

Machine learning for climate prediction and attribution: Use and best practices

John R. Albers^{1,2}

¹Cooperative Institute for Research in the Environmental Sciences
University of Colorado Boulder

²NOAA - Physical Sciences Laboratory

Acknowledgements: Matthew Newman, Sam Lillo, Melissa Breeden, Andrew Hoell, Yan Wang

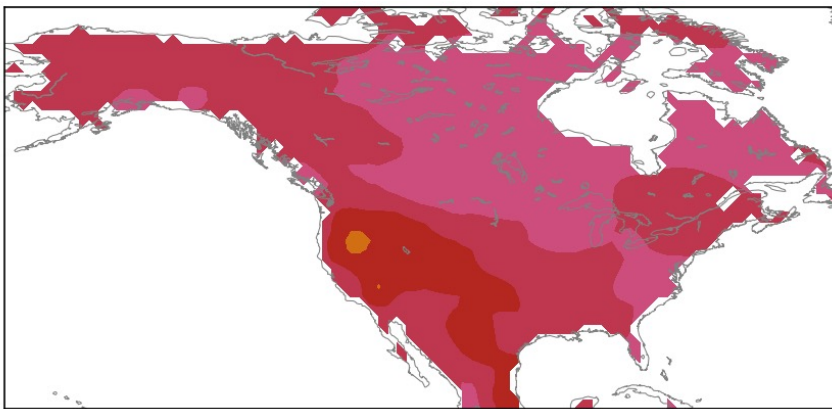
March 16, 2022

Fundamental problem in subseasonal-to-seasonal forecasting

- Forecast models have, on average, low skill for leads beyond 3 weeks

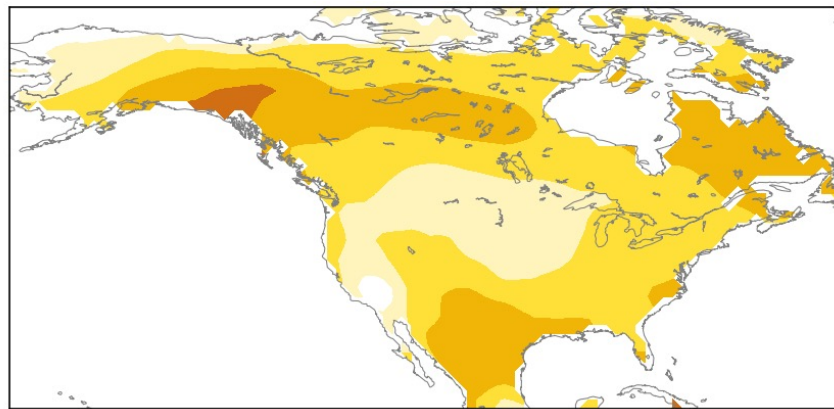
ECMWF IFS – 2m temperature skill (DJF 1999-2010)

Forecast Week 2



anomaly correlation > 0.5-0.7

Forecast Week 4



anomaly correlation < 0.2-0.3



What information/tools do forecasters use?

- Ensembles of models
- Methods for identifying 'forecasts of opportunity'
- Knowledge of dynamical processes contributing to signals

⇒ Consistency across tools builds forecast confidence

How can machine learning meet these needs?

- Ensembles of models
⇒ ML model must have comparable skill
- Methods for identifying 'forecasts of opportunity'
⇒ ML model must identify forecasts of opportunity at time of forecast
- What dynamical processes are contributing to forecasts?
⇒ Ideally relate forecasts of opportunity to known climate modes

ML examples that meet these criteria to various degrees:

- **Linear inverse models** (detailed here, Albers and Newman 2020, 2021)
- **Explainable neural networks** (Mayer/Barnes 2021, van Straaten et al. 2022)
- **ML from GCM output** (Ding et al. 2018, Ham et al. 2019, Shin et al. 2020, Gibson et al. 2021)
- **Signal-to-noise ensemble forecast models** (Charlton-Perez et al. 2021)

What is a LIM and how does it identify forecasts of opportunity?

Empirical model constructed from observed lag-covariances statistics

⇒ Here, predicted variables in LIM state vector (x) include: tropospheric and stratospheric mass and circulation, tropical SSTs and heating, 2m temperature (all taken from JRA-55 reanalysis)

$$\frac{dx}{dt} = Lx + \xi$$

LIM forecast signal

LIM noise forcing
(forecast uncertainty)

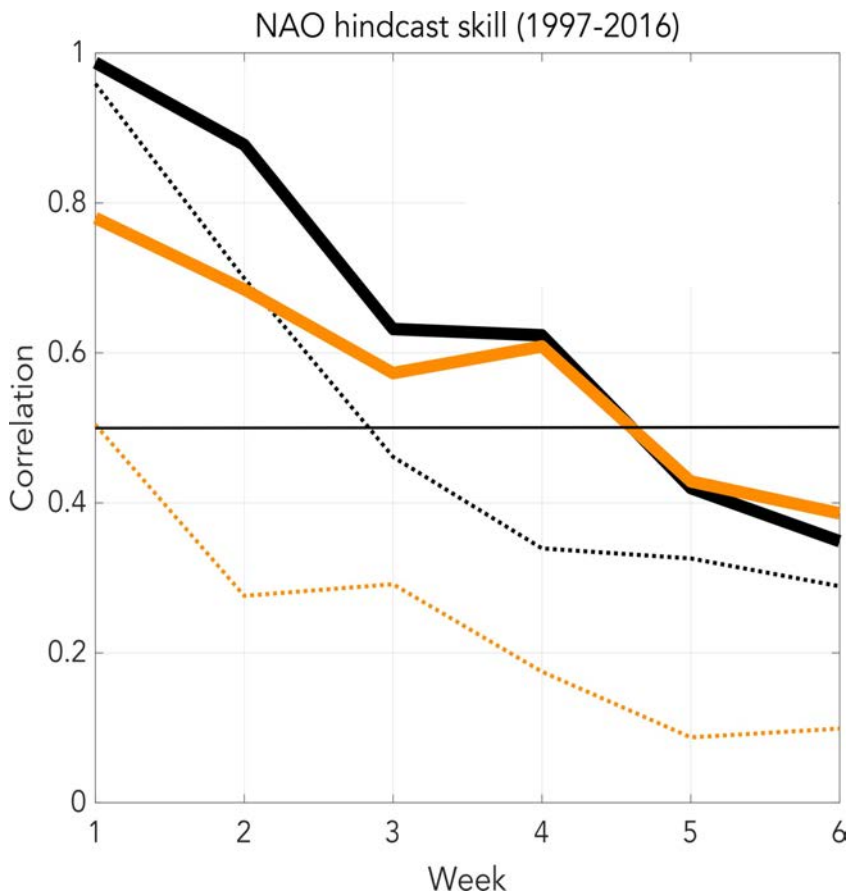
'Expected skill' of a perfect model infinite-member ensemble mean forecast

$$\rho_{\infty}(t; \tau) = \frac{S^2(t; \tau)}{\left([S^2(t; \tau) + 1] S^2(t; \tau) \right)^{1/2}}$$

- $S^2 \rightarrow$ forecast signal-to-noise ratio (based on the LIM in our case)
- $t \rightarrow$ forecast initial time
- $\tau \rightarrow$ forecast lead

- Calculated at time of forecast (it is a forecast of forecast skill)
- Forecast lead dependent

High skill forecasts identified using LIM's signal-to-noise ratio



- LIM identifies skillful forecasts for itself AND in other numerical forecast models

 LIM high expected skill

 LIM low expected skill

 IFS high expected skill

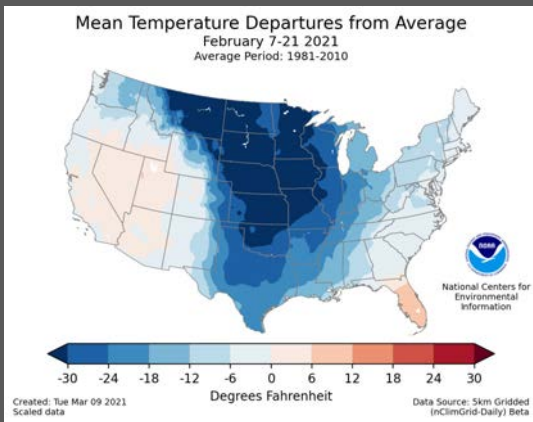
 IFS low expected skill

High skill  top 15% of forecasts

Low skill  remaining 85%

February 2021 extreme cold air outbreak

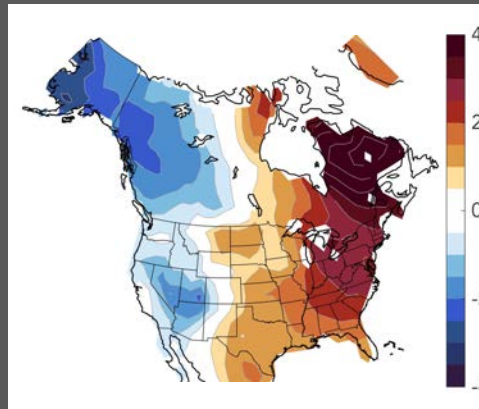
Verification



- Central United States 30° F below normal Feb. 7-21
- Shreveport, LA breaks record low by 19° F (low of 1° F)
- Widespread power and water outages
- More than 100 deaths and \$200-300 billion in damages

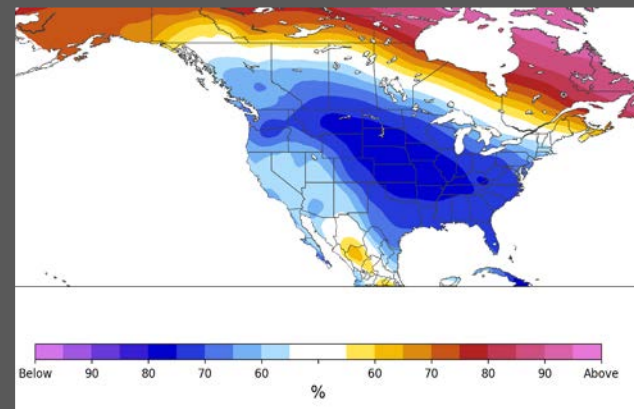
(sources: NOAA NWS and NCEI, AP, CBS)

2m temperature forecasts



ECMWF IFS Week 3/4 forecast

- Forecast initialized – Jan. 21
- Verification period – Feb. 5-18



NOAA CPC/PSL LIM probabilistic Week 4 forecast

- Forecast initialized – Jan. 19
- Verification period – Feb. 10-16

February 2021 extreme cold air outbreak

Verification

2m temperature forecasts

Mean Temperature Departures from Average
February 7-21 2021
Average Period: 1981-2010

Questions:

1. What dynamical climate modes caused the CAO?
2. What dynamical modes were predictable at subseasonal forecast leads?

- | | | |
|--|--|--|
| <ul style="list-style-type: none">• Shreveport, LA breaks record low by 19° F (low of 1° F)• Widespread power and water outages• More than 100 deaths and \$200-300 billion in damages | <ul style="list-style-type: none">• Forecast initialized – Jan. 21• Verification period – Feb. 5-18 | <p><u>forecast</u></p> <ul style="list-style-type: none">• Forecast initialized – Jan. 19• Verification period – Feb. 10-16 |
|--|--|--|

Building a 'dynamical filter' for dynamical process attribution

LIM-based 'nonnormal' filter:

$$\frac{dx}{dt} = Lx + \xi$$

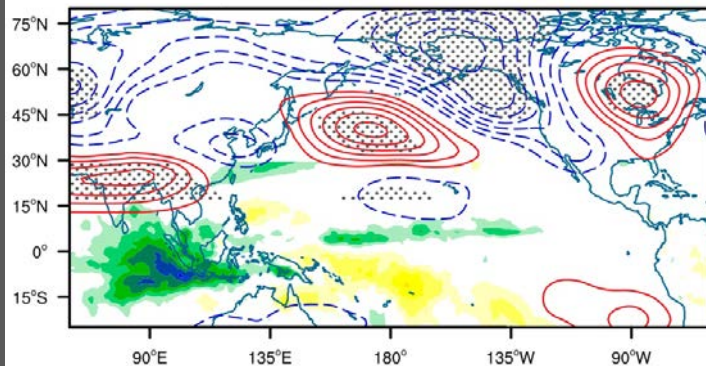


Eigendecomposition of L yields eigenmodes with 3 important characteristics:

1. Period/frequency of oscillation
2. e-folding decay time
3. Relative amplitude in each LIM state vector ($\mathcal{X}$) variable

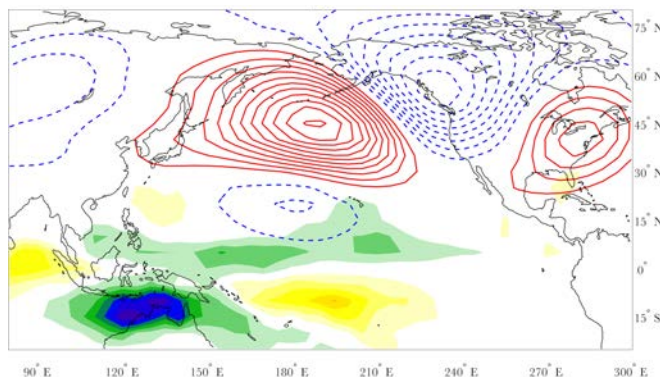
Example: LIM MJO eigenmode

MJO phase 3



- ERA-Interim 250 hPa geopotential heights (contours)
- GPCP precipitation (filled contours)

(Henderson et al. *J. Clim.* 2017)

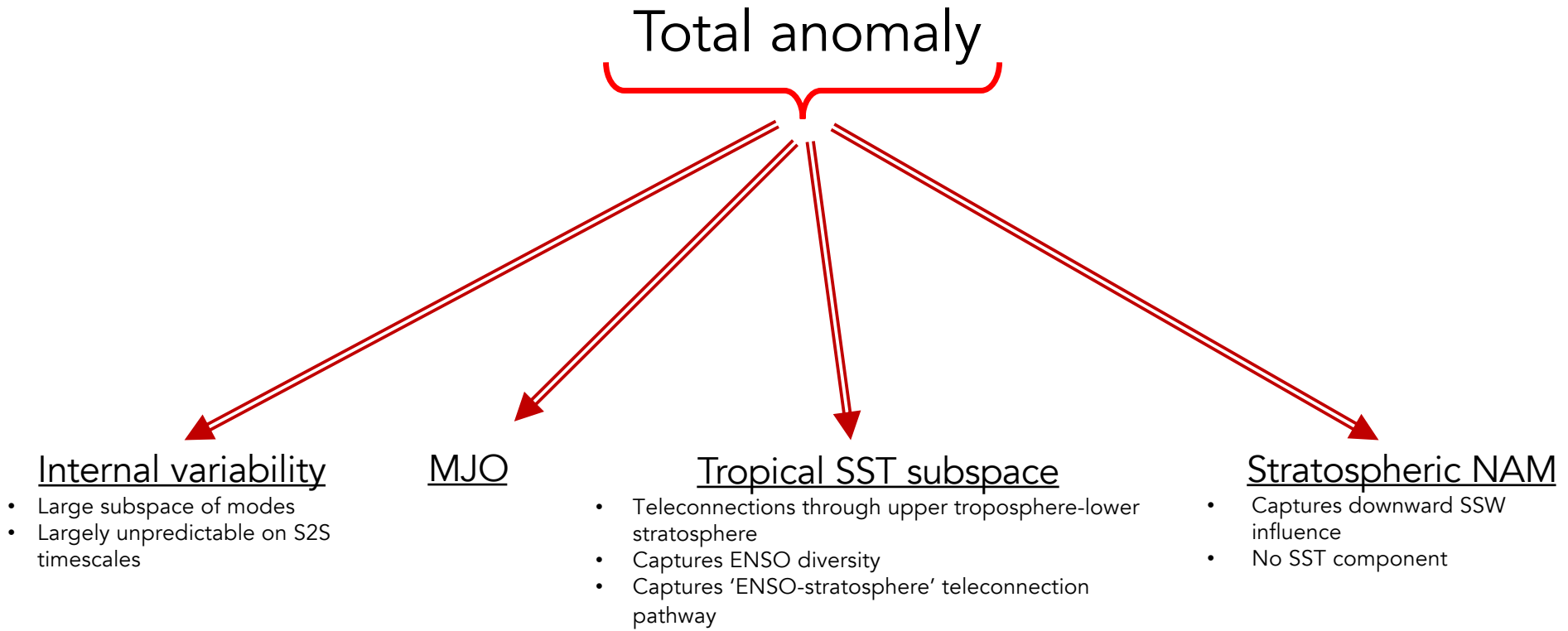


LIM-based MJO eigenmode:

- 500 hPa geopotential heights (contours)
- tropical heating (filled contours)
- e-folding time = 21 days
- oscillation period = 52 days

important characteristics:

Dynamical processes from LIM filter:



(References: SST-stratosphere-SSW modes → Albers and Newman 2021 – MJO-ENSO → Henderson et al. 2020)

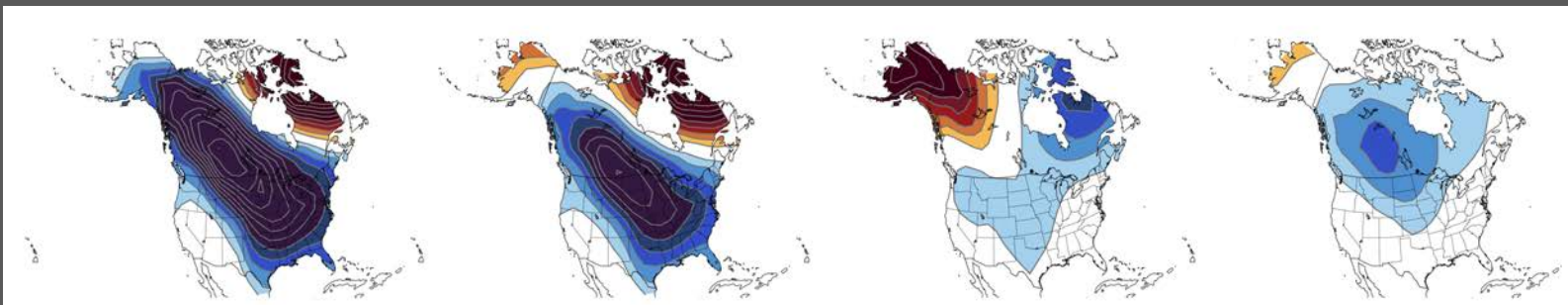
2m temperature

Forecast initialized – Jan. 24

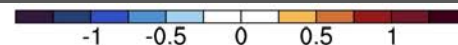
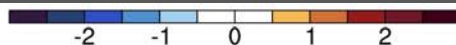
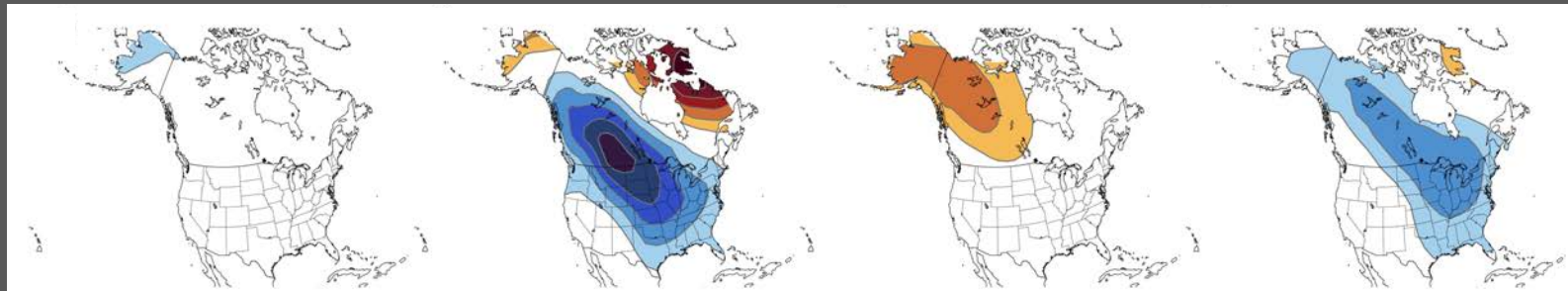
Forecast verified – Feb. 8 - 21

$$\text{Total anomaly} = \text{Internal variability} + \text{Tropical SSTs (La Niña)} + \text{MJO} + \text{Stratospheric NAM (SSW)}$$

Verifications



LIM
forecasts



Conclusions:

- Machine learning models can contribute to S2S forecasting by:
 - Forecasts skillful enough to contribute to forecast ensemble
 - Help identify 'forecasts of opportunity'
 - Identify dynamical processes contributing to forecasts
- ML capabilities are actively being developed, promising approaches include:
 - Linear inverse models
 - 'Explainable' neural networks
 - ML from GCM output (e.g., convolutional neural network and model analog approaches)