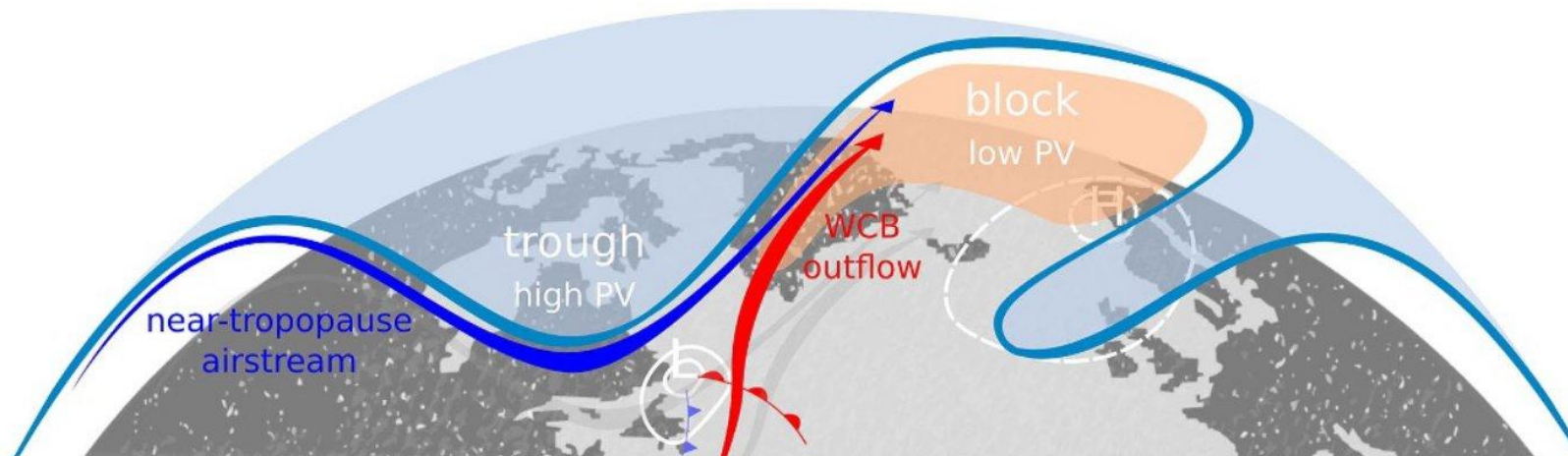


WORKSHOP ON ATMOSPHERIC BLOCKING & EXTREME WEATHER IN A CHANGING CLIMATE  
18 - 20 MARCH 2024, BOULDER

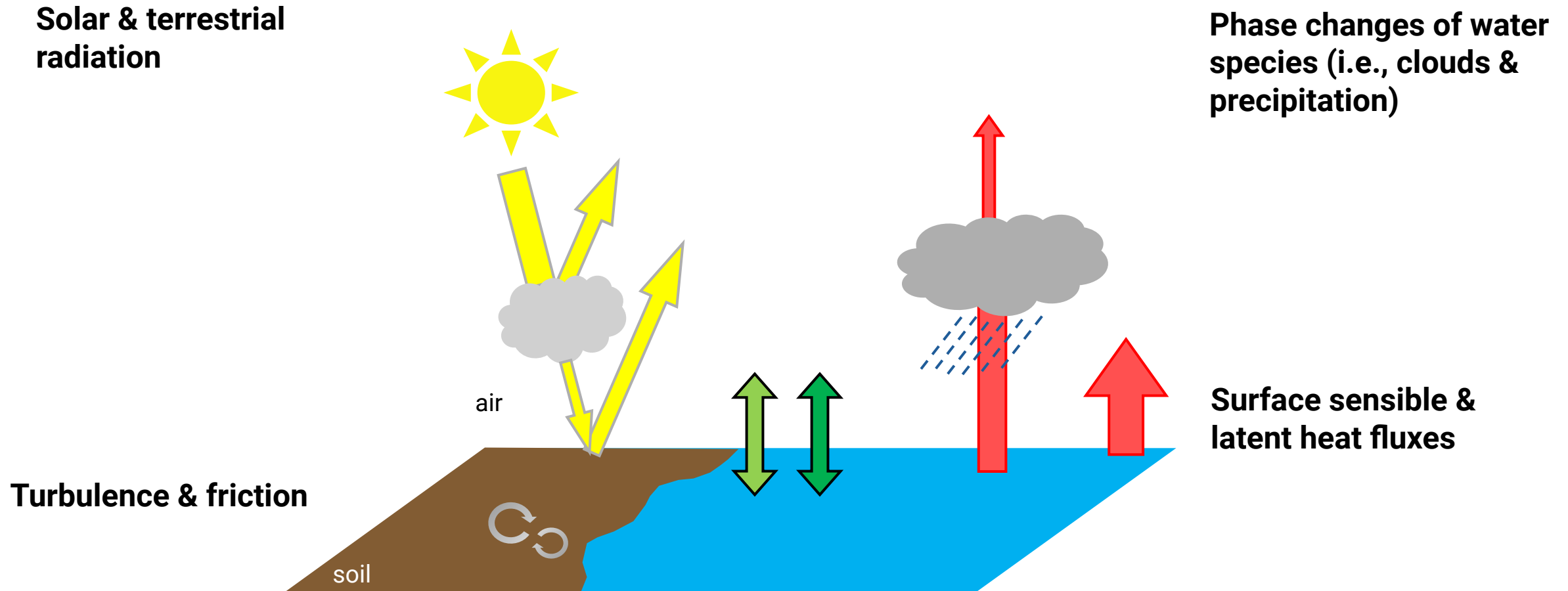
# Contradictory results concerning the relative importance of dry and moist processes in blocking dynamics: Is it the perspective that matters?

Seraphine Hauser

*with valuable contributions from Christian M. Grams, Michael Riemer, Franziska Teubler, Jan Wandel, Dominik Büeler, Julian F. Quinting, Peter Knippertz, Daniel Steinfeld, Volkmar Wirth, Christopher Polster, ...*



# SESSION | Diabatic processes and feedbacks



# Effects of diabatic processes on blocking

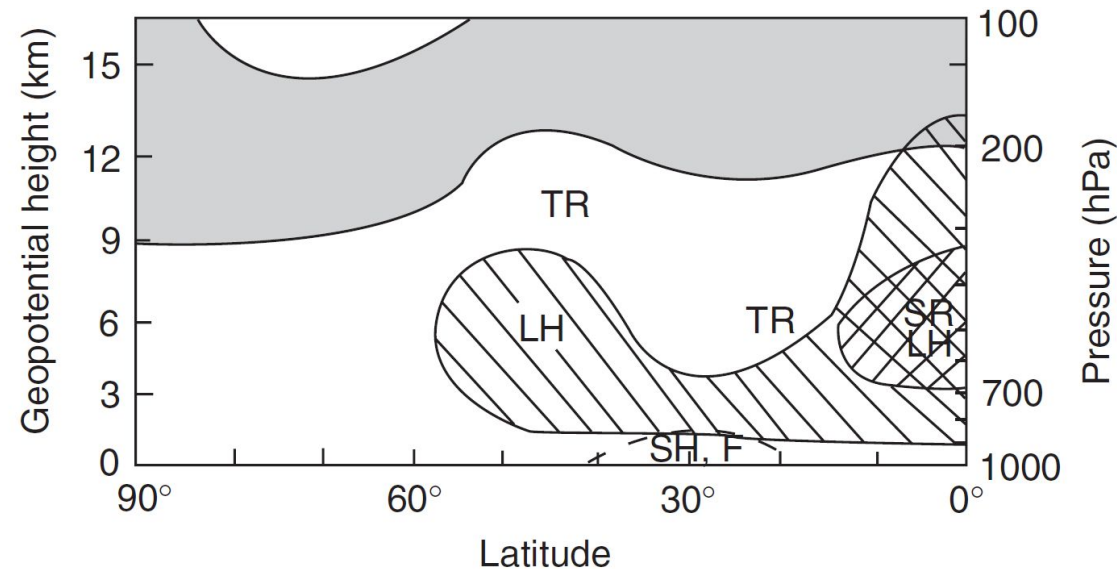
## Solar & terrestrial radiation

(e.g., Zierl and Wirth, 1997; Chagnon et al., 2013; Teubler and Riemer, 2016)

Lubis et al.

## Turbulence & friction

*predominantly neglected*



R. Grotjahn, in *Encyclopedia of Atmospheric Sciences*, 2003

## Phase changes of water species (i.e., clouds & precipitation)

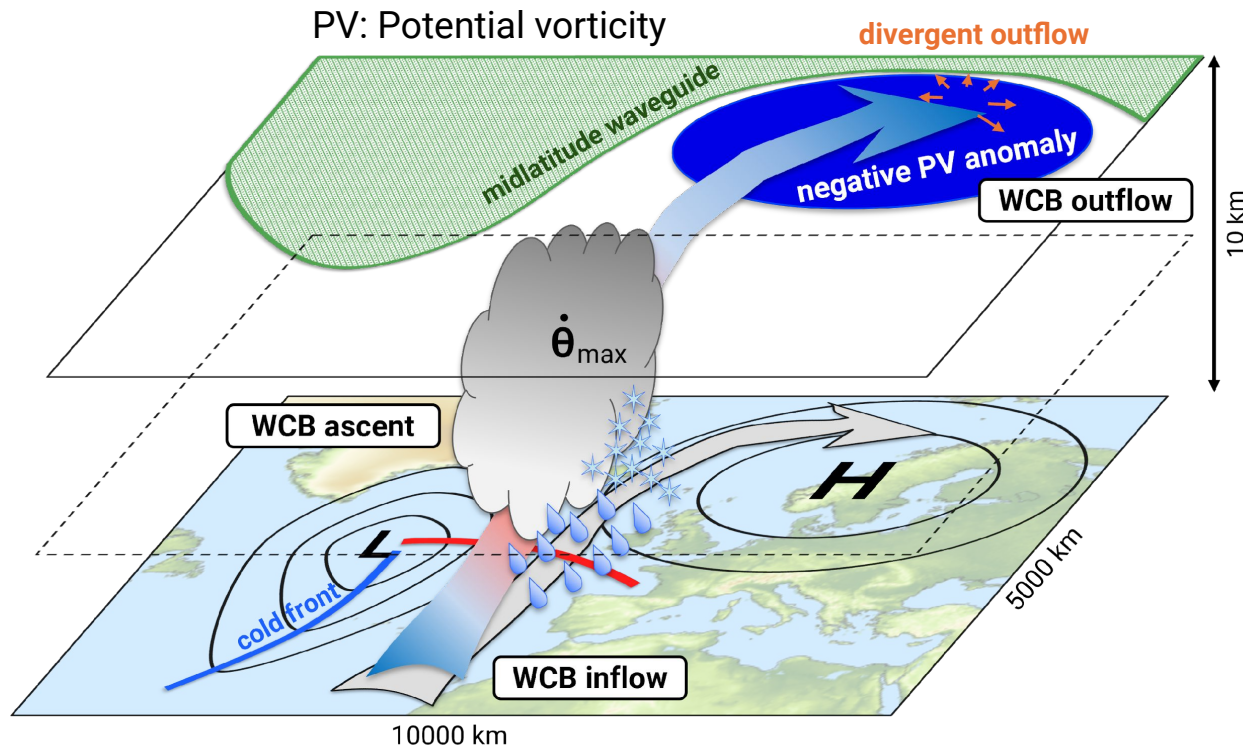
Liu and Wang

## Surface sensible & latent heat fluxes

(e.g. Fischer et al., 2007; Wenta et al., 2024; Yamamoto et al., 2021)

Neal and Nakamura

# Latent heat release in mid-latitudes



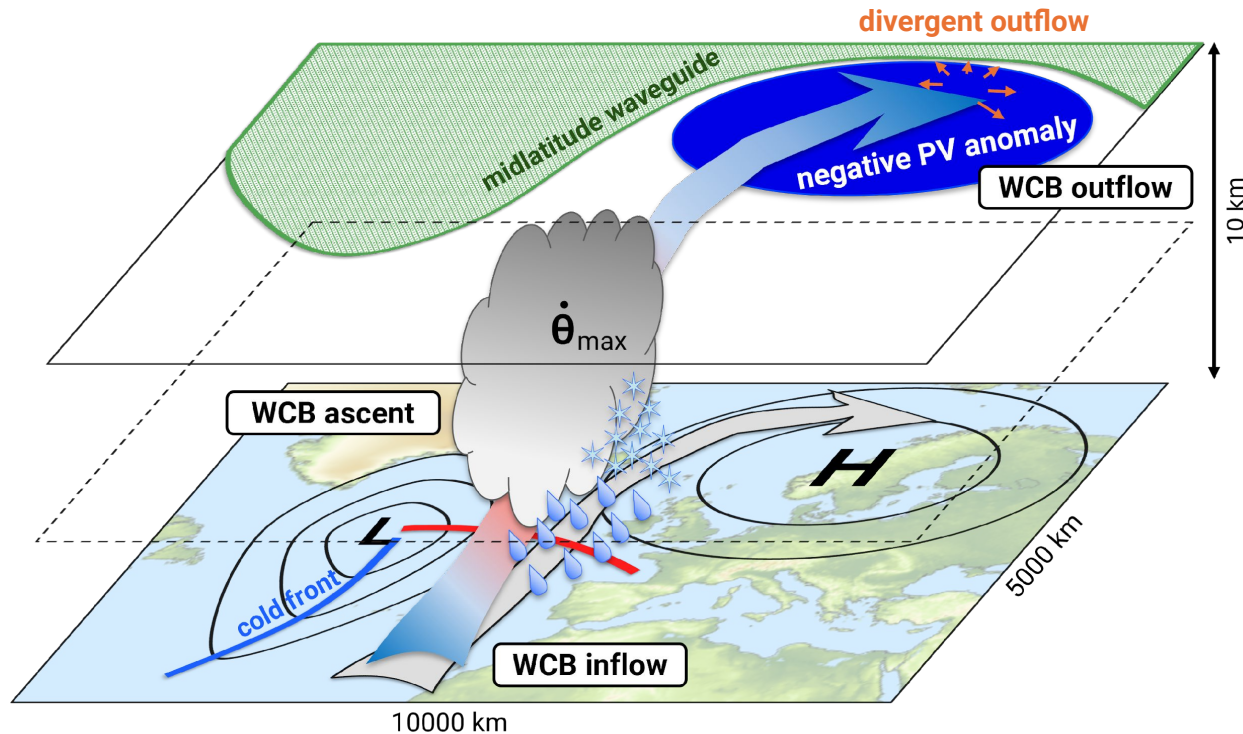
adjusted figure from Quinting and Grams (2022, JAS)

## Warm conveyor belt (WCB)

- strongly ascending air stream in the vicinity of extratropical cyclones (Wernli and Davies, 1997)
- formation of large amounts of precipitation (Pfahl et al. 2014)
- latent heat release through cloud formation processes (Madonna et al. 2014)
- large-scale flow modification through ridge amplification (Grams et al. 2011)

Hot spots of WCBs: midlatitude storm-track region (Madonna et al. 2014)

# Latent heat release in mid-latitudes



adjusted figure from Quinting and Grams (2022, JAS)

## Warm conveyor belt (WCB)

- Identification traditionally with trajectory analysis (Wernli and Davies, 1997)

$$\Delta p_{48h} > 600 \text{ hPa}$$

**WCB inflow**

$p > 800\text{hPa}$

**WCB ascent**

$400\text{hPa} < p < 800\text{hPa}$

**WCB outflow**

$p < 400\text{hPa}$

- Novel Eulerian identification using deep learning (Quinting and Grams, 2022)

WCB footprints from Eulerian fields

**WCB inflow**

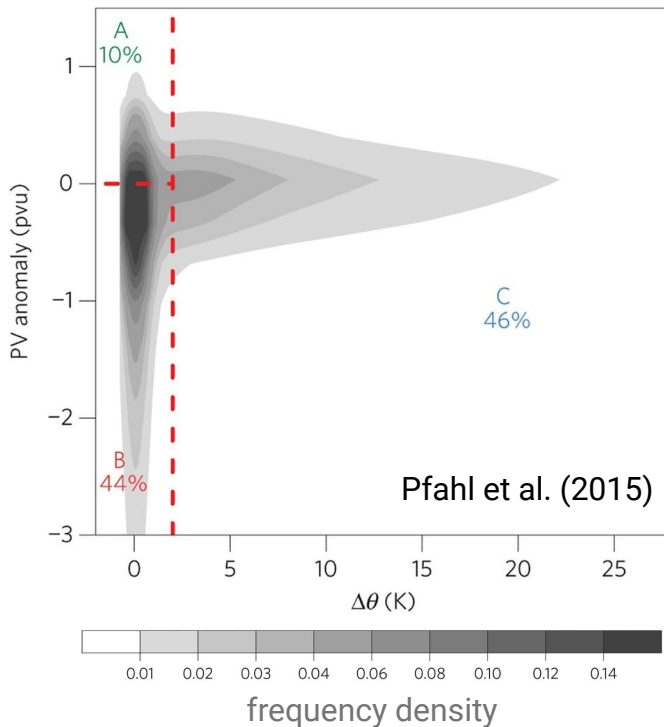
**WCB ascent**

**WCB outflow**

# Importance of latent heat release for blocking

Key work done by Stephan Pfahl and colleagues, mainly from a Lagrangian perspective

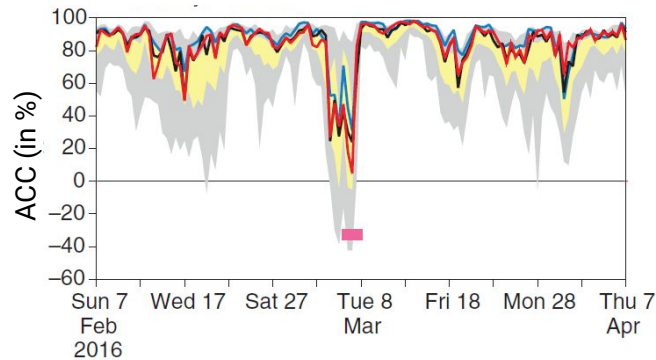
## Main findings



- Up to 46% of air masses involved in blocking (NH) are heated in the days before their arrival in the block (Pfahl et al., 2015)
- Moist-diabatic blocks develop faster than dry-adiabatic blocks, are more intense and larger in extent, and often long-lived (Steinfeld and Pfahl, 2019)
- Switching off latent heat release upstream of blocking leads to a functional weakening up to the elimination of blocking (Steinfeld et al., 2020)
- With climate change, stronger latent heating (+1K) points to an increased importance of moist processes for future blocks (Steinfeld et al., 2022)

# Do moist processes limit predictability?

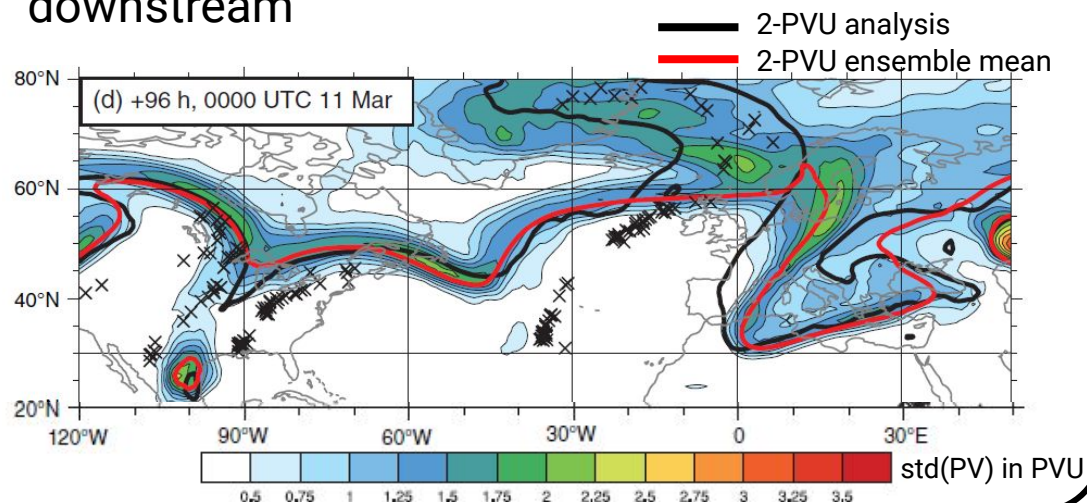
## European Blocking "forecast bust" (March 2016)



Forecast bust associated with onset of strong blocking over Europe

## Misrepresentation of the WCB upstream of Europe

- amplification and propagation of forecast error downstream

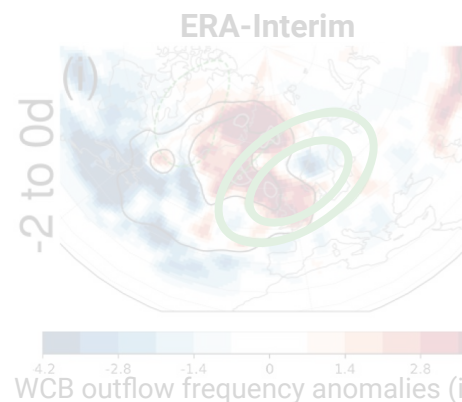


Magnusson (2017), Grams et al. (2018)

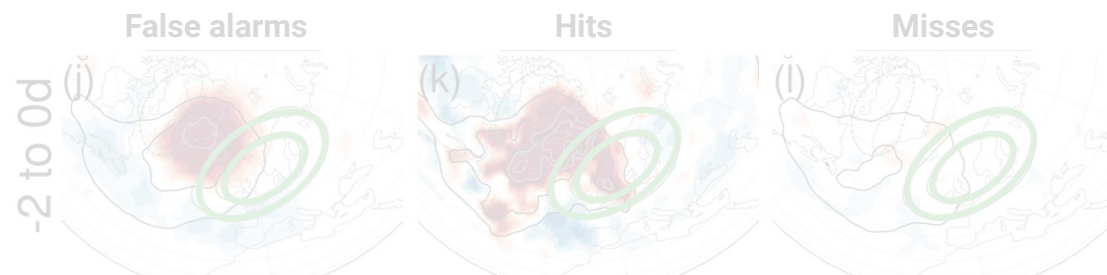
## WCB activity and European blocking predictability

ECMWF IFS reforecasts (1997-2017), winter

Blocking onset between lead time 10-15 days



Above-average WCB outflow upstream of blocking region shortly before onset



Forecasts that miss blocking onset over Europe don't have the increased WCB activity upstream

Wandel et al., in review

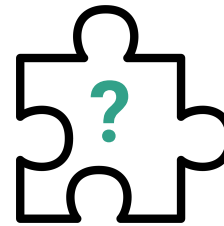
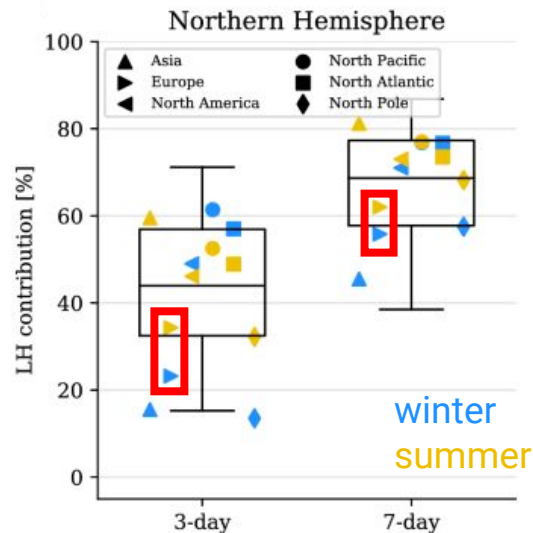
# A joint consideration of dry and moist dynamics

## Eulerian vs. Lagrangian perspectives

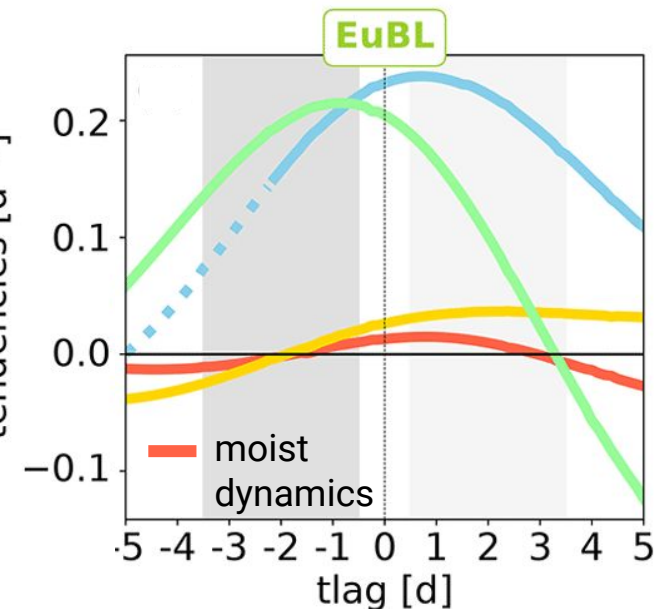
Many studies investigating the role of either dry or moist dynamics, often resulting in “contradictory” results

Lagrangian

Steinfeld and Pfahl, 2019



tendencies [ $\text{d}^{-1}$ ]



Teubler et al., 2023

Eulerian

Only very few studies on the role of both, dry and moist dynamics (e.g., Miller and Wang, 2021)

**How can we bridge the gap between pure Lagrangian and Eulerian perspectives?  
Which puzzle piece is missing to combine the two approaches in a joint analysis?**

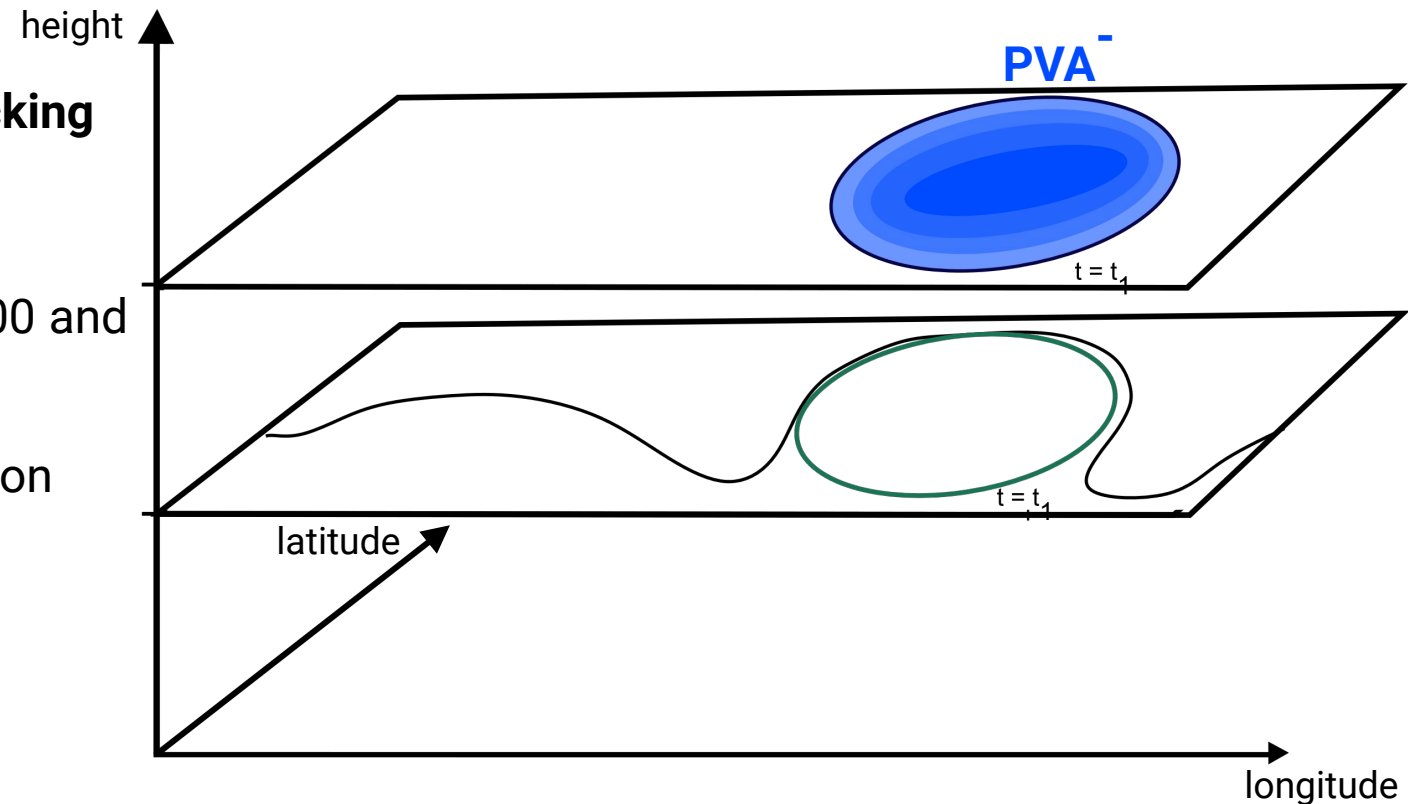


# A quasi-Lagrangian framework

**Basic idea** | Use Eulerian PV tendencies (Eulerian), but follow the movement of the PV anomaly linked to the block (Lagrangian)

## 1 Identification of PV anomalies linked to blocking (Schwierz et al., 2004, Grams et al., 2017)

- negative PV anomalies in the upper troposphere (vertical average between 500 and 150hPa) □ PVAs<sup>-</sup>
- percentile threshold that varies with season



# A quasi-Lagrangian framework

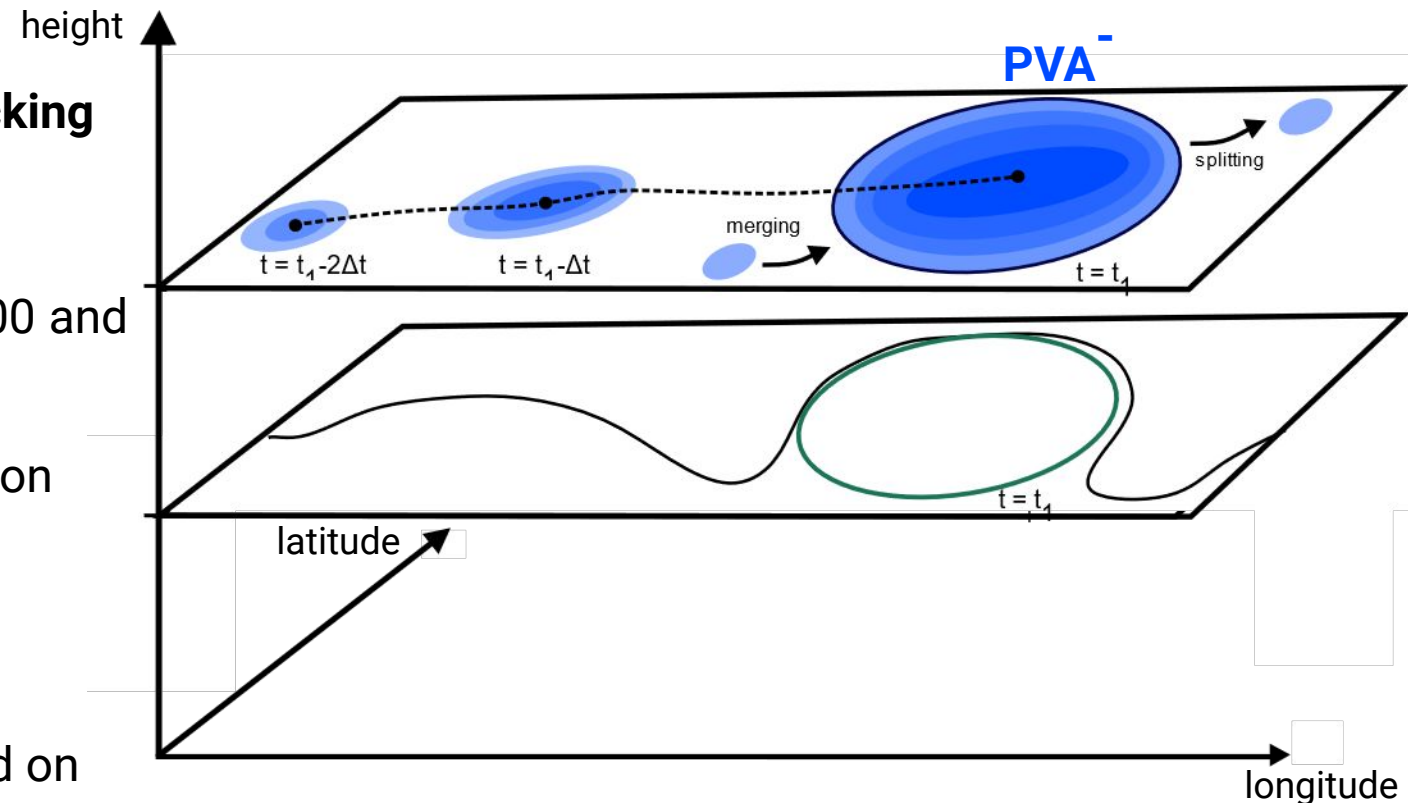
**Basic idea** | Use Eulerian PV tendencies (Eulerian), but follow the movement of the PV anomaly linked to the block (Lagrangian)

## 1 Identification of PV anomalies linked to blocking (Schwierz et al., 2004, Grams et al., 2017)

- negative PV anomalies in the upper troposphere (vertical average between 500 and 150hPa) □  $PVA_s^-$
- percentile threshold that varies with season

## 2 Tracking of $PVA_s^-$ (Schwierz et al., 2004)

- novel tracking algorithm developed based on contour overlap
- detection and handling of splitting and merging



# A quasi-Lagrangian framework

**Basic idea** | Use Eulerian PV tendencies (Eulerian), but follow the movement of the PV anomaly linked to the block (Lagrangian)

## 3 Amplitude evolution of PVAs<sup>-</sup>

(Teubler and Riemer, 2016/2021)

PV (q) tendency equation

$$\frac{\partial q}{\partial t} = \underbrace{-\vec{v} \cdot \vec{\nabla} q}_{\text{advection of PV}} + \underbrace{\mathcal{N}}_{\text{non-conservative processes}}$$

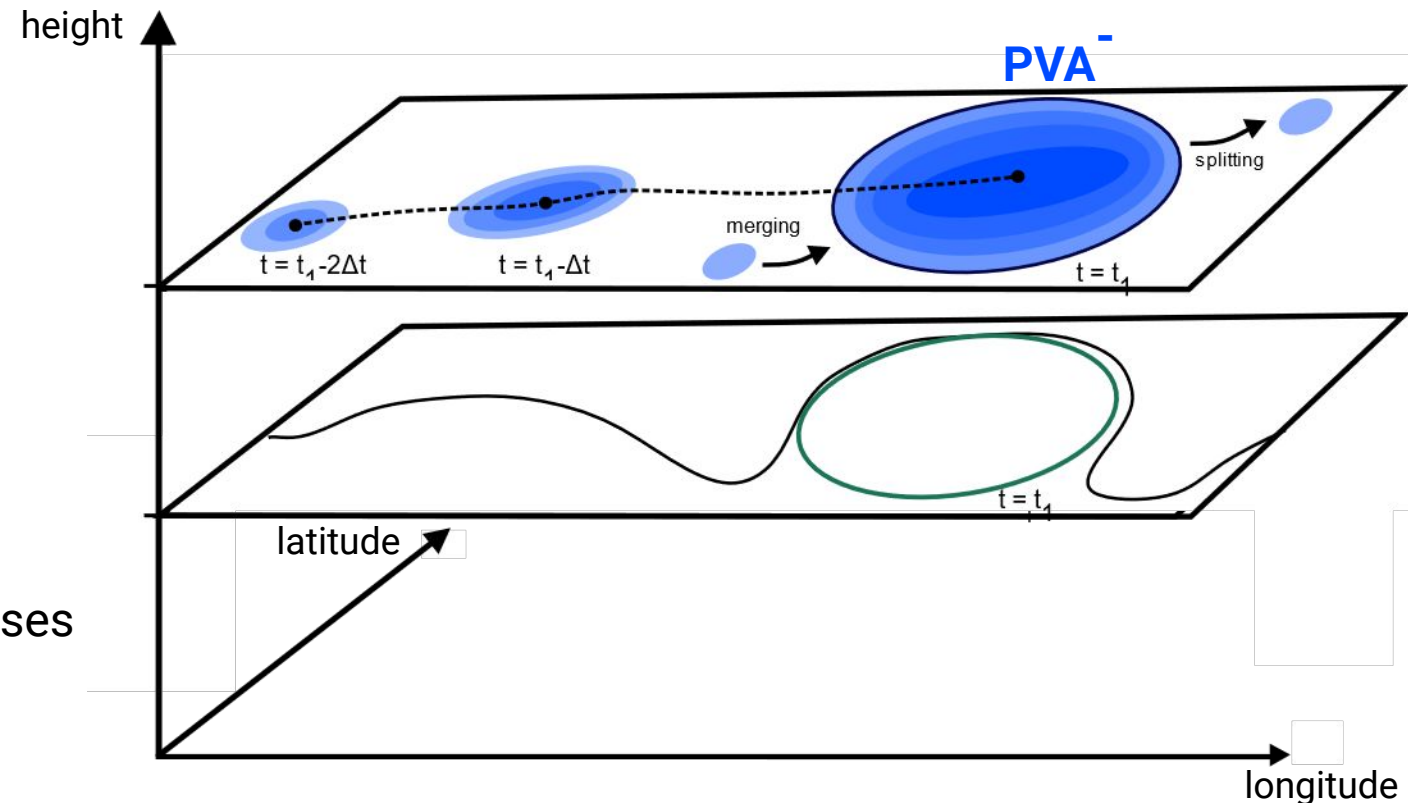
non-conservative processes

Partition wind field to look at different processes

$$\mathbf{v} = \mathbf{v}_0 + \mathbf{v}'_{div} + \mathbf{v}'_{up} + \mathbf{v}'_{low} + \mathbf{v}'_{res}$$

piecewise PV inversion

Helmholtz partitioning



# A quasi-Lagrangian framework

**Basic idea** | Use Eulerian PV tendencies (Eulerian), but follow the movement of the PV anomaly linked to the block (Lagrangian)

## 3 Amplitude evolution of PVAs<sup>-</sup>

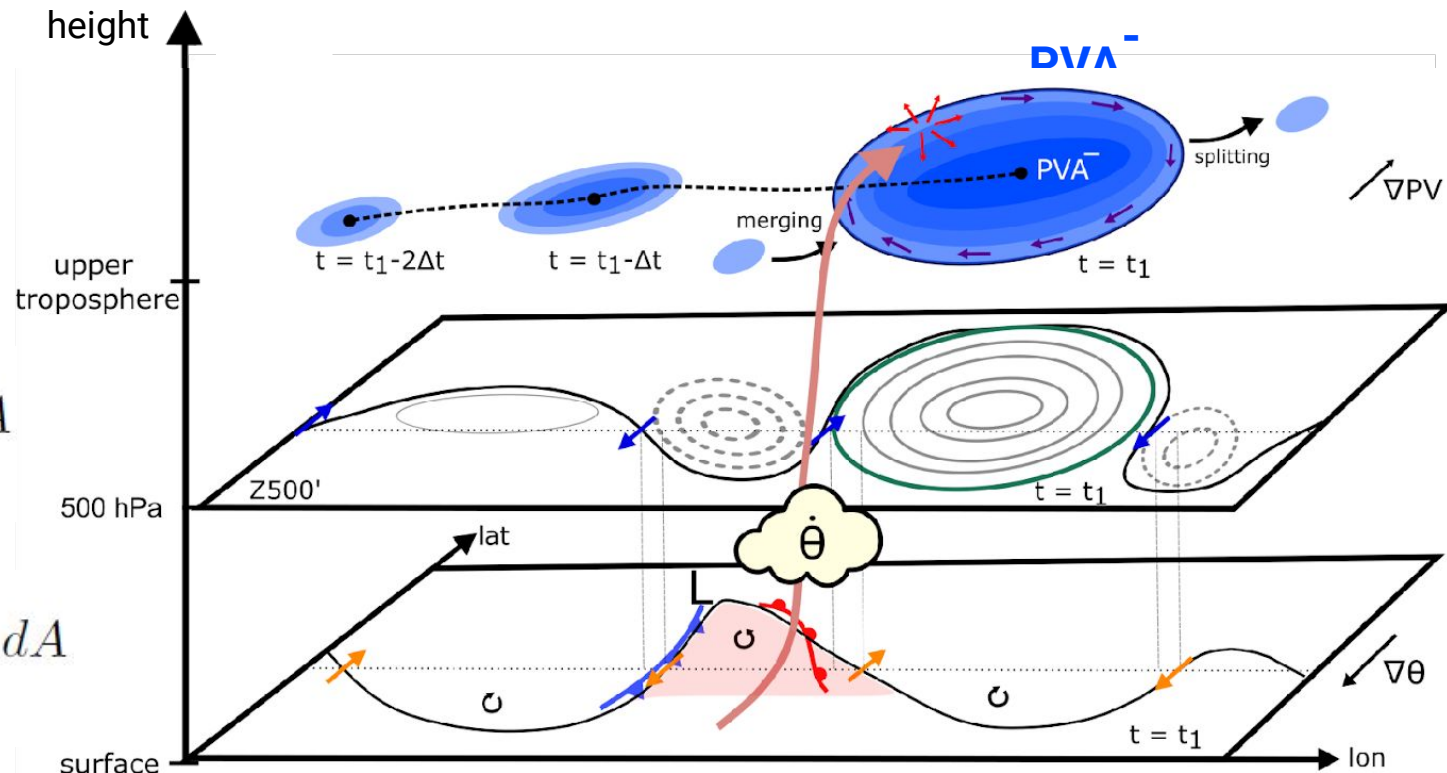
(Teubler and Riemer, 2016/2021)

Area-integrated PV anomaly ( $q'$ ) amplitude change (on isentropic levels)

$$\frac{d}{dt} \int_{A(t)} q' dA = \int_{A(t)} \left[ \underbrace{-\mathbf{v}'_{up} \cdot \nabla q_0}_{\text{UP}} - \underbrace{\mathbf{v}'_{low} \cdot \nabla q_0}_{\text{LOW}} \right] dA$$

$$= \int_{A(t)} \left[ \underbrace{-\mathbf{v}'_{div} \cdot \nabla q_0}_{\text{DIV}_{adv}} + \underbrace{q'(\nabla \cdot \mathbf{v}'_{div})}_{\text{DIV}_{div}} \right] dA$$

$$= \int_{A(t)} \left[ \underbrace{-\mathbf{v}'_{res} \cdot \nabla q_0}_{\text{RES}} + \underbrace{\mathcal{N}}_{\text{NON-CO NS}} \right] dA + \oint_{S(t)} \underbrace{q'(\mathbf{v}_s - \mathbf{v})}_{\text{BND}} dS$$



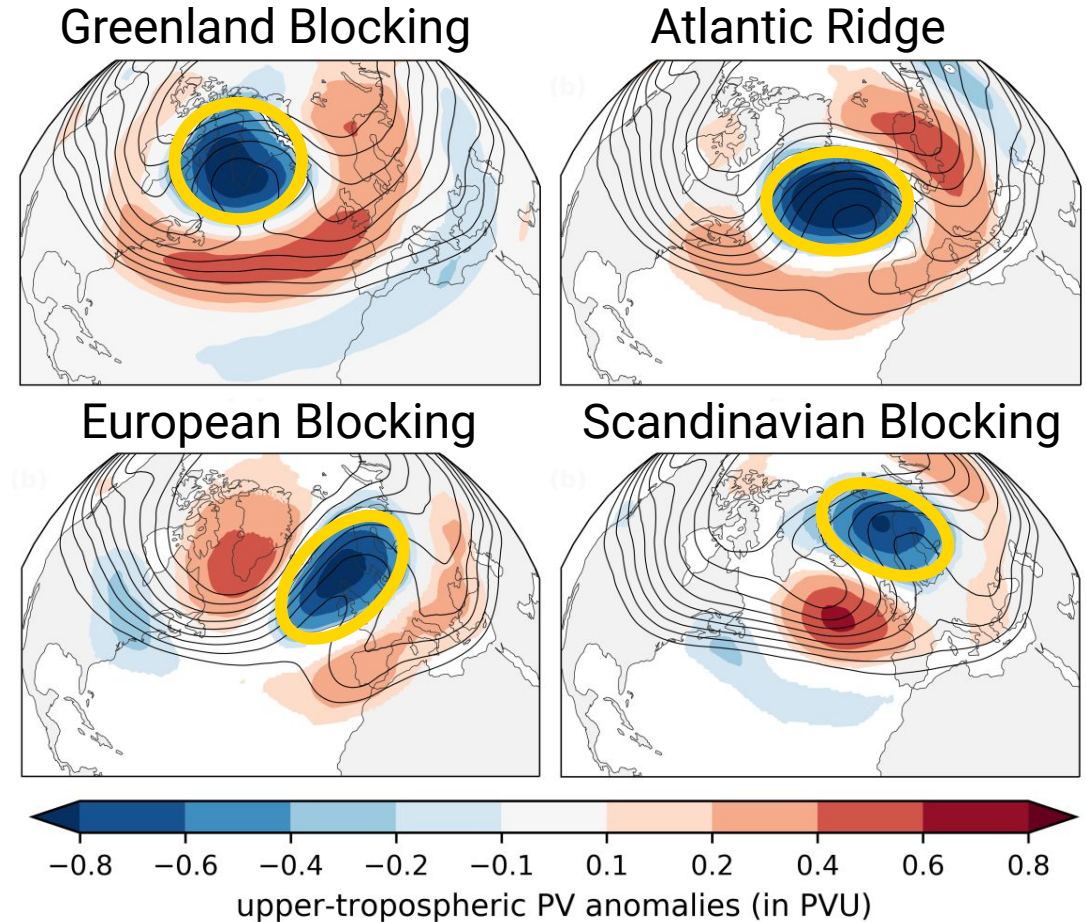
# Blocking from a weather regime perspective

Investigate blocking dynamics in different sub-regions over North Atlantic-European sector

Seven year-round weather regime definition in the North Atlantic-European region (Grams et al., 2017)

➔ ERA5 reanalysis (1979-2021), Z500

Link PVA<sup>-</sup> tracks to different blocked regime life cycle stages (onset, maximum, decay) via spatial overlap with **regime mask**



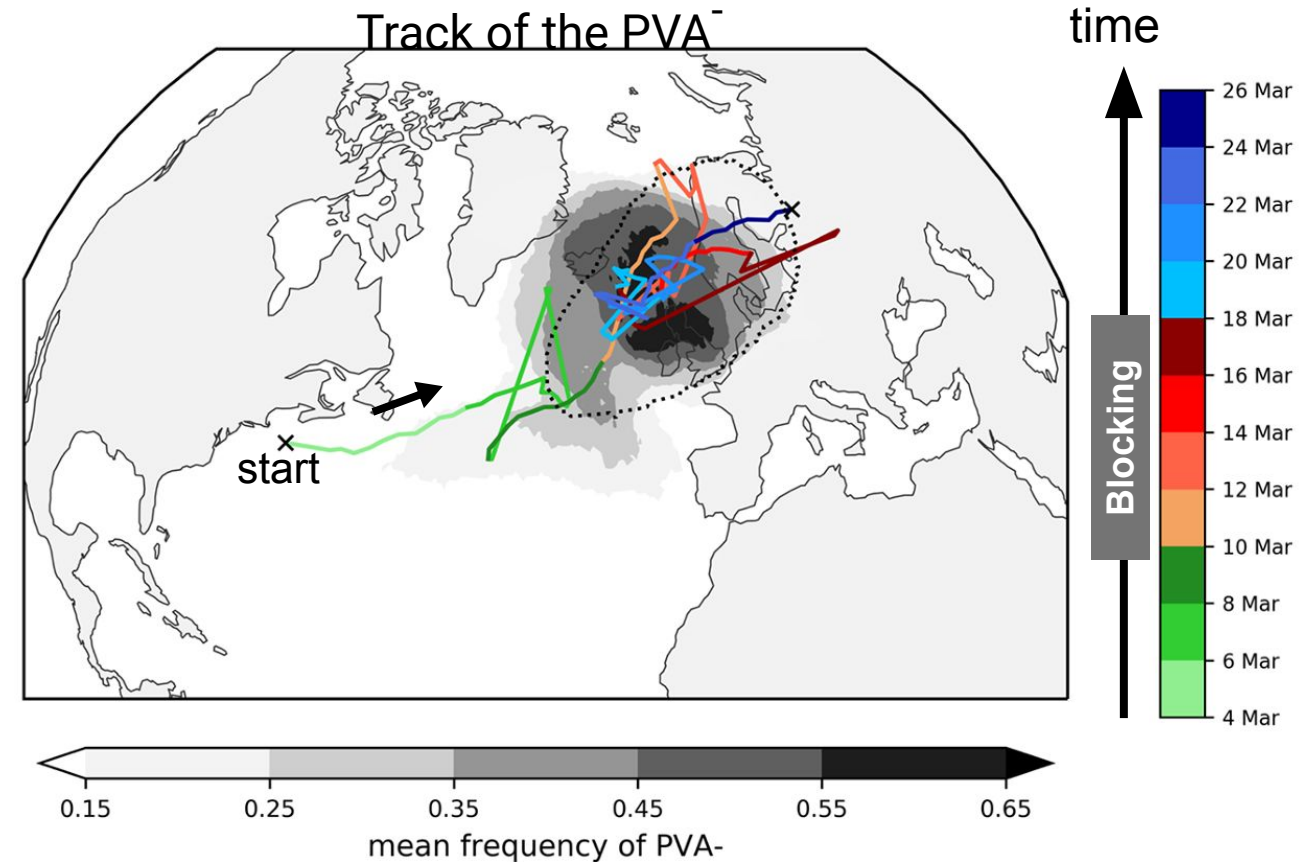
# The European blocking “bust case” in March 2016

## Role of moist processes and direct diabatic modifications

9-day blocking episode with block over the United Kingdom

### Origin of the PVA<sup>-</sup>

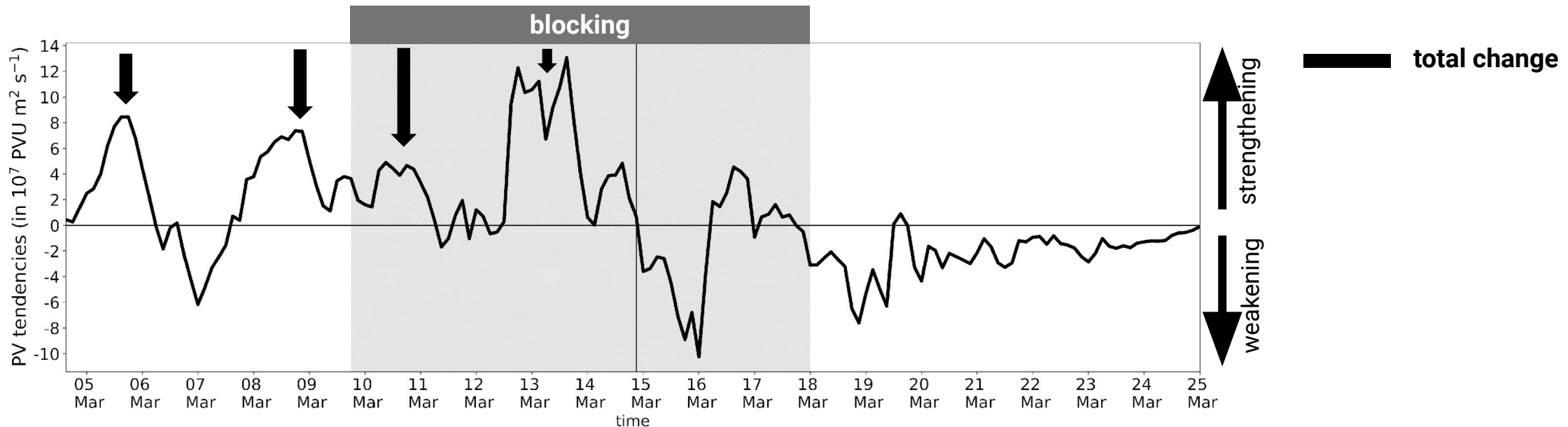
- PVA<sup>-</sup> formed five days before blocking onset
- non-local development of the PVA<sup>-</sup> over western North Atlantic



# The European blocking “bust case” in March 2016

Role of moist processes and direct diabatic modifications

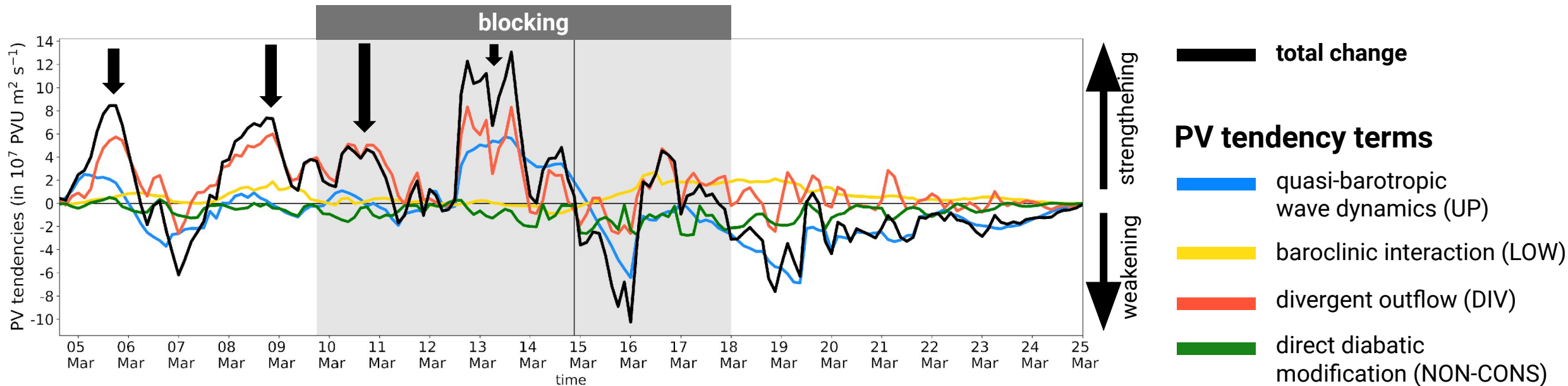
## Amplitude evolution of the negative PV anomaly



pulses of amplification before and during blocking → which processes?

# The European blocking “bust case” in March 2016

Role of moist processes and direct diabatic modifications

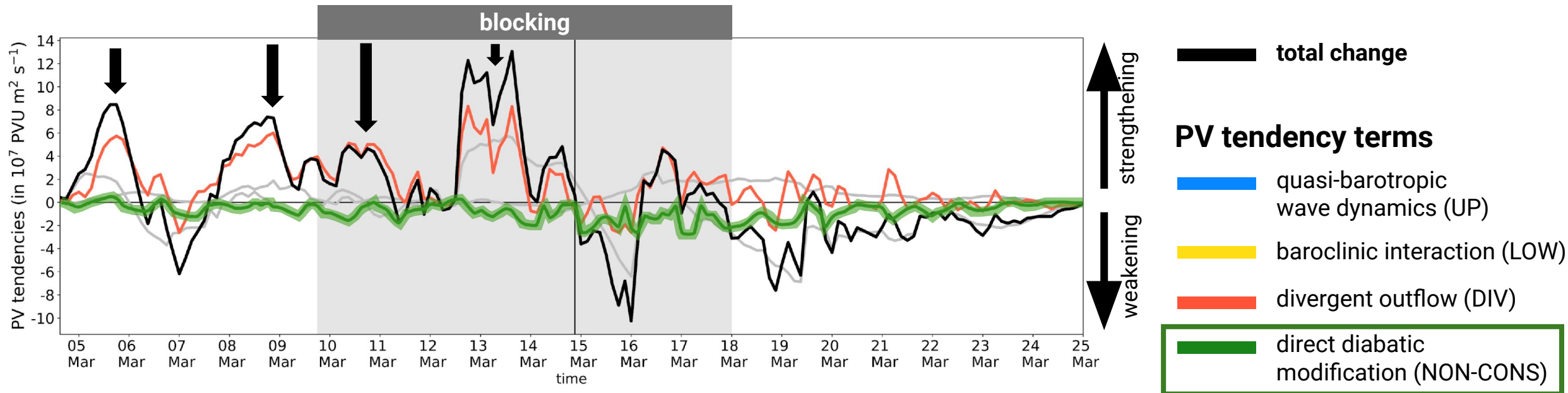


Moist processes? Let's look at the direct diabatic modification term!



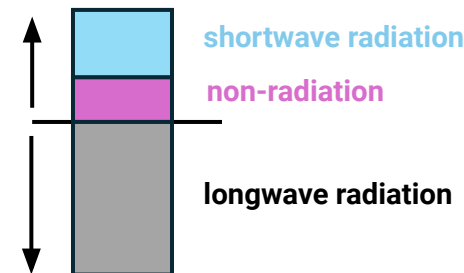
# The European blocking “bust case” in March 2016

Role of moist processes and direct diabatic modifications



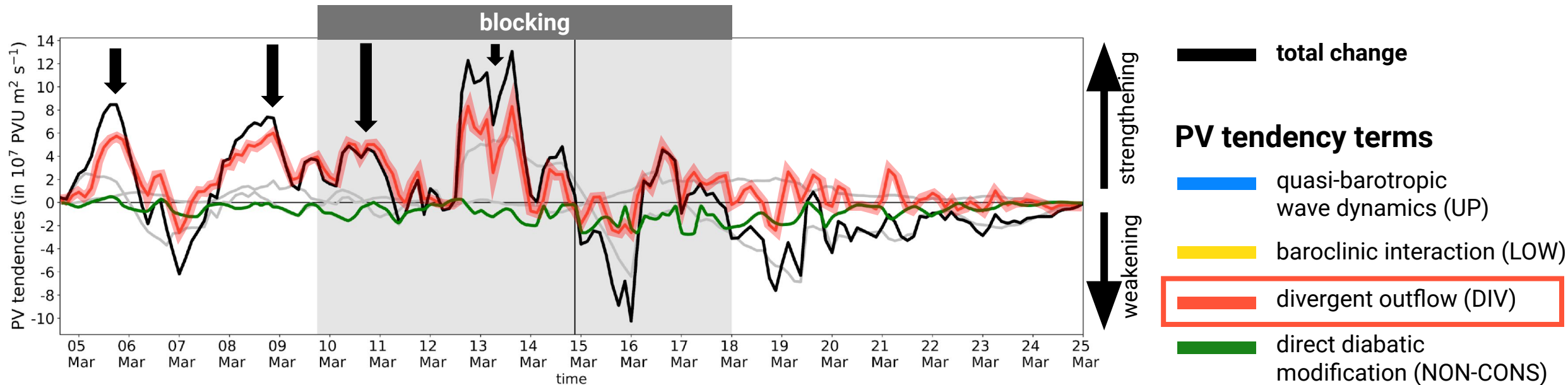
## Direct diabatic modification (NON-CONS)

Small contribution to weakening of  $PVA^-$  amplitude  
(dominated by longwave-radiative cooling)



# The European blocking “bust case” in March 2016

Role of moist processes and direct diabatic modifications



## Divergent outflow (indirect moist processes)

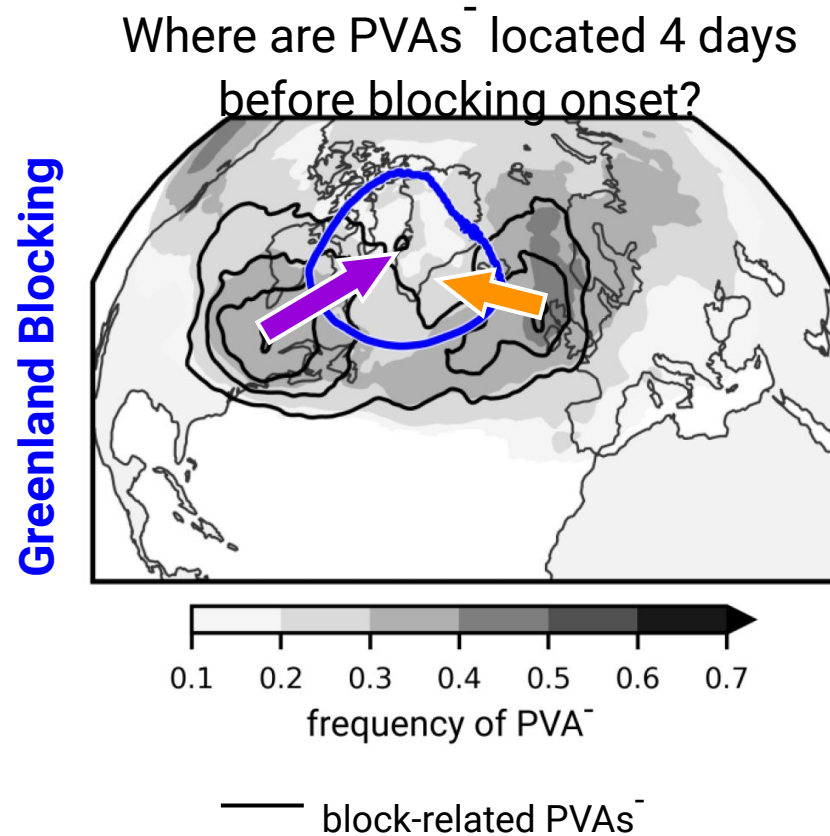
Leads the pulses of amplification and points to importance of moist processes



“moist process played a role for blocking development in the March 2016 case” (Grams et al., 2018)

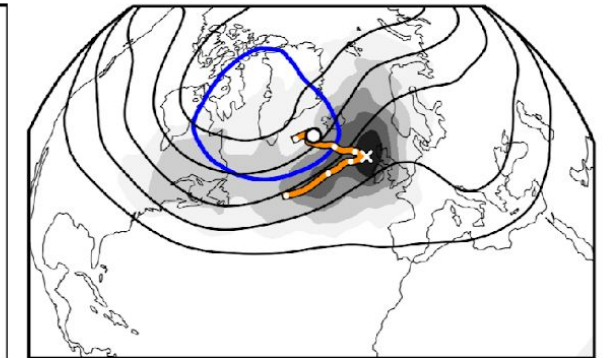
# From case study to systematic investigation

Key finding  
#1

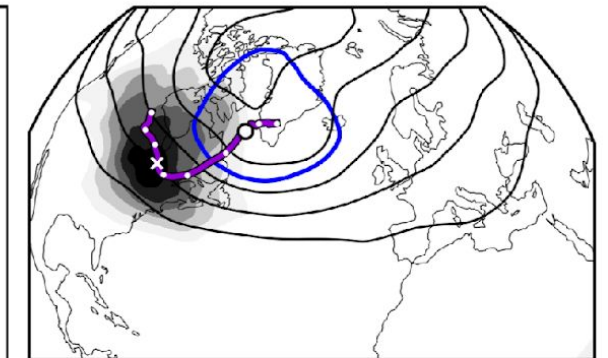


two pathways?

retrogression



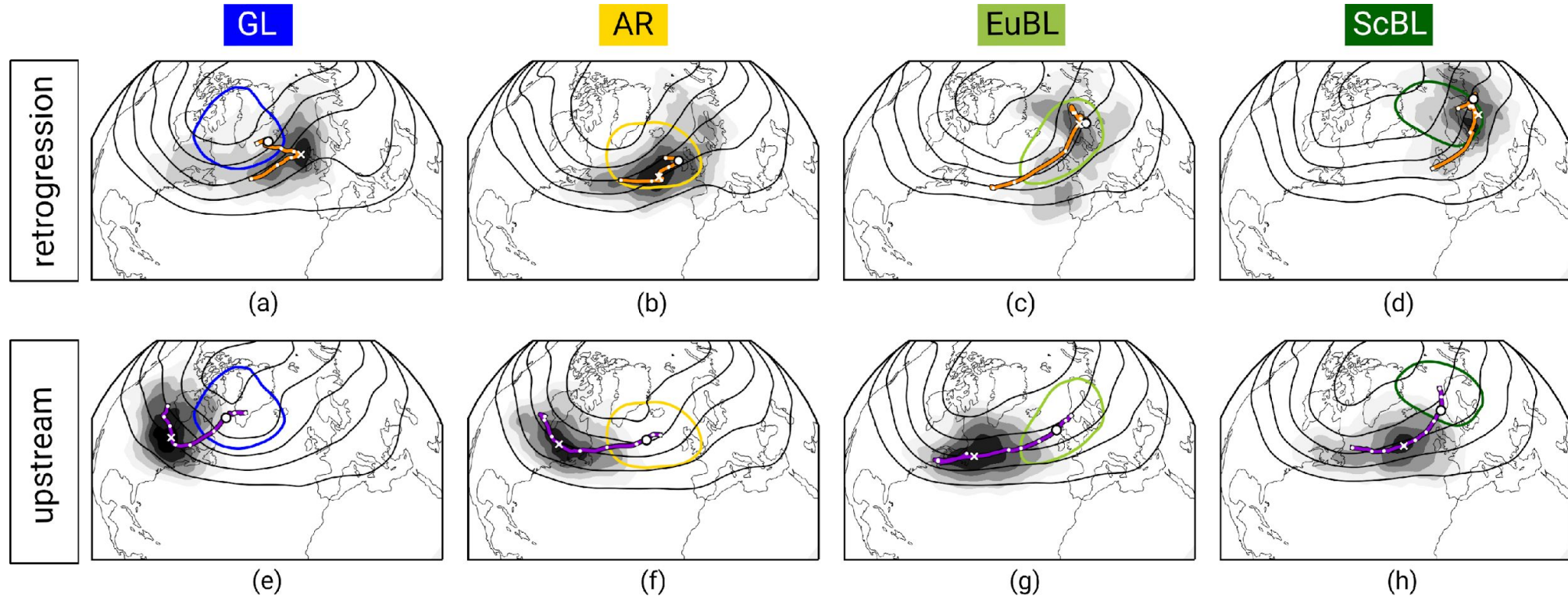
upstream



# From case study to systematic investigation

Key finding  
#1

PVAs<sup>-</sup> linked to blocking over North Atlantic-European region develop remotely with two pathways into the blocking region



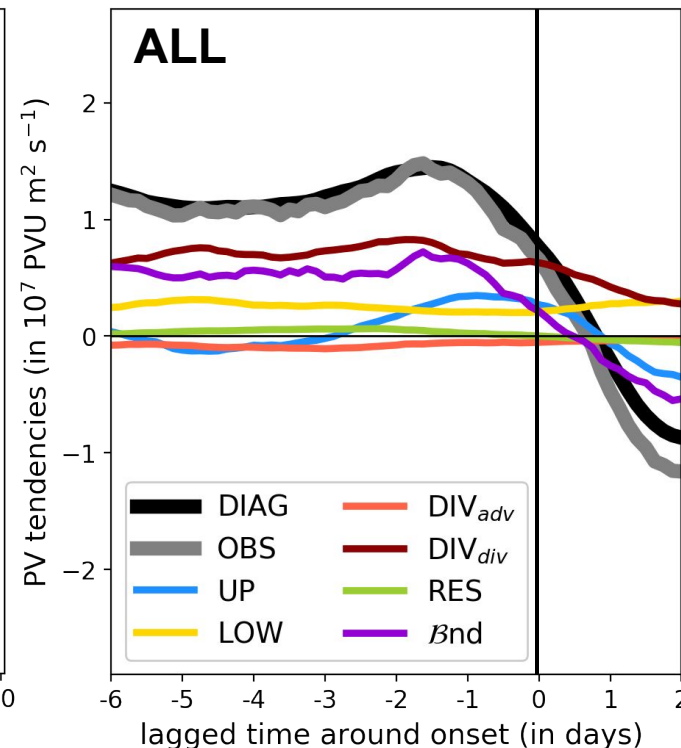
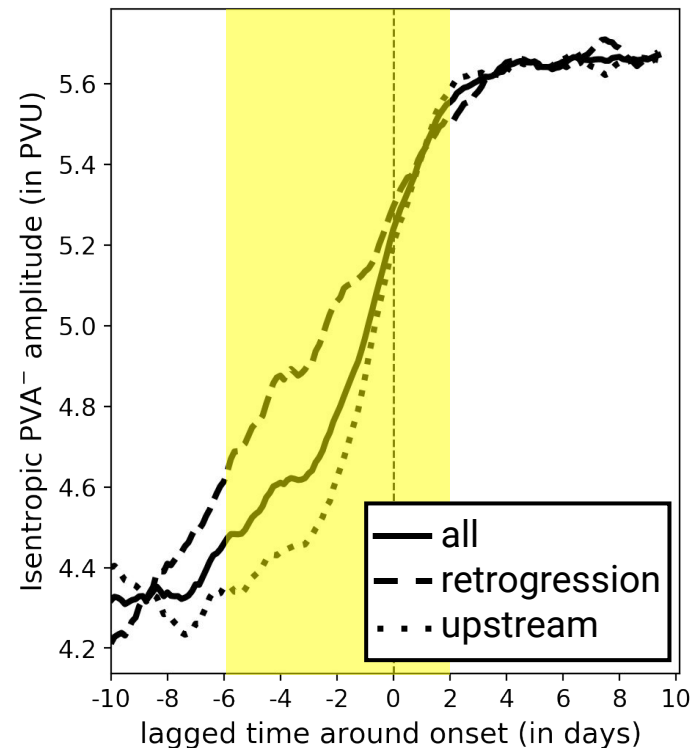
— upper-tropospheric PV  
grey shading: PVA<sup>-</sup> frequency at  $t = -4$  days before blocking onset

Hauser et al., 2024 (WCD, in review)  
Hauser et al., *in preparation*

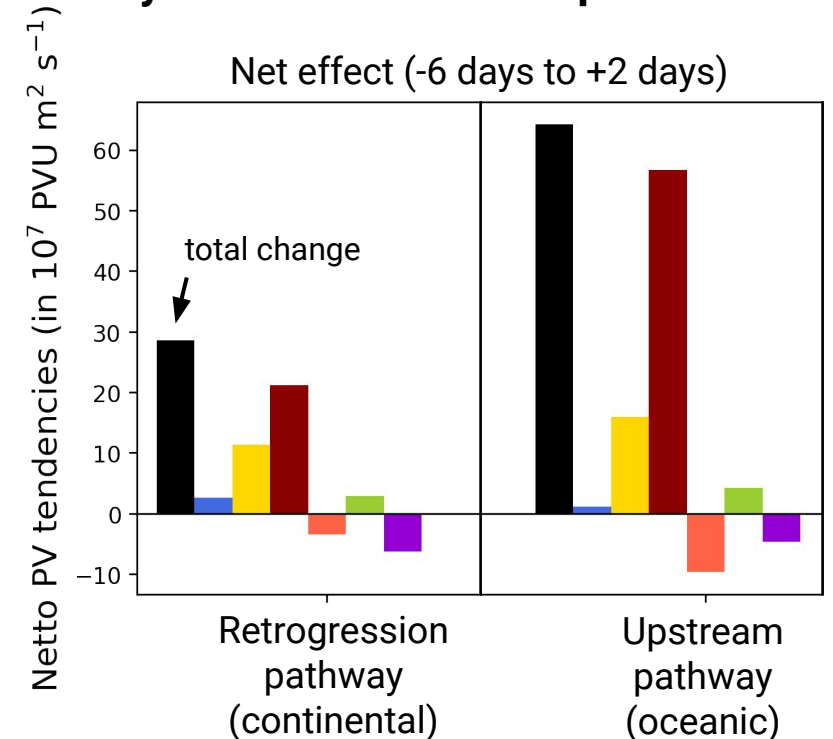
# From case study to systematic investigation

## Key finding #2

Amplification of PVAs<sup>-</sup> occurs already **before** blocking onset and is dominated by divergent PV tendencies pointing to moist contributions




## Pathway differences for European blocking

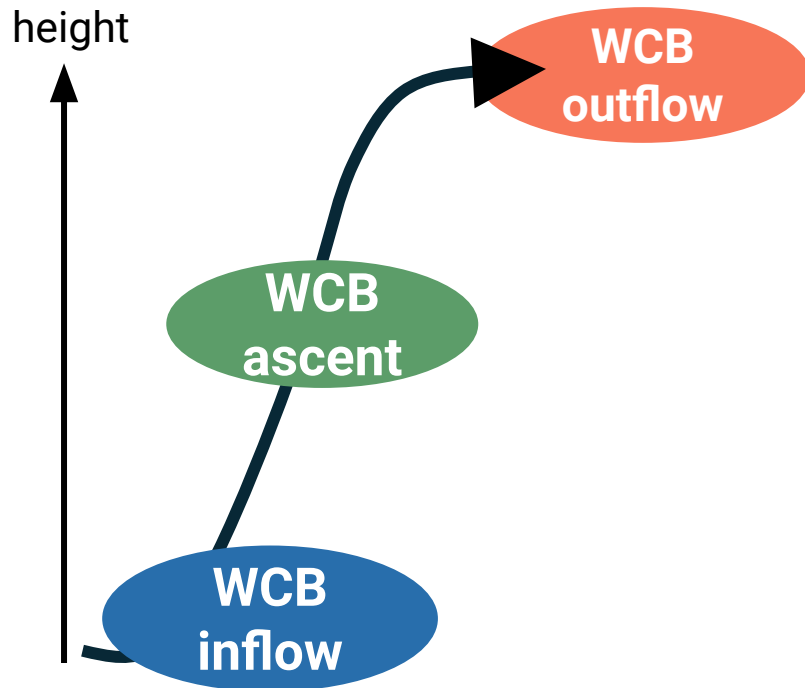


# From case study to systematic investigation

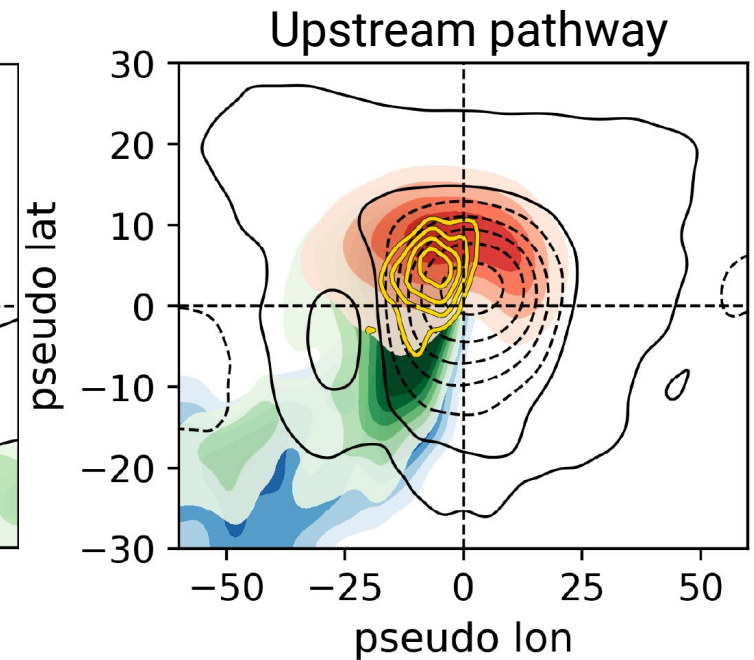
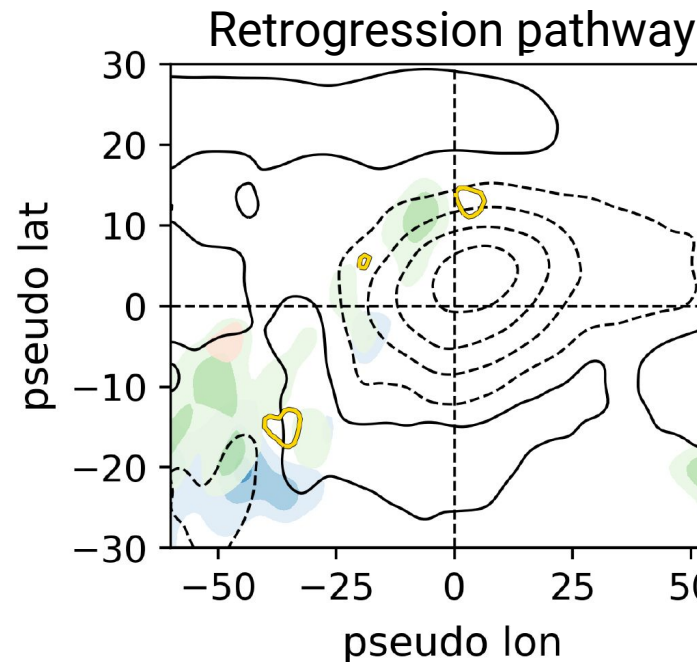
## Key finding #3

PVAs<sup>-</sup> moving over midlatitude storm-track region amplify much stronger by divergent PV tendencies (linked to WCB activity)

 amplifying divergent PV tendencies



## European blocking

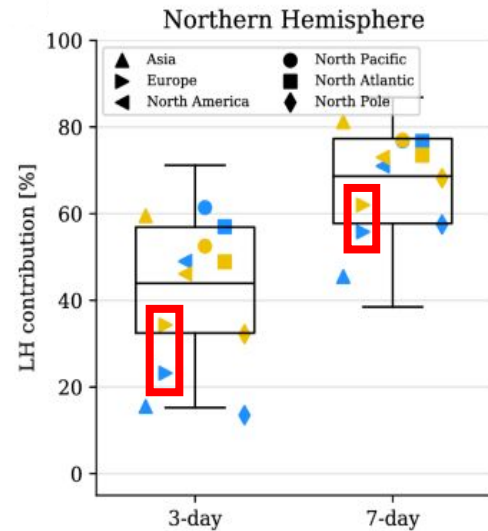


— positive PV anomalies  
- - - negative PV anomalies

Hauser et al., 2024 (WCD, in review)  
Hauser et al., *in preparation*

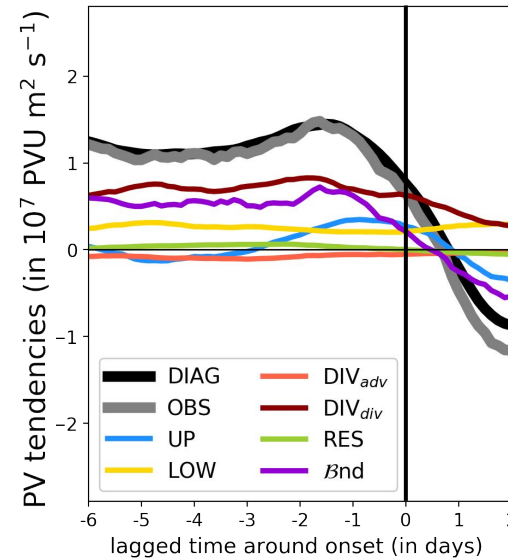
# Connecting the different perspectives

## Lagrangian

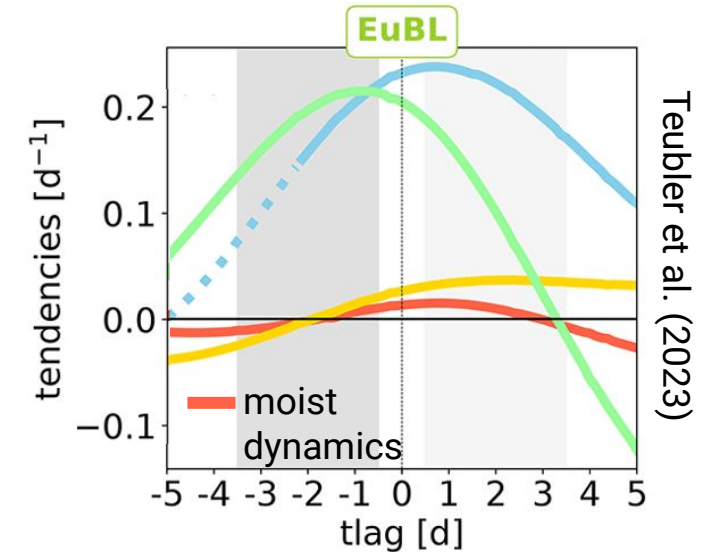


Steinfeld and Pfahl (2019)

## Quasi-Lagrangian



## Eulerian



Good agreement with Lagrangian perspective (moist processes play a non-negligible role!)

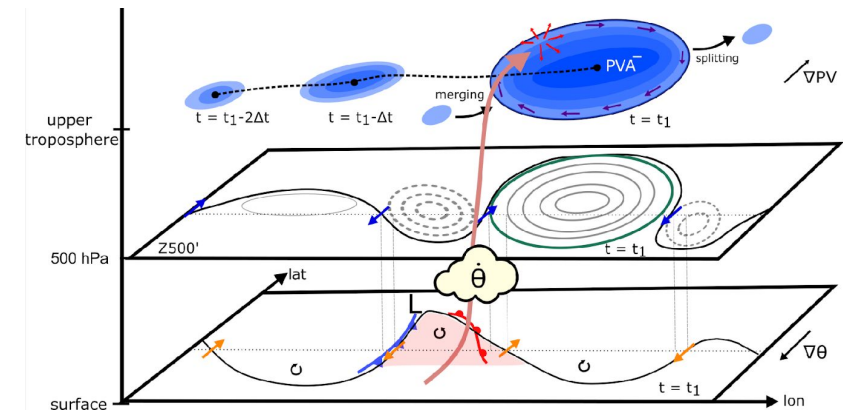
“Disagreement” with Eulerian perspective because of remote moist-dynamical development of PVA<sup>-</sup> outside of Europe (but hidden in dry-dynamical advection terms!)

# Summary

- Development of a novel quasi-Lagrangian PV framework to unify the separate Eulerian and Lagrangian perspectives on blocking dynamics

## Key results from quasi-Lagrangian perspective

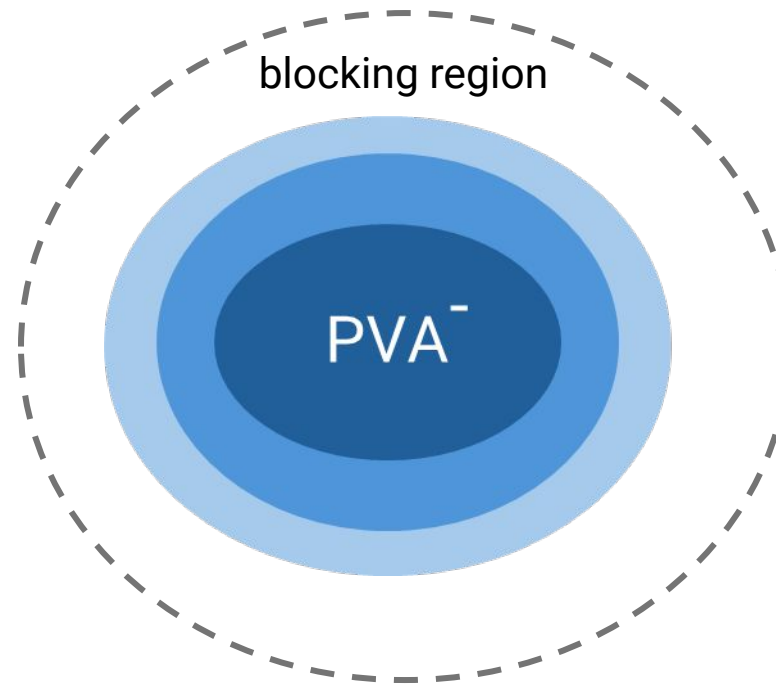
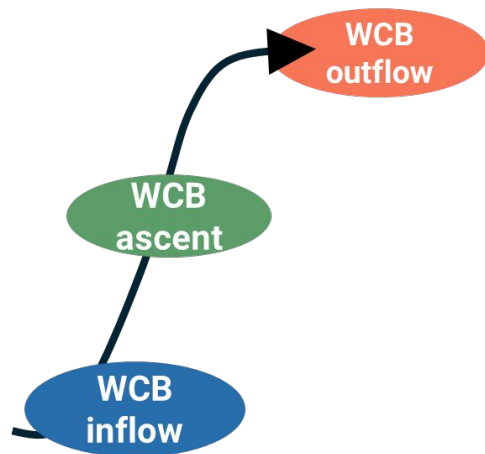
- Remote development of PVAs<sup>-</sup> linked to blocking over North Atlantic-European region and propagation along different pathways to blocking region
- Amplification of PVAs<sup>-</sup> takes place predominantly before the blocking onset and is dominated by moist dynamics (divergent PV tendencies)
- PVAs<sup>-</sup> moving over midlatitude storm-track region amplify much stronger by divergent PV tendencies (linked to WCB activity)





# Summary

Is it the perspective that matters?



We need a combination of perspectives to yield a comprehensive understanding of blocking dynamics and the role of moist processes

**THANK YOU!**

contact: [seraphine.hauser-1@ou.edu](mailto:seraphine.hauser-1@ou.edu)