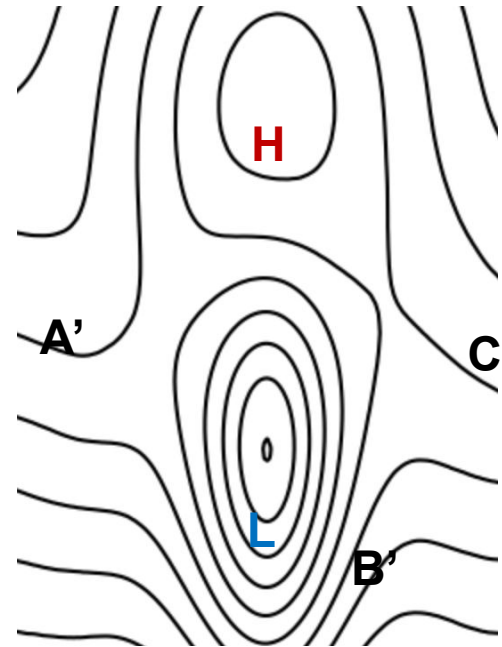
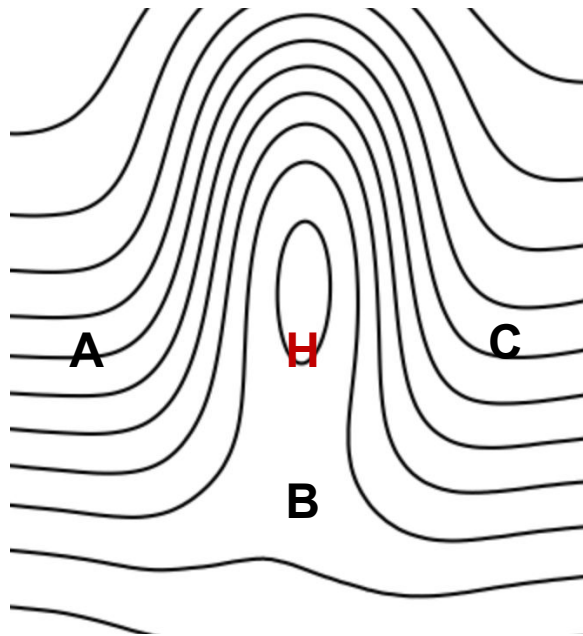


# Blocking Diversity: Distinct Roles of Diabatic Heating

Zhaoyu Liu, Lei Wang

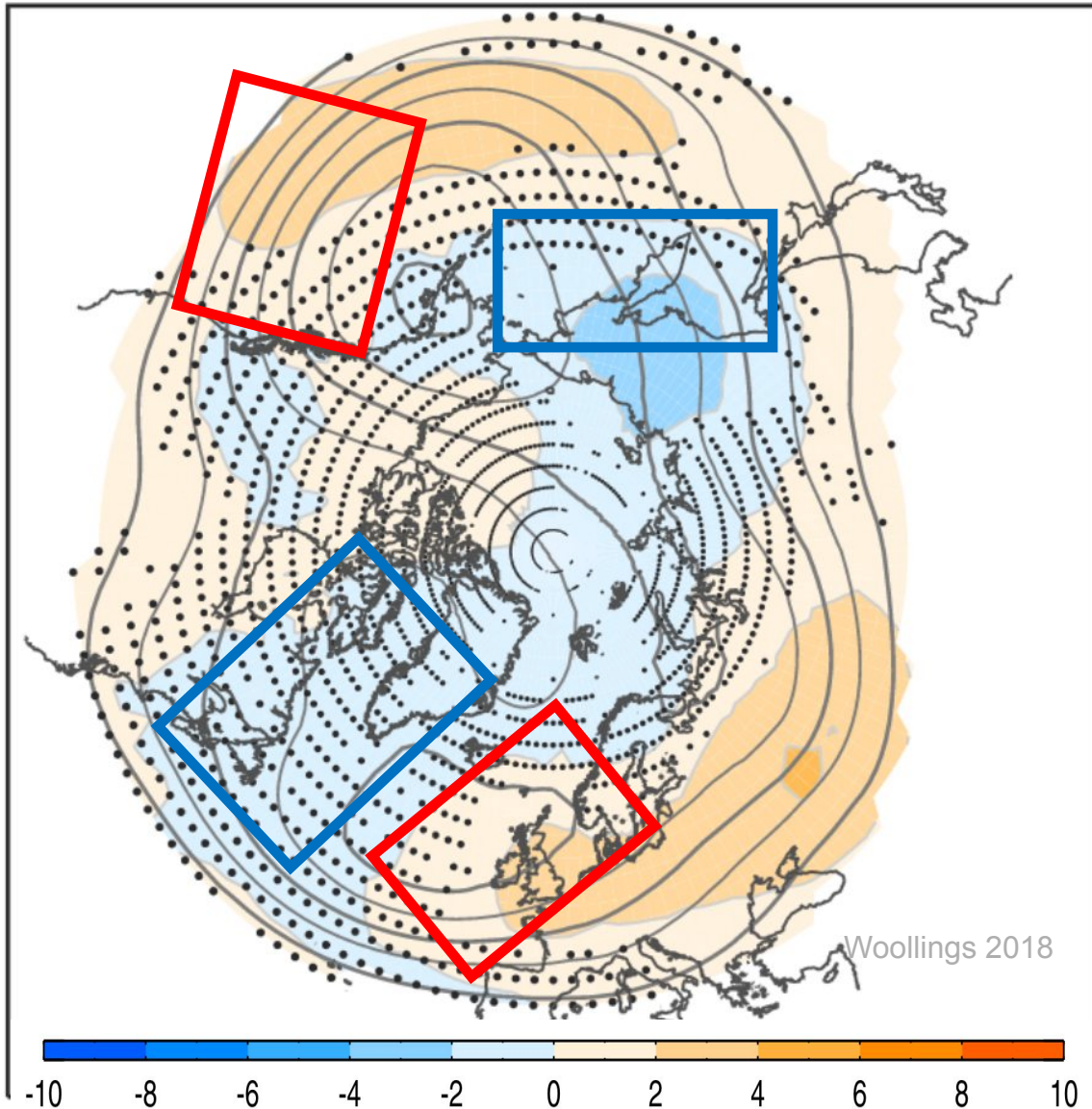


## Question:

- Where does diabatic heating (due to moist processes) occur?
- Is diabatic heating playing the same role in these two types of blocks?

# Future projection of blocking frequency

## Future projection of blocking frequency (DJF)



### In the warmer climate, blocking frequency

- Decreases at Northwest Pacific and Northwest Atlantic.
- Increases at Northeast Pacific and Europe.

*How can we understand this change?*

*Why blocks response differently across different regions?*

# Role of latent heat release in atmospheric blocks

LETTER

Response of moist and dry processes in atmospheric blocking to climate change

Daniel Steinfeld<sup>1,2,\*</sup>, Michael Sprenger<sup>2</sup>, Urs Beyerle<sup>2</sup> and Stephan Pfahl<sup>3</sup>

The sensitivity of atmospheric blocking to upstream latent heating – numerical experiments

Daniel Steinfeld<sup>1</sup>, Maxi Boettcher<sup>1</sup>, Richard Forbes<sup>2</sup>, and Stephan Pfahl<sup>3</sup>

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## Importance of latent heat release in ascending air streams for atmospheric blocking

S. Pfahl<sup>1\*</sup>, C. Schwierz<sup>2</sup>, M. Croci-Maspoli<sup>3</sup>, C. M. Grams<sup>1</sup> and H. Wernli<sup>1</sup>

**Atmospheric blocking is a key component of extratropical weather variability<sup>1</sup> and can contribute to various types of extreme weather events<sup>2–5</sup>. Changes in blocking frequencies due to Arctic amplification and sea ice loss may enhance extreme events<sup>6,7</sup>, but the mechanisms potentially involved in such changes are under discussion<sup>8–11</sup>. Current theories for blocking are essentially based on dry dynamics and do not directly take moist processes into account<sup>12–17</sup>. Here we analyse a 21-year climatology of blocking from reanalysis data with a Lagrangian approach, to quantify the release of latent heat in clouds along the trajectories that enter the blocking systems. We show that 30 to 45% of the air masses involved in Northern Hemisphere blocking are heated by more than 2 K—with a median heating of more than 7 K—in the three days before their arrival in the blocking system. This number**

role of wave breaking<sup>16</sup> and the isentropic advection of air with low potential vorticity (PV) into the blocking region<sup>12–15</sup>. All these theories are essentially based on dry atmospheric dynamics, and diabatic processes have been considered only in an indirect way, for example, through the triggering of Rossby waves by tropical convection<sup>13</sup>. There are only few studies pointing to direct diabatic effects on blocking: substantial diabatic contributions to the intensification of two blocking systems in the Southern Hemisphere have been identified in ref. 20, whereas diabatic effects have been found to be of secondary importance for blocking formation over Siberia in ref. 21. Backward trajectory calculations from North Atlantic blockings during selected winters presented in refs 22,23 indicate that latent heating is often involved in the upward transport of air with low PV into the upper-tropospheric blocking. In this study, again a combined PV and Lagrangian approach is used to

## The 2021 Pacific Northwest Heat Wave and Associated Blocking: Meteorology and the Role of an Upstream Cyclone as a Diabatic Source of Wave Activity

Emily Neal<sup>1</sup>, Clare S. Y. Huang<sup>1</sup>, and Noboru Nakamura<sup>1</sup>



USA

## The role of latent heating in atmospheric blocking dynamics: a global climatology

Daniel Steinfeld<sup>1</sup> · Stephan Pfahl<sup>1,2</sup>

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the mid-latitude weather variability, but the different processes are not fully understood. This study investigates the role that diabatic processes in ascending airstreams, play in the dynamics and spatio-temporal evolution of atmospheric blocking. A global climatology is presented using a biological analysis. The results show that the formation and (re-)intensification of blocking is connected to upstream baroclinic developments. While the formation of individual blocking events and different regions, in particular the North Pacific, are most important during onset and in more intense and larger scale blocking life cycle, associated with a series of transient cyclones (fast onset and fluctuation in intensity and size) and low-frequency variability (slow onset and fluctuation in intensity and size).

ions that led to the extreme heat in the Pacific Northwest. The heat wave was preceded by an upper-level cyclone that was associated with a heat-trapping stable stratification in the upper troposphere. This stratification was significantly enhanced by the diabatic heating of Alaska initiated the block formation. The diabatic heating was a diabatic source associated with this storm system, whose convergence over Canada was associated with a diabatic source. The diabatic source activity budget predicts a 41 percent increase in the diabatic source activity budget when the upstream diabatic source is

### Take away:

- Moist related diabatic processes are important, overall exerting a positive effect on the formation and intensification of blocks.

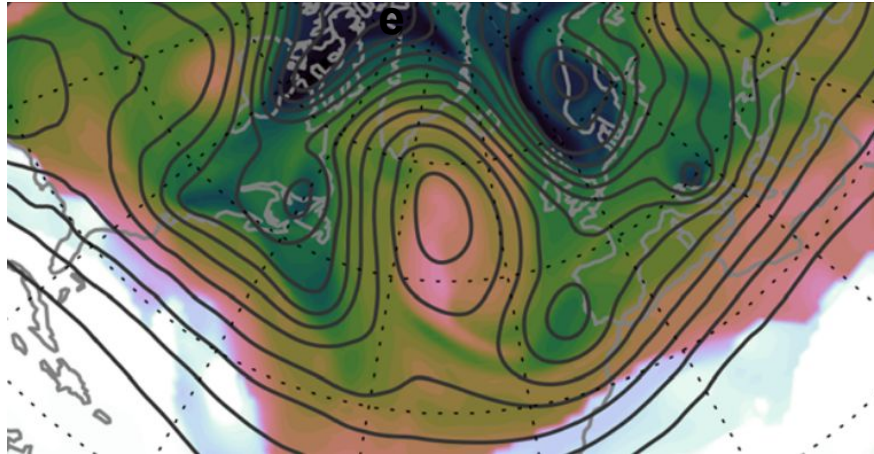


# Hypothesis and Method

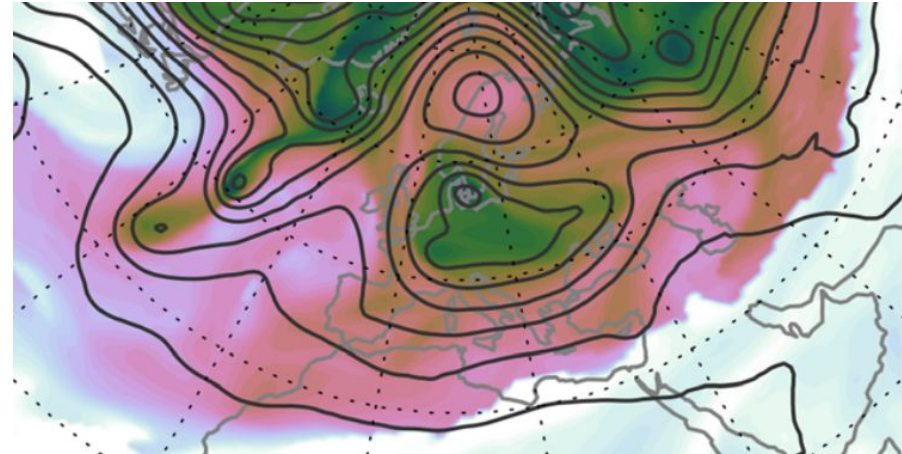
We **hypothesize** that:

- The role of diabatic heating (from moist processes) is distinct among different types of blocks.

**Ridg**



**Dipole**

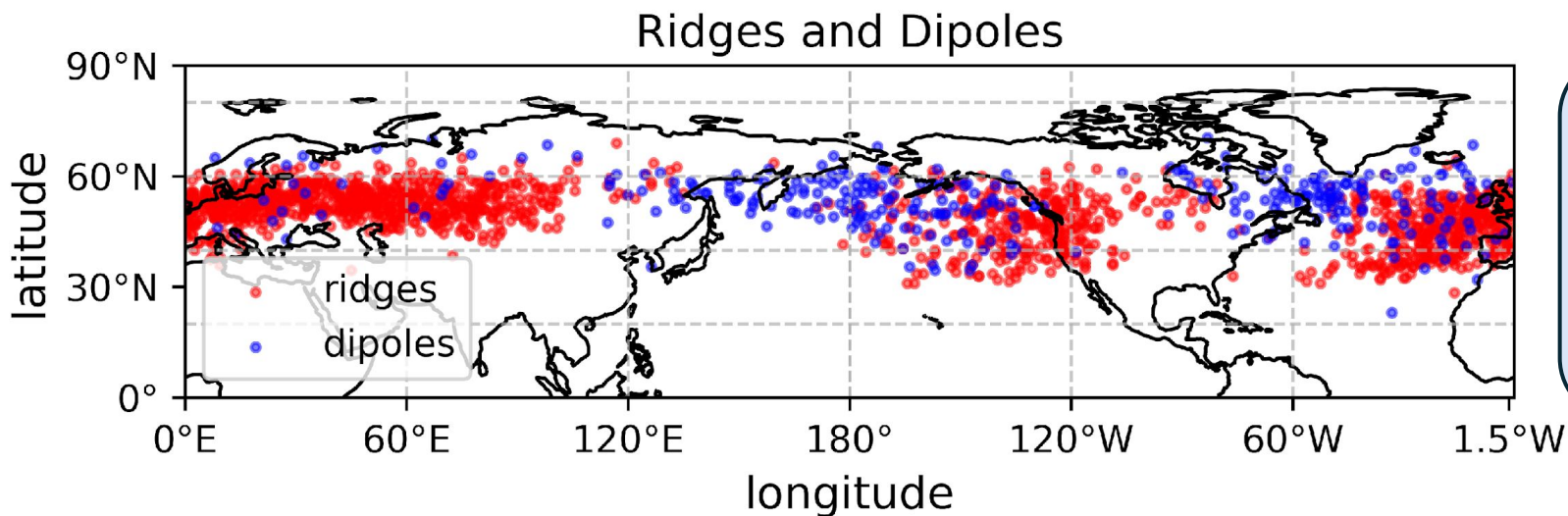


## **Methods:**

- We use MERRA2 reanalysis product from 1980-2022, also CAM simulations with fixed SST.
- We use **local wave activity framework** to detect different types of blocks:
  - Ridge blocks only include **anticyclonic** local wave activity .
  - Dipole block include **both cyclonic and anticyclonic** local wave activity.
- We use the budget of local wave activity to quantify the contribution from diabatic processes.



# Blocking Diversity (Basic Features)



## For ridge blocks:

- Occur downstream to the storm tracks.

## For dipole blocks :

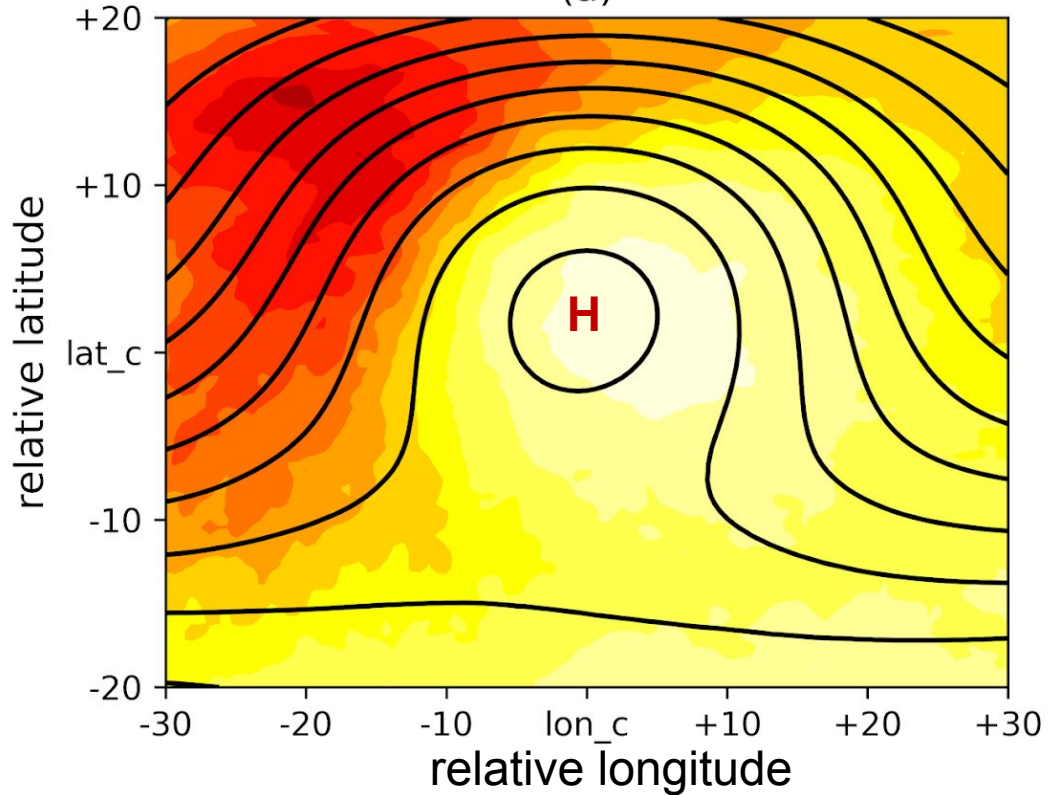
- Occur along the storm tracks, upstream to the ridge blocks .

	Occurrence (1980-2022)	Duration	Strength (local wave activity)
Dipole blocks	784	9.3 days	84(m/s)
Ridge blocks	1470	8.8 days	60 (m/s)

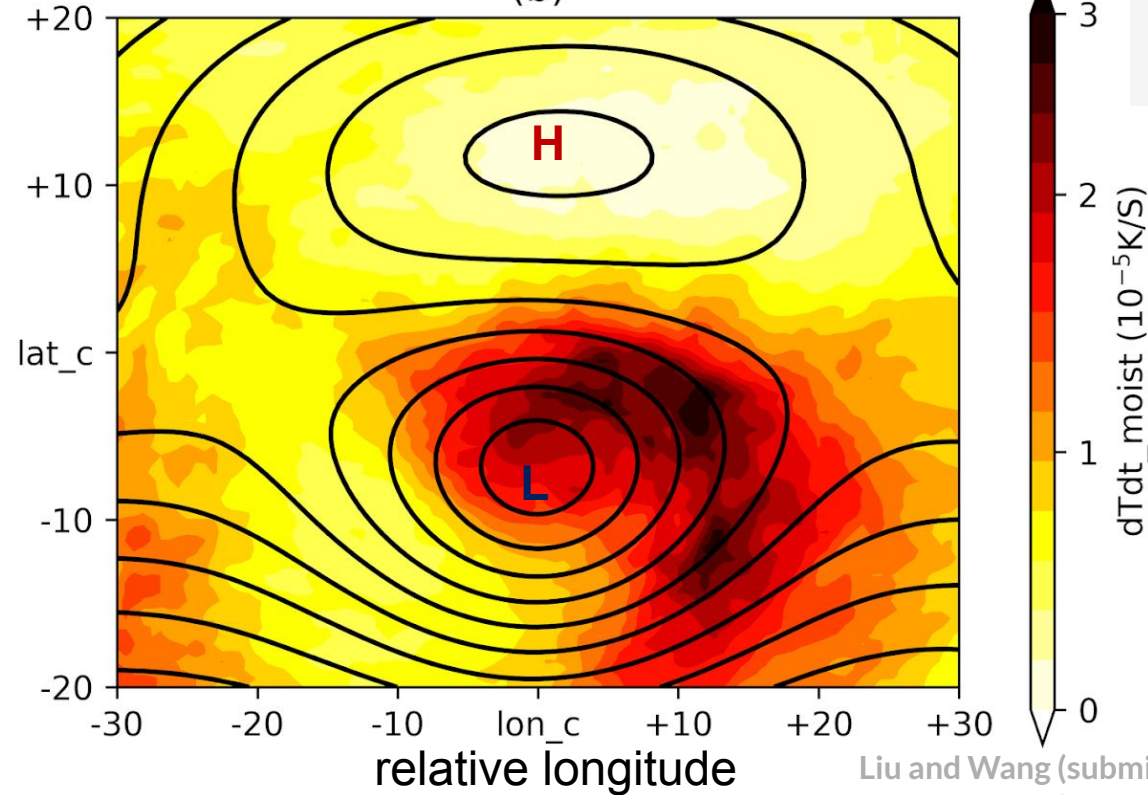
- More ridge blocks than dipole blocks.
- Dipole blocks are stronger and more persistent.

# Distinct horizontal structure of diabatic heating

Composite Ridge Blocks  
(a)



Composite Dipole Blocks  
(b)



Shading:  $\frac{\partial T}{\partial t_{moist}}$   
Contours: Z500

dTdt\_moist ( $10^{-5}$  K/S)

Liu and Wang (submitted)  
From MERRA2

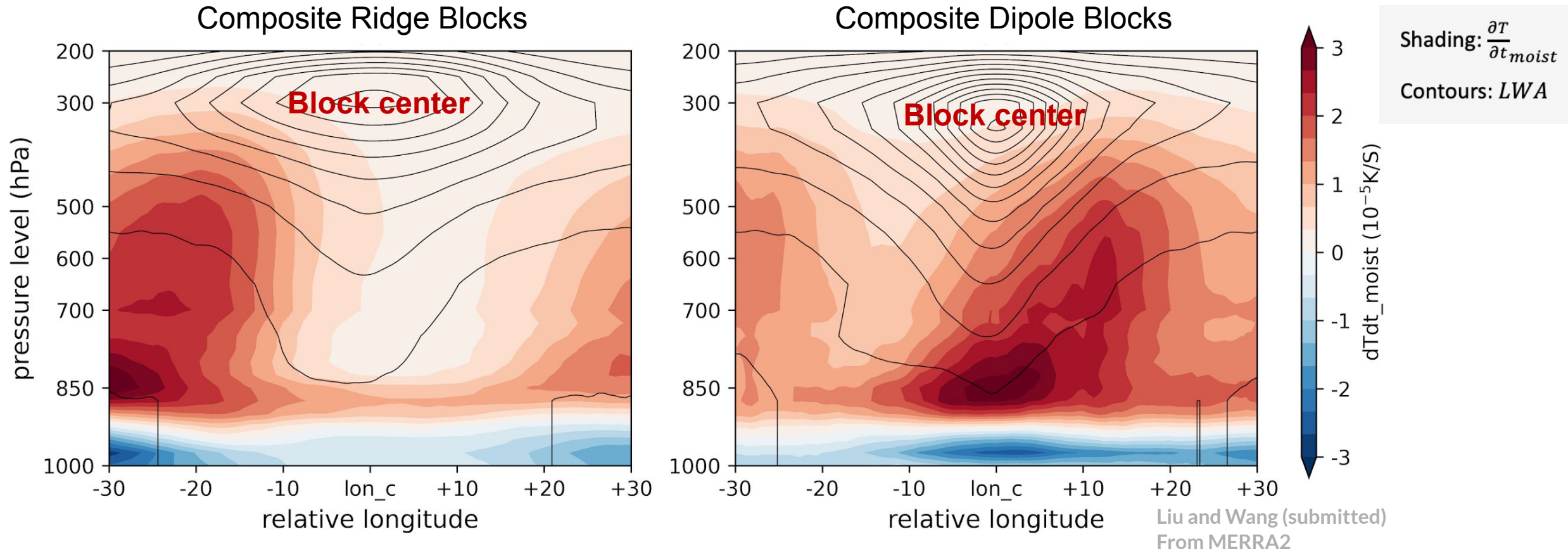
## For ridge blocks:

- Diabatic heating occurs upstream to the block.

## For dipole blocks:

- Diabatic heating primarily occurs within the cut low, South and downstream to the center of block.

# Distinct vertical structure of diabatic heating



## For ridges:

- Diabatic heating occurs upstream, and tends to stabilize the stratification.

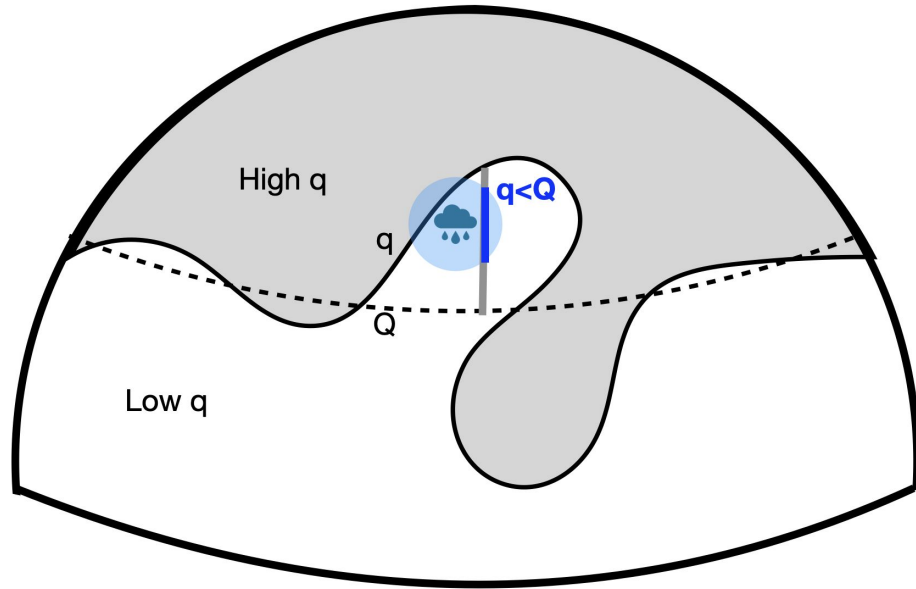
## For dipoles:

- Diabatic heating centers at lower levels, and tends to destabilize the stratification.

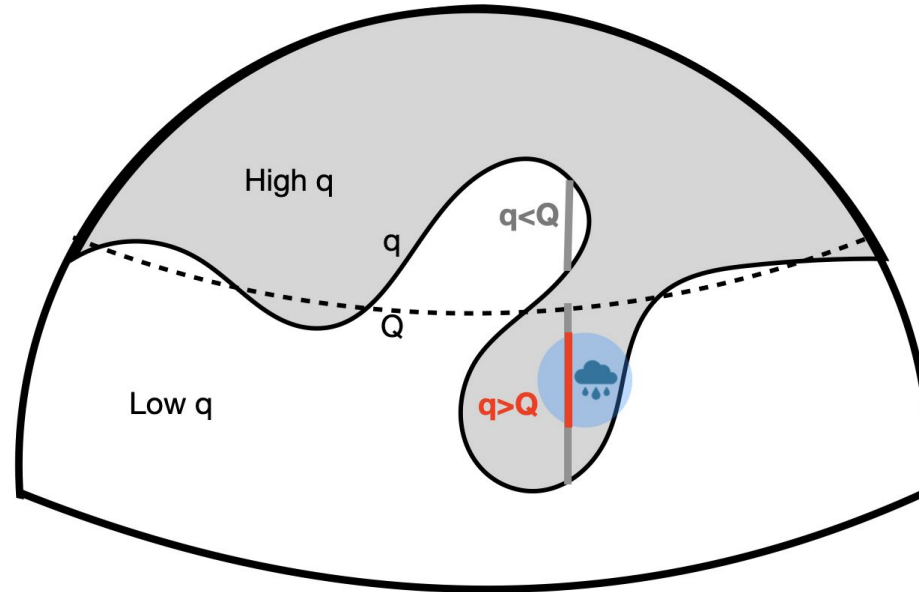


# From thermodynamics to dynamics contribution

Moisture-induced LWA Tendency



Moisture-induced LWA Tendency



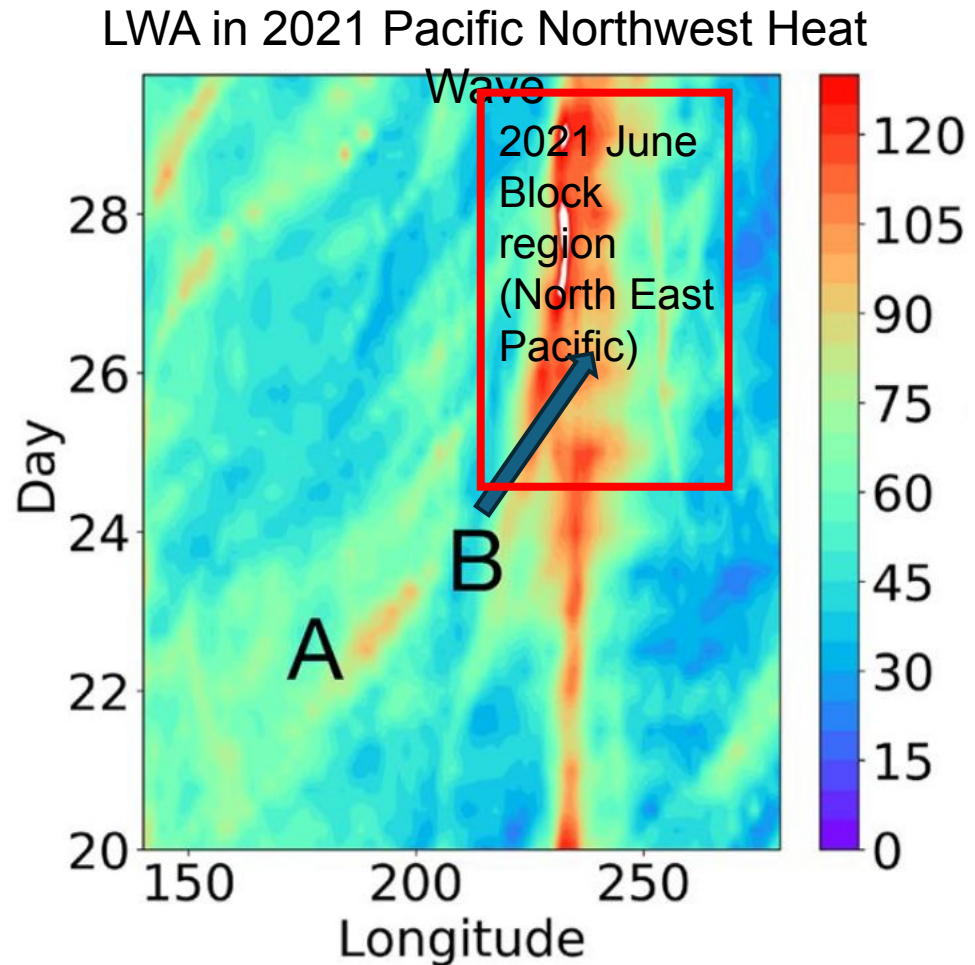
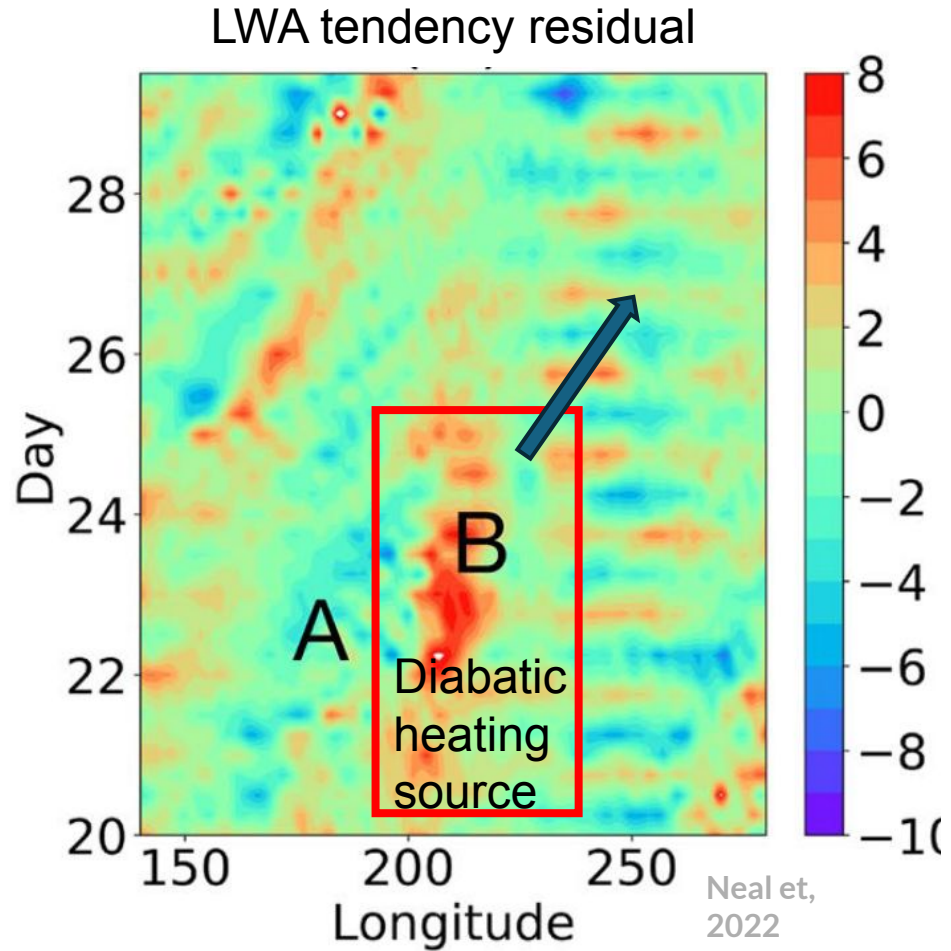
Translation from thermodynamics contribution to dynamics contribution:

$$\frac{\partial A \cos \phi}{\partial t}_{moist} \approx -a \int_0^{\Delta \phi} f_0 e^{\frac{z}{H}} \frac{\partial}{\partial z} \left( e^{-\frac{z}{H}} \frac{\partial T}{\partial t}_{moist} \frac{e^{\kappa \frac{z}{H}}}{\frac{\partial \theta_0}{\partial z}} \right) \cos(\phi + \phi') d\phi'$$

Moist process  $\rightarrow$  Diabatic heating  $\frac{\partial T}{\partial t}_{moist}$   $\rightarrow$  QGPV changes  $\frac{dq}{dt}_{moist}$   $\rightarrow$  LWA changes  $\frac{\partial A \cos \phi}{\partial t}$

# From thermodynamics to dynamics contribution

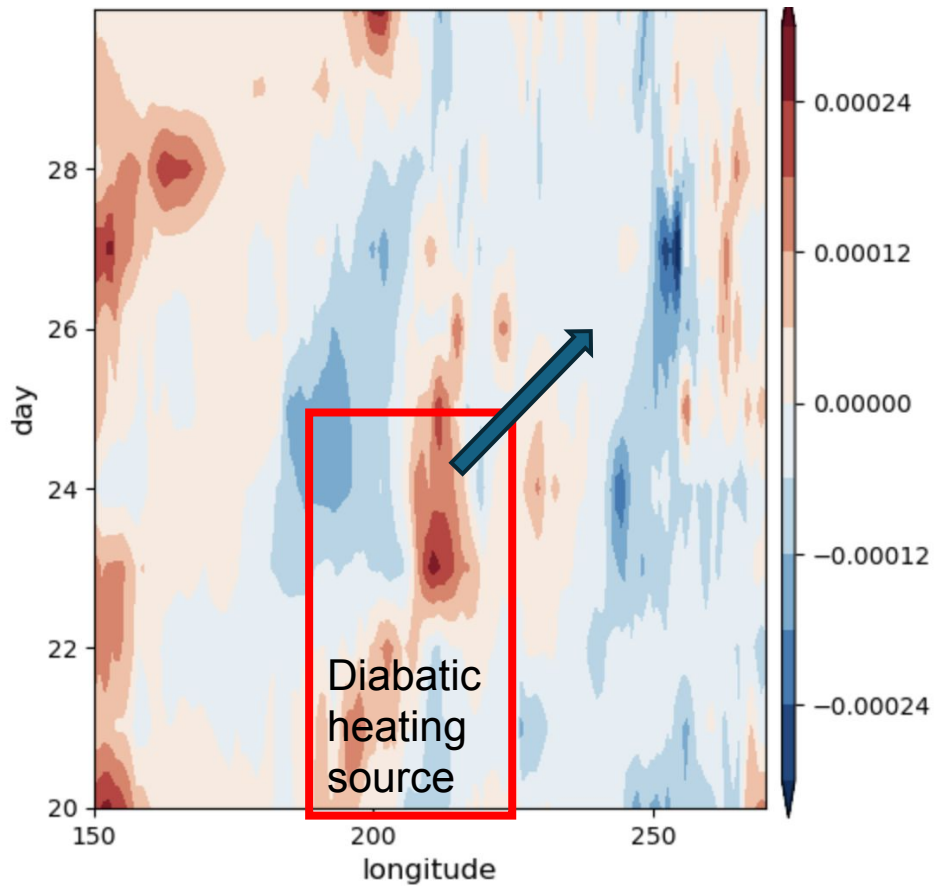
**Residual Method (Neal et al., 2022)**



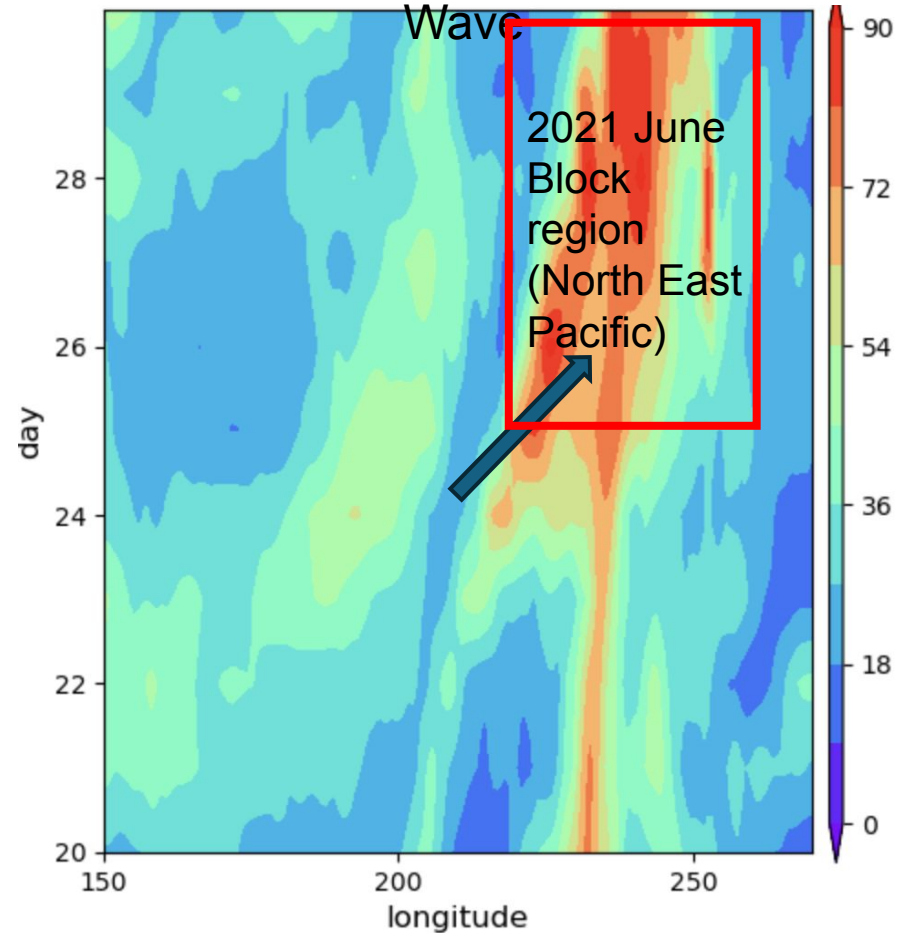
# From thermodynamics to dynamics contribution

We directly calculate the moist-induced LWA tendency based on diabatic heating

Moist-induced LWA tendency



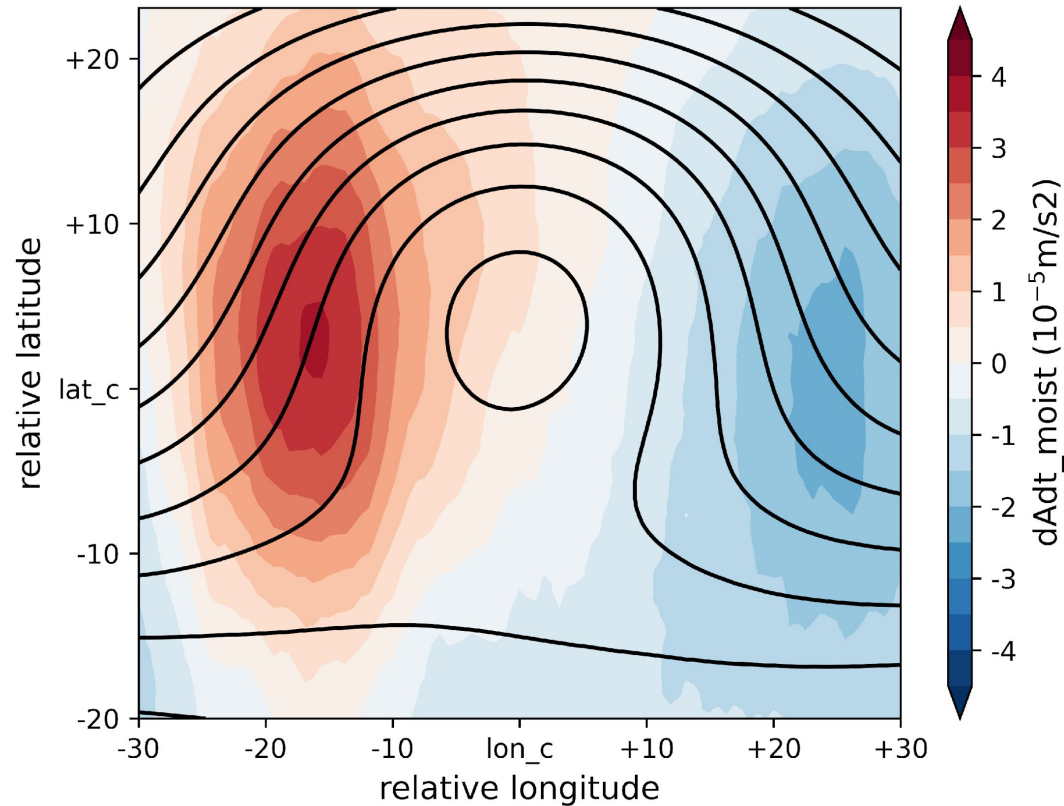
LWA in 2021 Pacific Northwest Heat Wave



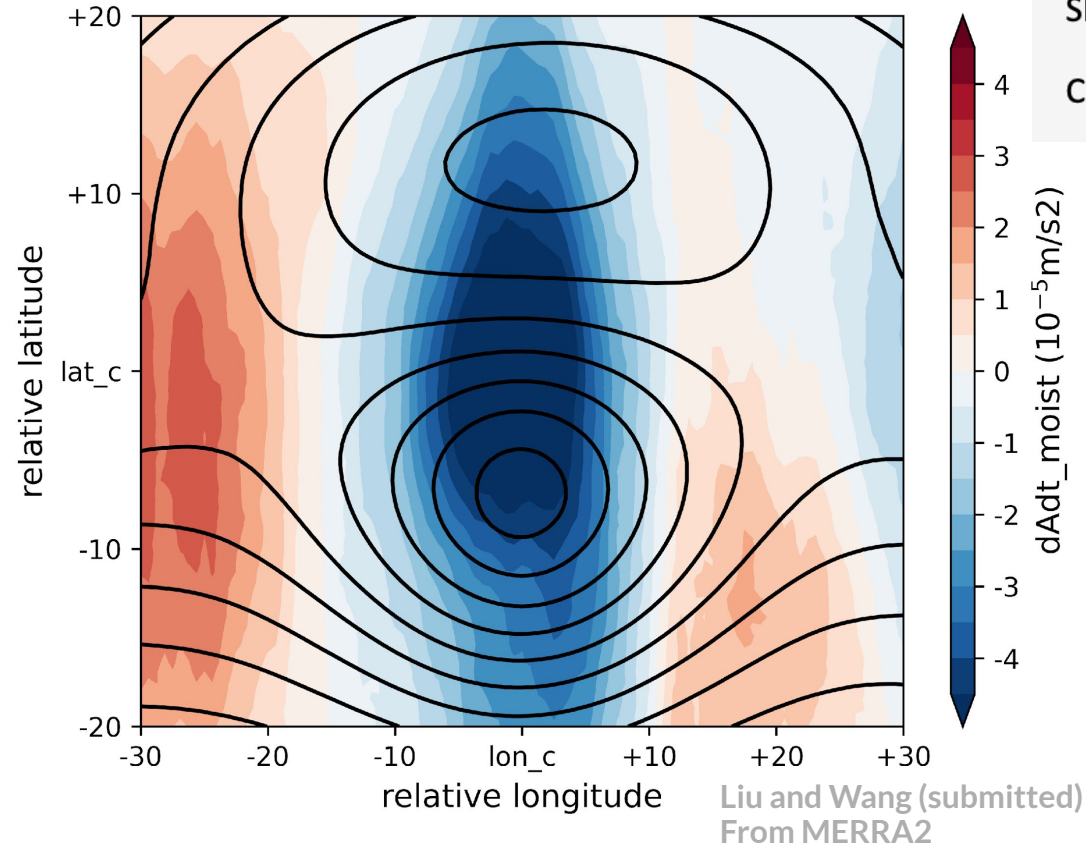


# Distinct horizontal patterns of moist-induced LWA

## Composite Ridge Blocks



## Composite Dipole Blocks



### For ridges:

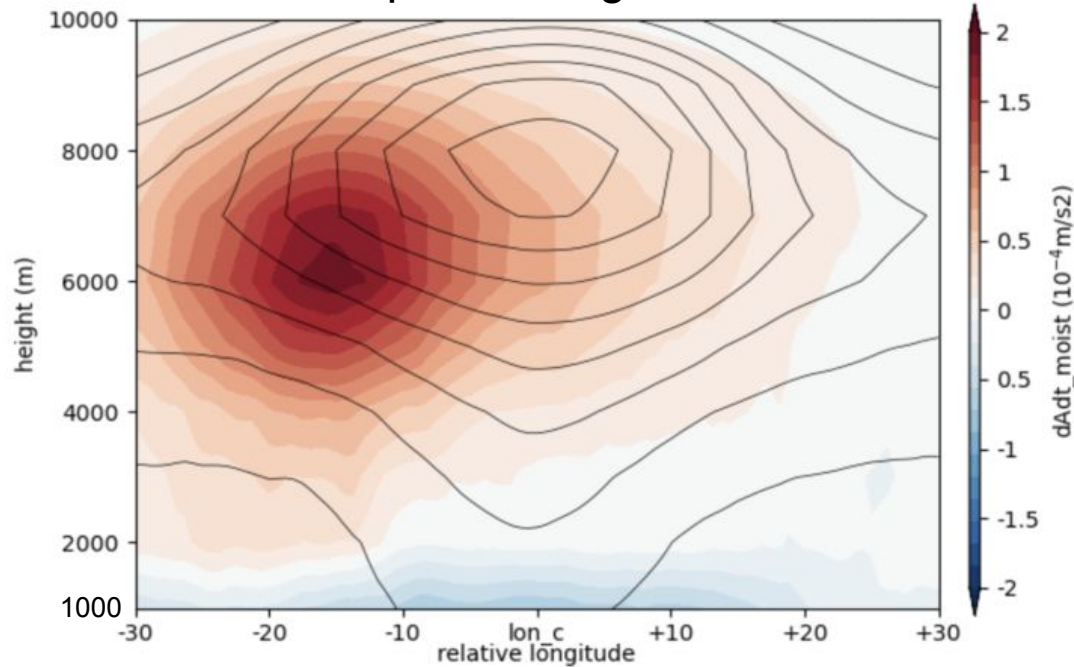
- Weak intensification at the center.
- Strong upstream wave activity enhancement (persistence effect) helps to maintain the block.

### For dipoles:

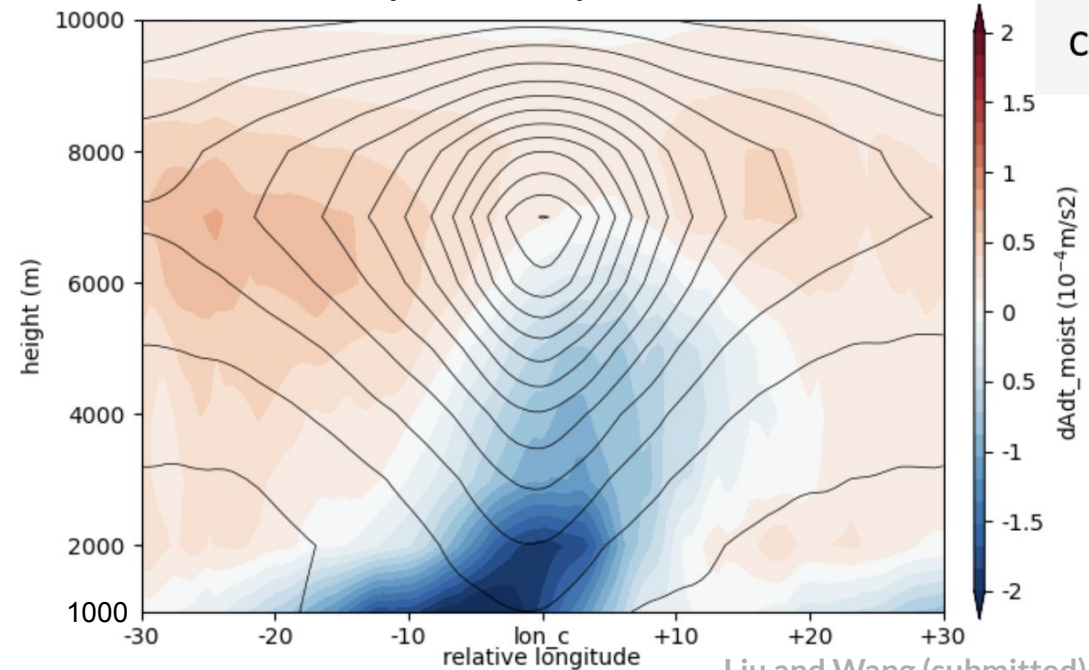
- Strong dampening effect at the center.

# Distinct vertical pattern of moist-induced LWA tendency

## Composite Ridge Blocks



## Composite Dipole Blocks



Shading:  $\frac{\partial A \cos \phi}{\partial t}_{\text{moist}}$

Contours: *LWA*

Liu and Wang (submitted)

From MERRA2

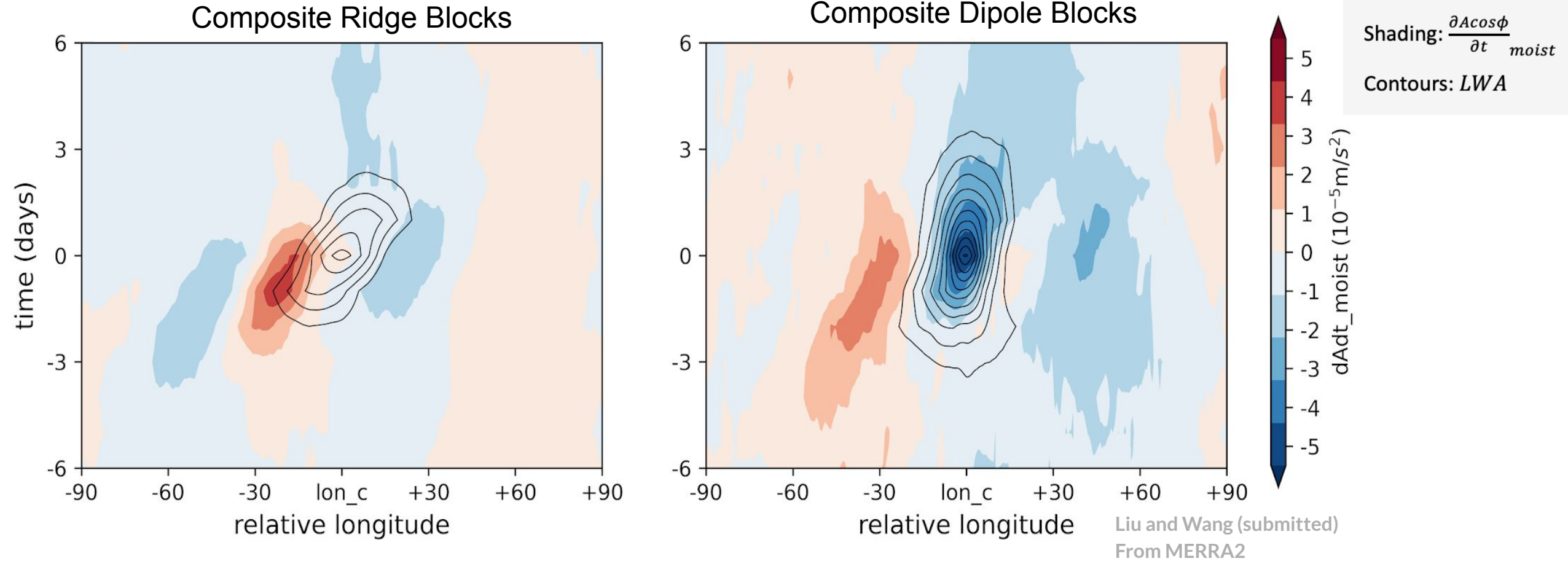
### For ridges:

- The upstream enhancement maximizes on 6-7km.

### For dipoles:

- The dampening effect centers at lower levels, but can extend to the middle troposphere.

# Hovmoller diagram of moist-induced LWA tendency



## For ridge blocks:

- The role of moist processes are more important **before or in the early stage** of the block.

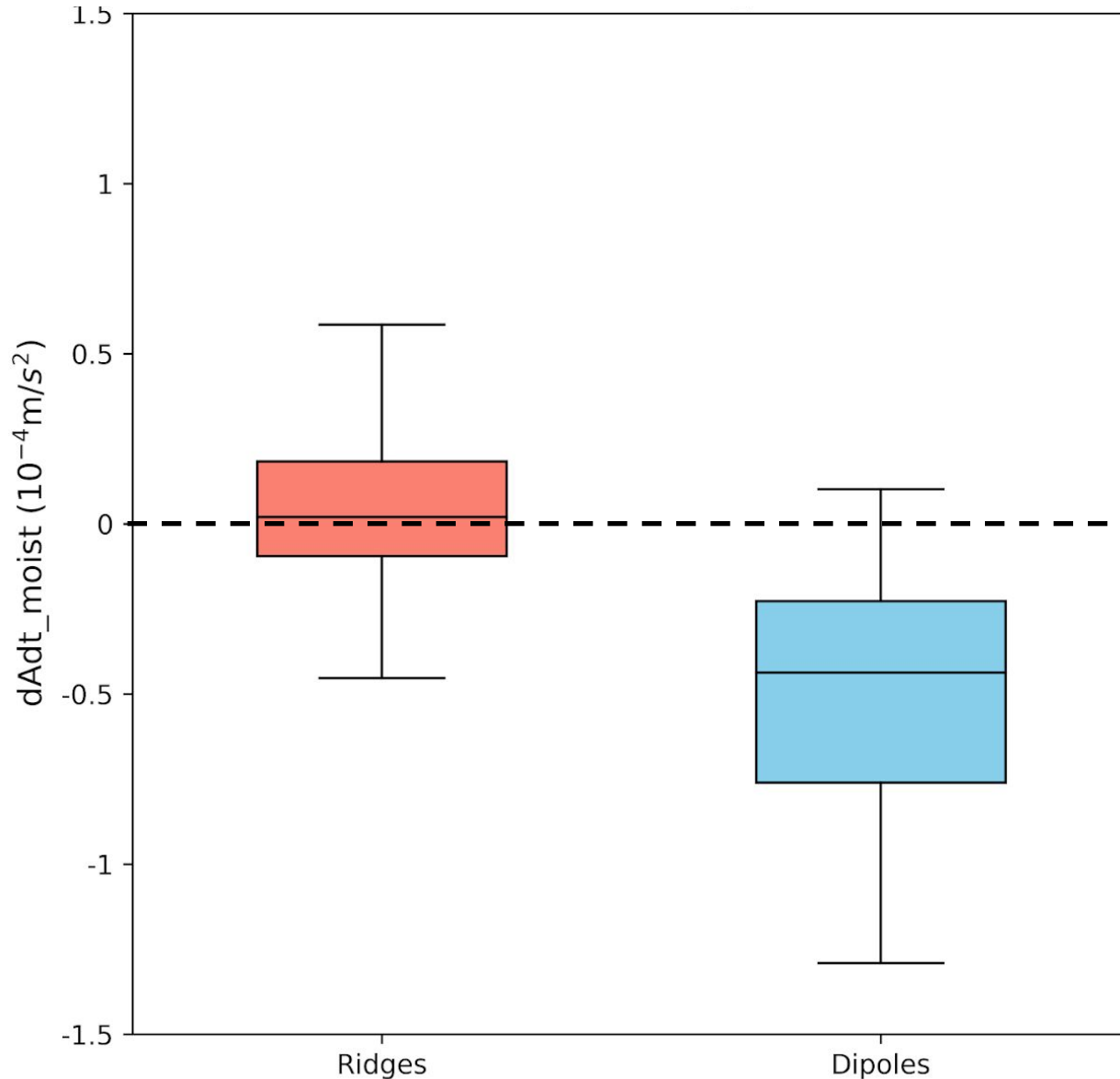
## For dipole blocks:

- The dampening effect by moist processes can last **throughout the entire blocking lifecycle**.



# Distribution of dampening/intensification effect

Distribution of domain averaged moist-induced LWA tendency



## For ridge blocks (1470 events):

- Block amplitude could be either enhanced or reduced.
- But the overall changing is relatively weak.

## For dipole blocks (784 events):

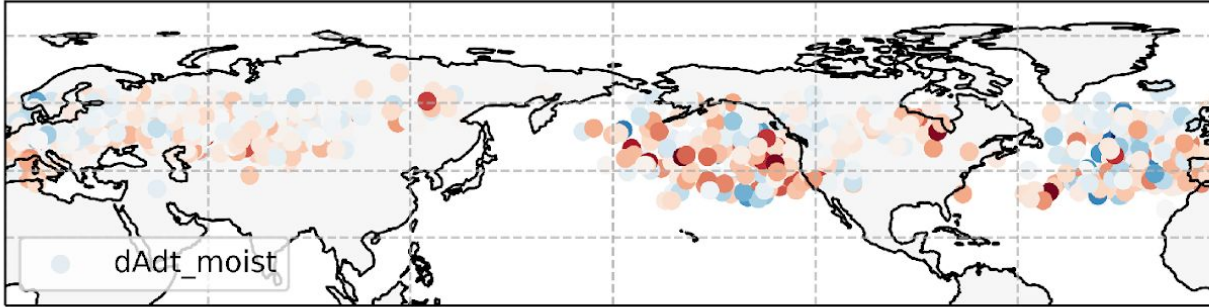
- Dampening effect is robust for most blocks.

Box: 25<sup>th</sup> - 75<sup>th</sup> interquartile

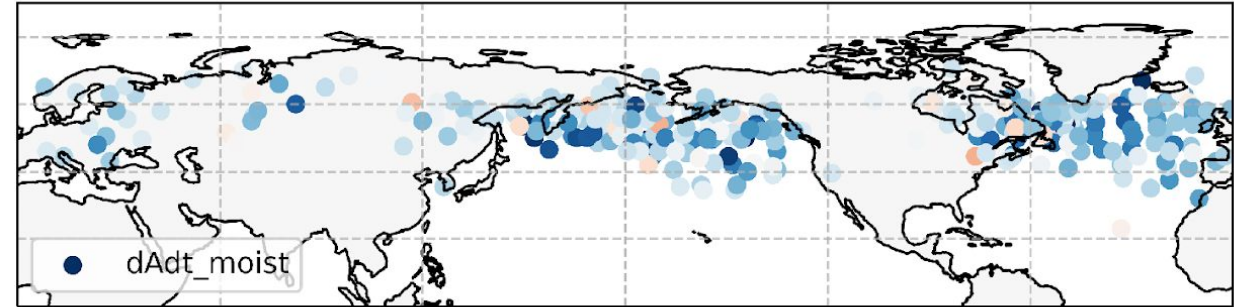
Whisker: 5<sup>th</sup> - 95<sup>th</sup> interquartile

# Geographic features of intensification/damping effect

Ridge Blocks  
(a)



Dipole Blocks  
(b)



Liu and Wang (submitted)

From MERRA2

Color: domain averaged moist-induced LWA  
tendency

-ve  +ve

## For ridge blocks (1470 events):

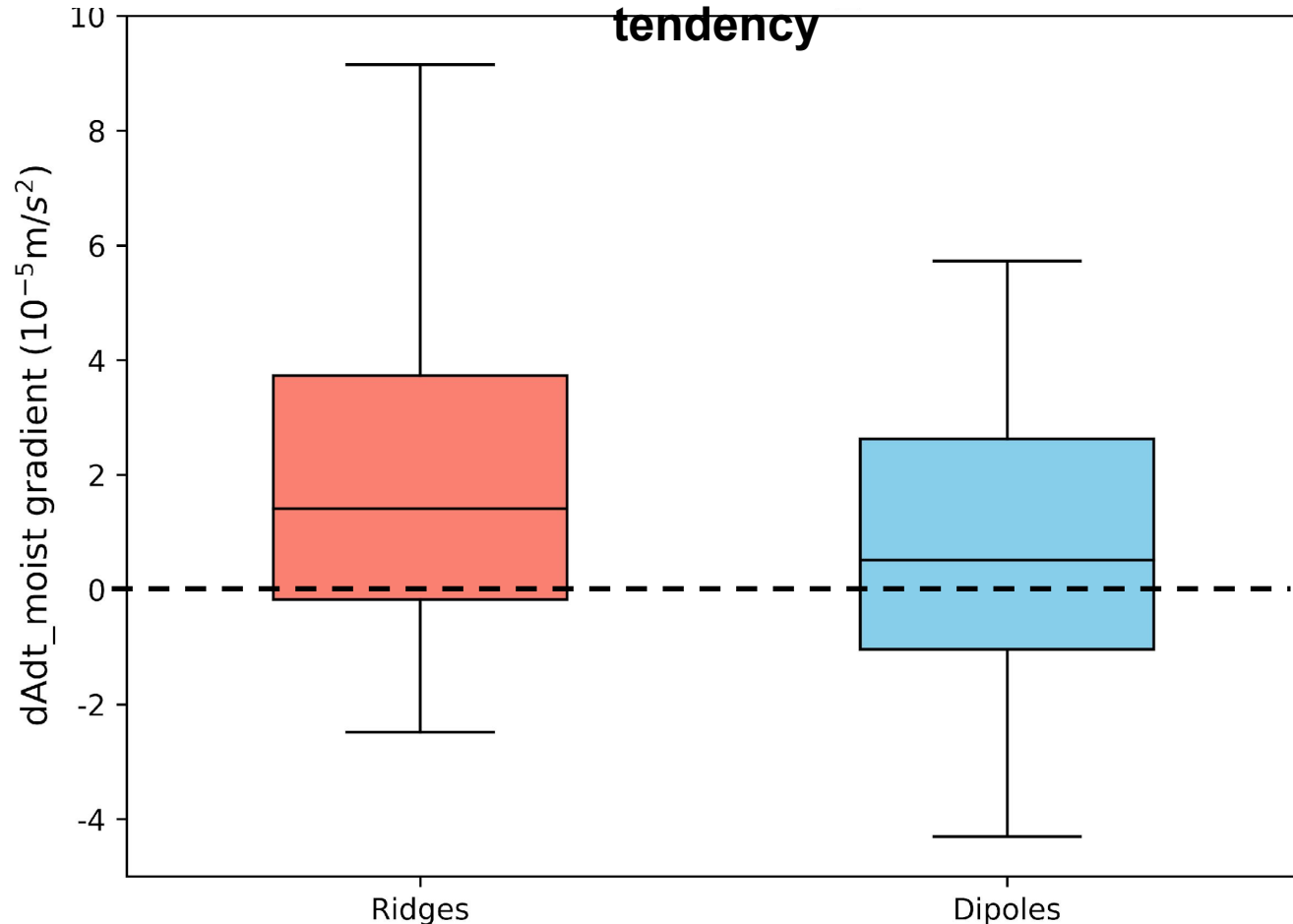
- Blocks could be either enhanced or reduced.
- More enhancement over the Northeast Pacific.

## For dipole blocks (784 events):

- Most blocking events are featured by robust dampening effect.

# Distribution of persistence effect

Distribution of west-east gradient of moist-induced LWA



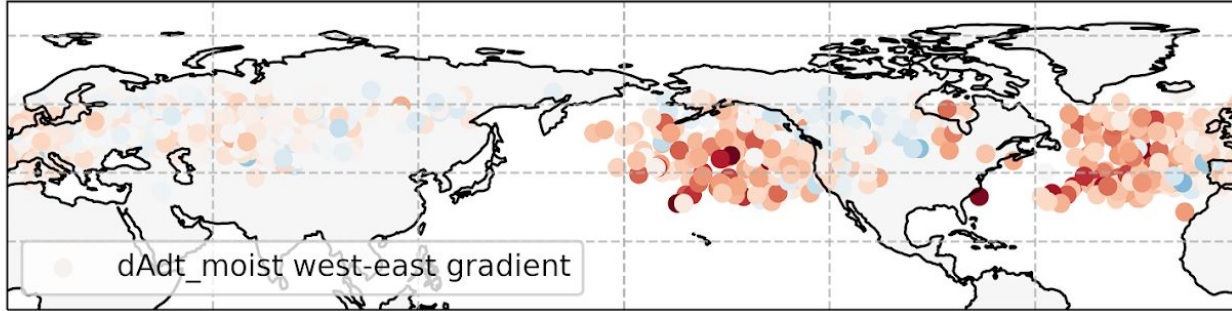
For ridge blocks (1470 events) persistence effect is very robust.

For dipole blocks (784 events) persistence effect is relatively moderate.

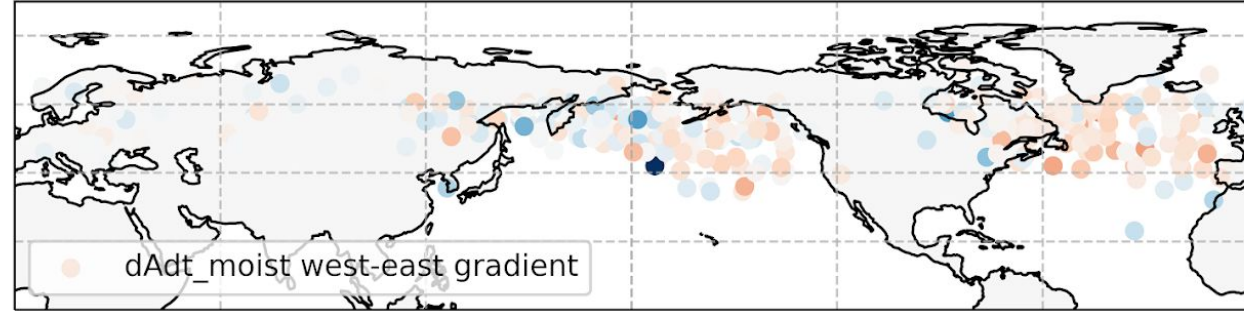


# Geographic features of persistence effect

Ridge Blocks  
(a)



Dipole Blocks  
(b)



Liu and Wang (submitted)  
From MERRA2

Color: **west-east gradient of moist-induced LWA tendency**

-ve  +ve

## For ridges (1470 events):

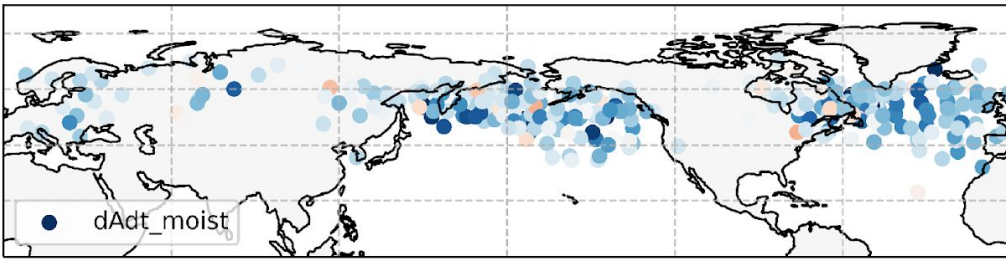
- Robust persistence effect for most blocking events over the oceans.

## For dipoles (784 events):

- Relatively weak persistence effect.

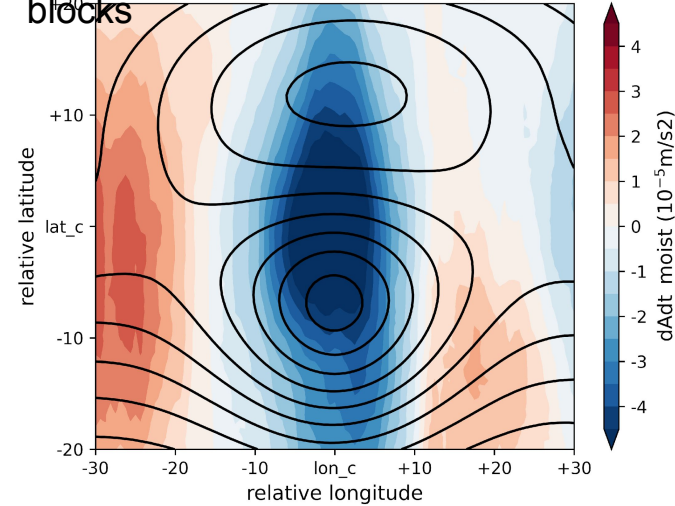
# A new insight to assess the future projection of blocks

Geographic features of dipole blocks



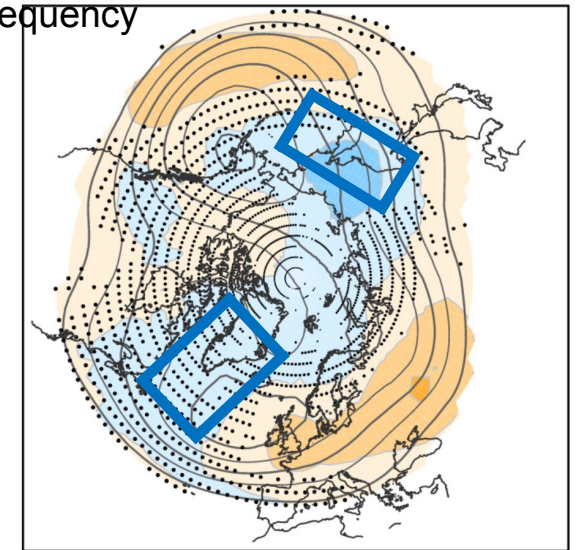
**Dipole blocks dominate**  
Northwest Atlantic and Northwest Pacific

Role of diabatic heating in dipole blocks



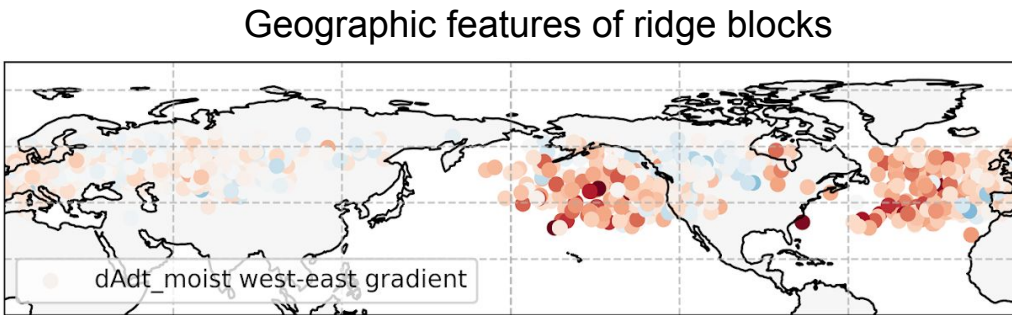
**Negative effect** from  
diabatic heating

Future projection of blocking frequency

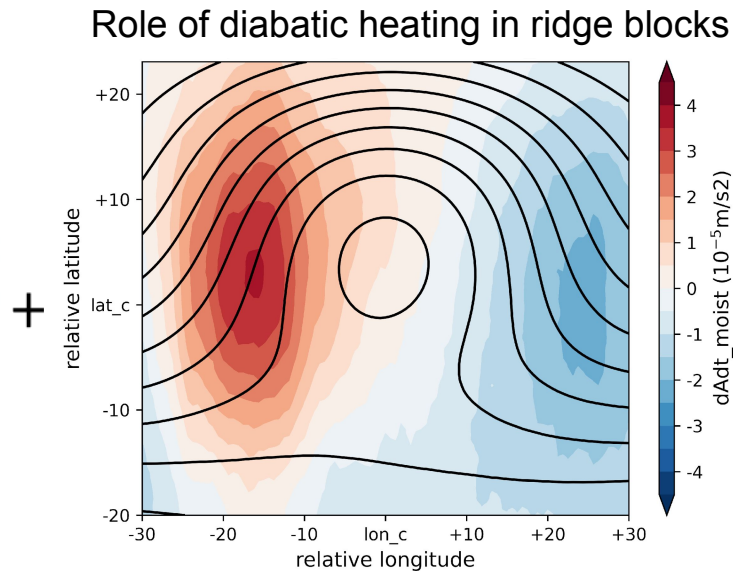


**Reduced blocking frequency**  
at Northwest Atlantic and  
Northwest Pacific

# A new insight to assess the future projection of blocks

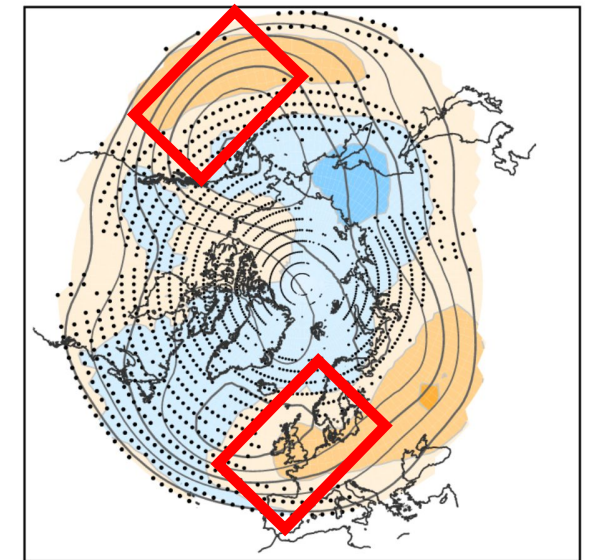


Ridge blocks dominate **Northeast Atlantic** and **Northeast Pacific**



+ Positive effect from diabatic heating

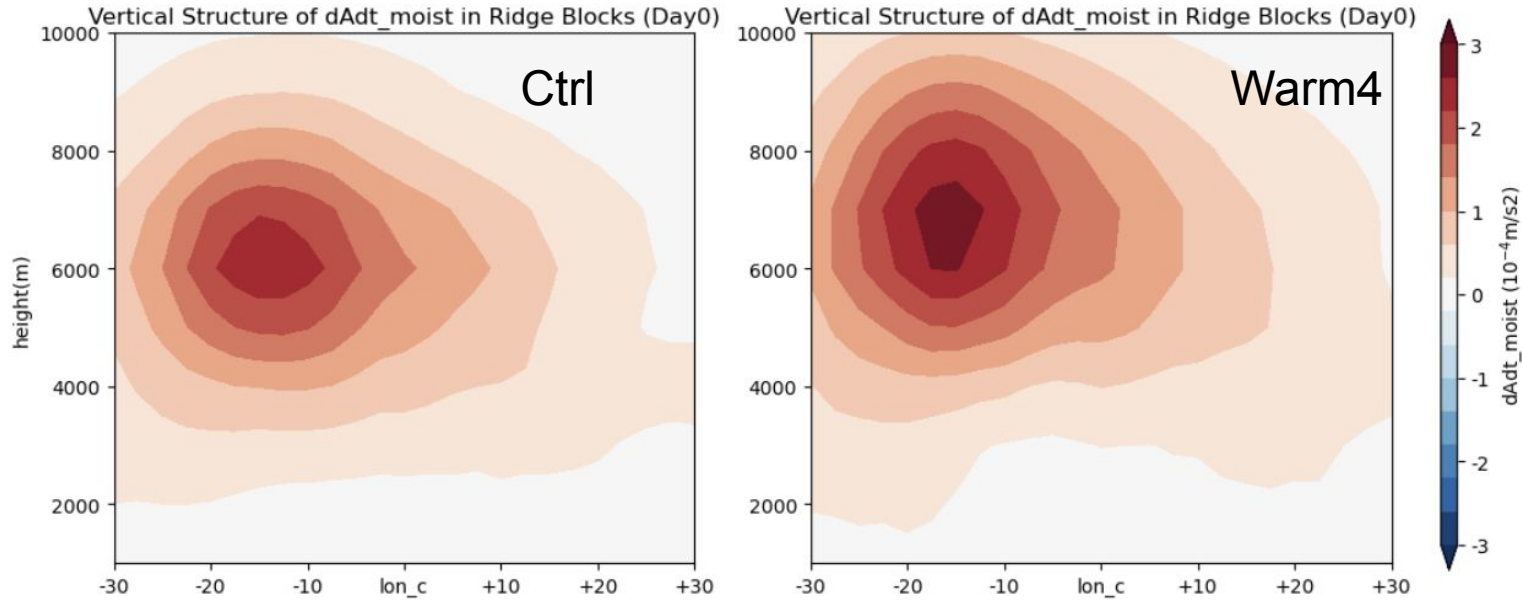
Future projection of blocking frequency



= Increased blocking frequency at **Northeast Atlantic** and **Northeast Pacific**

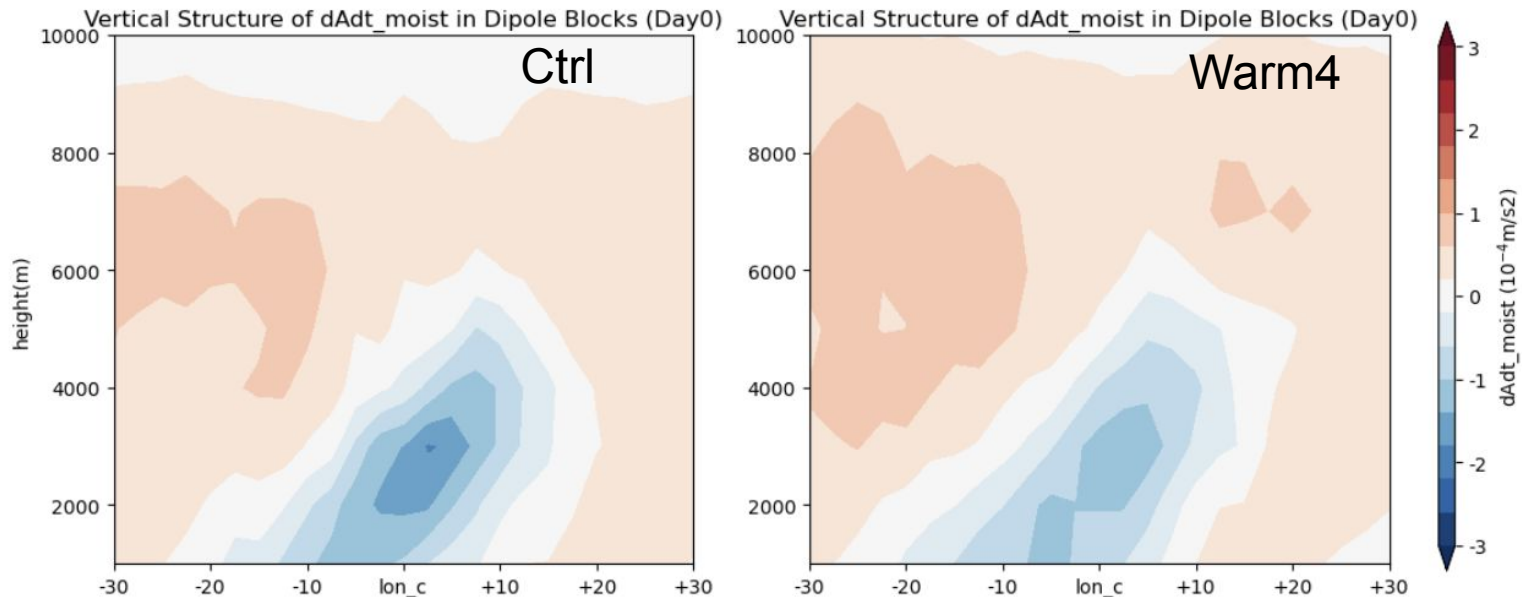


# CAM simulation with uniformly increased SST



## For ridges:

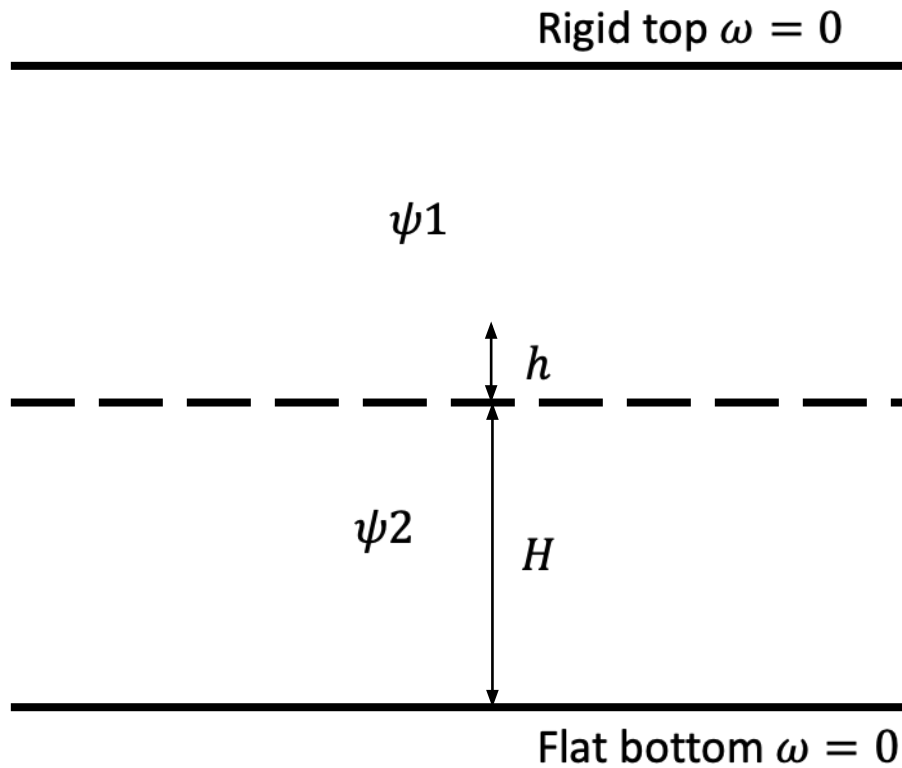
- In a warming climate, we find a stronger and higher upstream enhancement.



## For dipoles:

- In a warming climate, we find the damping effect is reduced.

# Two-layer QG model



$$\frac{D_1}{Dt} (\nabla^2 \psi_1 + \beta y) - f_0 D = F_1$$

Vorticity equation

$$\frac{D_2}{Dt} (\nabla^2 \psi_2 + \beta y) + f_0 D = F_2$$

$$\frac{D_i h_i}{Dt} + HD = -(-1)^i S$$

Continuity equation

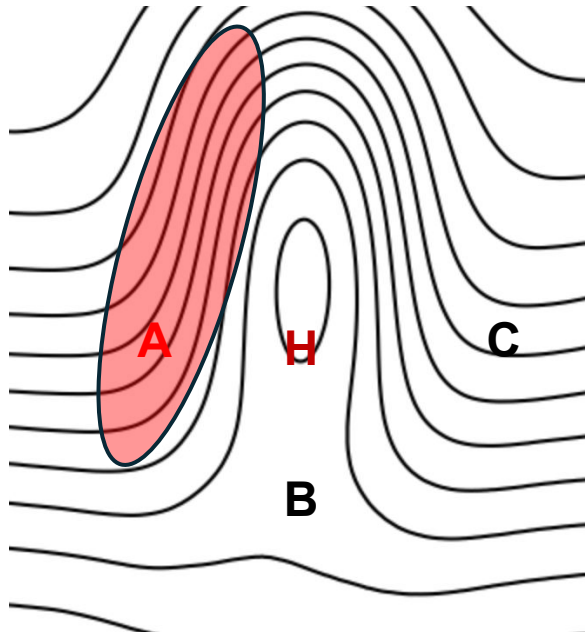
$$S = \frac{(LP - R)H}{C_p \delta \theta}$$

$S$  is the mass exchange from the lower to the upper layer

$$f_0 (\psi_1 - \psi_2) = g \frac{\delta \theta}{\theta_0} h$$

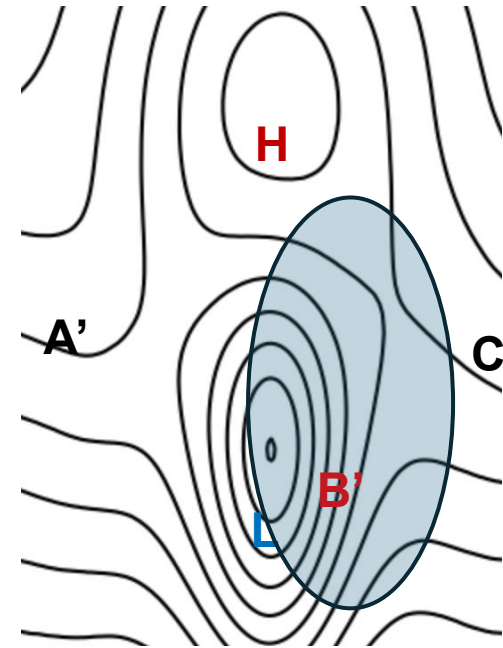
Hydrostatic balance

# Conclusion and Discussion



## For ridge blocks:

- Diabatic heating occurs upstream to the block (A').
- Diabatic heating enhances the upstream wave activity, conducive to the persistence of blocks.



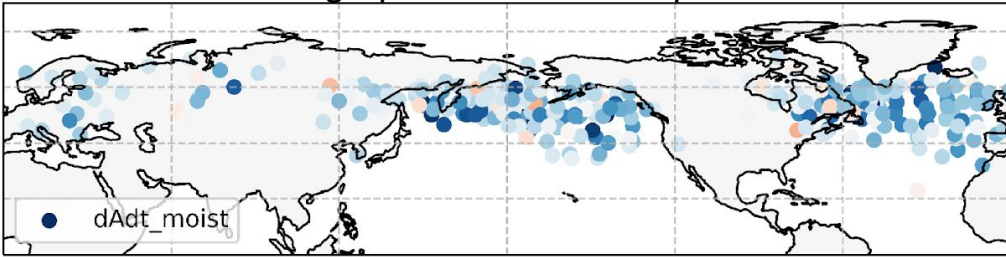
## For dipole blocks:

- Diabatic heating occurs within the cut low (B')
- Diabatic heating exerts a strong dampening effect that can directly reduce the amplitude of blocks.

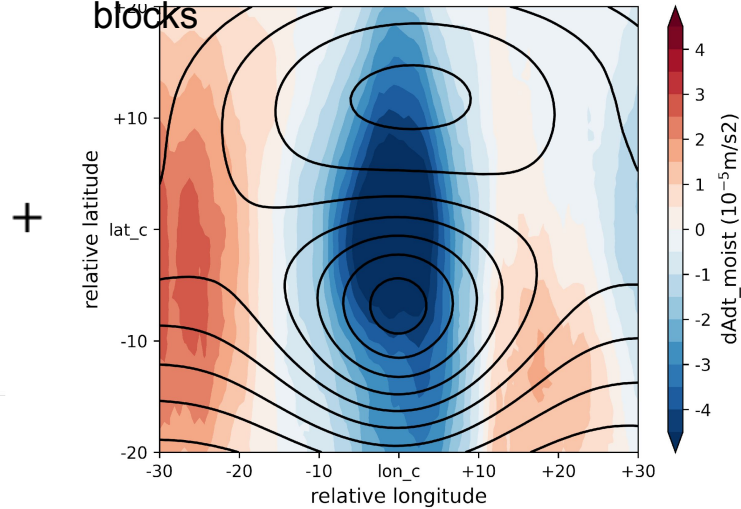


# Conclusion and Discussion

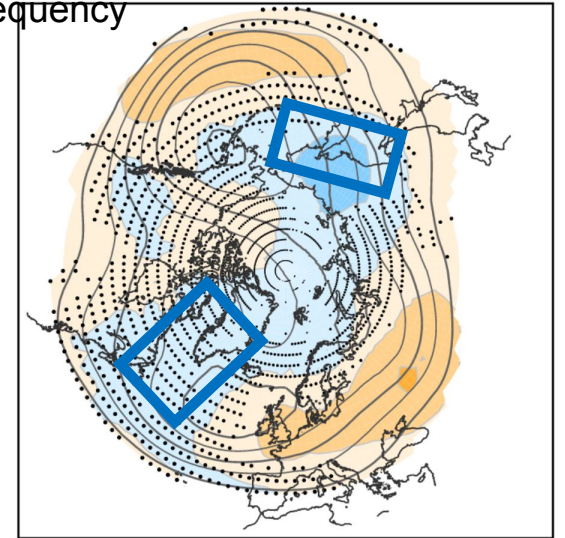
Geographic features of dipole blocks



Role of diabatic heating in dipole blocks



Future projection of blocking frequency



The distinct geographic features of ridge blocks and dipole blocks, together with distinct roles of diabatic heating, help to assess the future projection of blocking events.

## Citation:

Liu and Wang: Blocking Diversity: Distinct Roles of Diabatic Heating (submitted)