



# Land Surface Hydrology in Earth System Reanalysis

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**Special thanks to:**  
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## Focus on:

- Global retrospective analysis.
- Satellite era (NASA-centric).

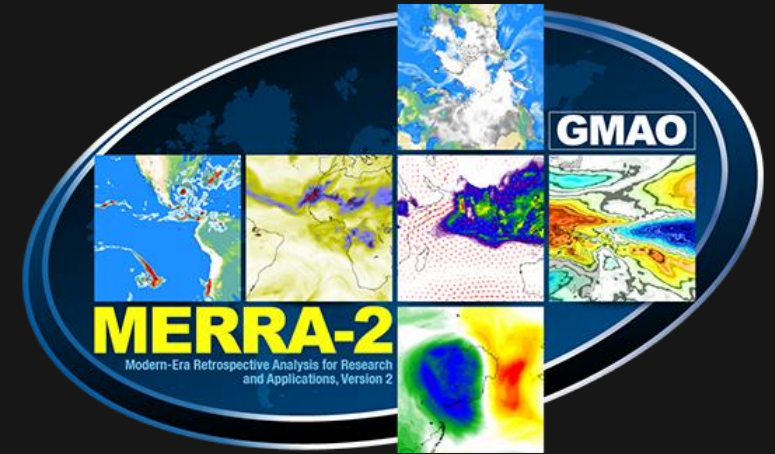
## Major US reanalyses:

- No (or minimal) land assimilation.
- Observed precipitation (CFSR, MERRA-2) → poor man's soil moisture/snow analysis.
- ERA5: Soil moisture & snow analysis, but not using observed precipitation.

## Land assimilation:

- US progress chiefly in land-only systems (e.g., SMAP Level-4 Soil Moisture, NASA LIS, ...).

**Grand challenge**: Use land assimilation in next US Earth system reanalysis.





## Key land variables (modeling, observations, assimilation)

- Precipitation
- Soil moisture
- Snow
- Terrestrial water storage
- Surface water (rivers, lakes)
- Land surface temperature
- Vegetation phenology
- Soil parameters, land cover, albedo
- Anthropogenic processes

## Systems and methods

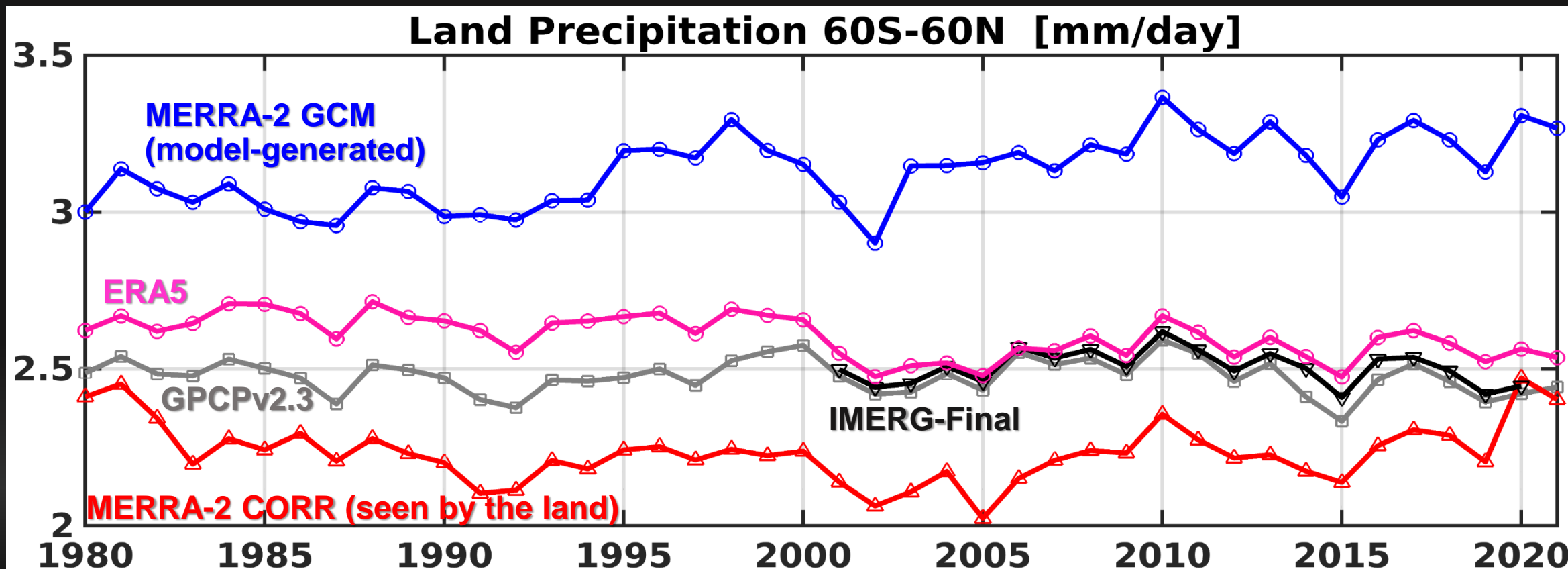
- Filtering, coupling, software

## Towards workshop outcomes

- Advances, barriers, requirements

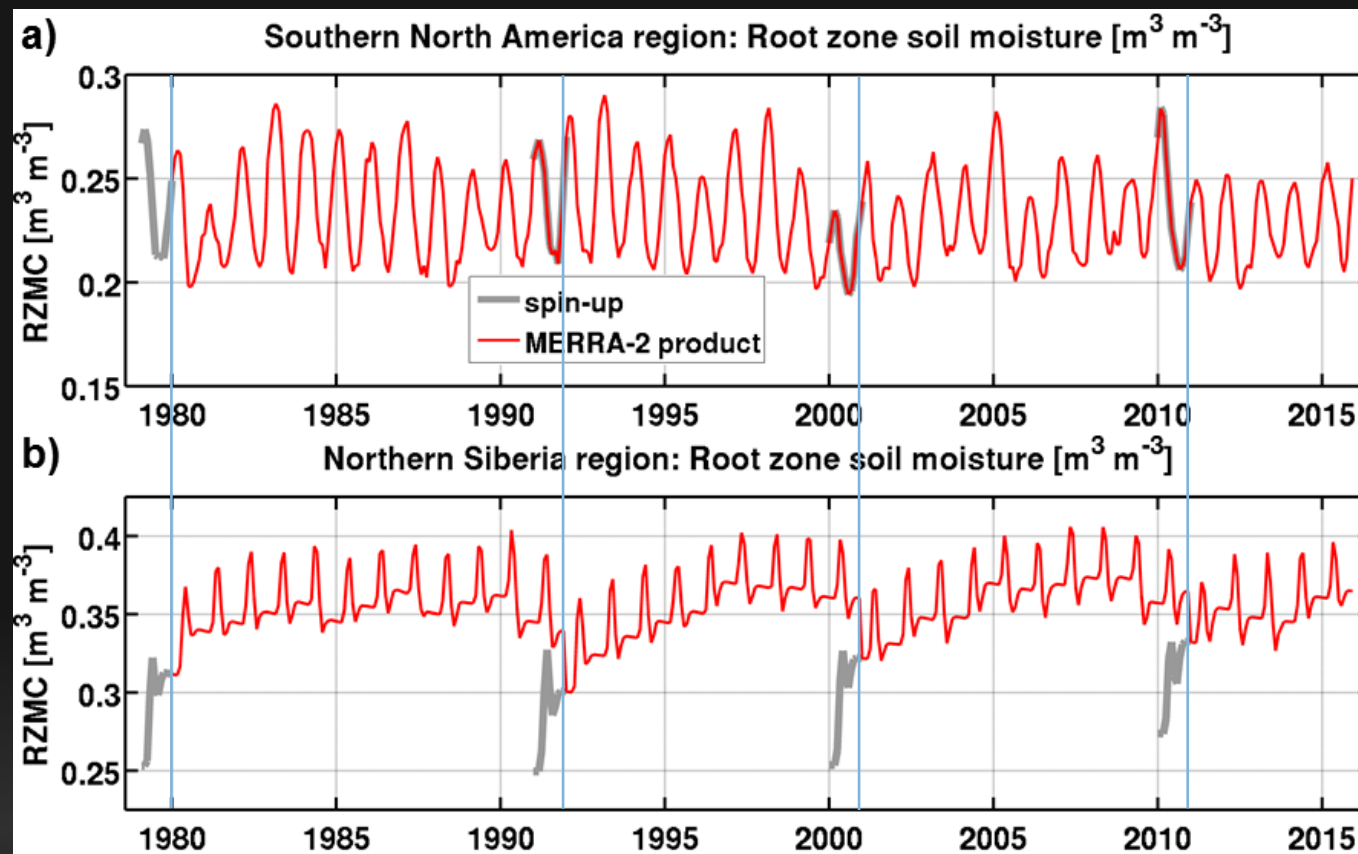
# Precipitation (MERRA-2)

Most important driver for land surface hydrology.



In MERRA-2, precipitation seen by the land is corrected to daily CPC gauge observations.  
Improves simulation of land surface conditions (not shown).

# Precipitation (MERRA-2)



Reichle et al. 2017, doi:10.1175/JCLI-D-16-0570.1

*See reference below for relevant graphics.*

Observed precipitation:  
→ Seamless stream boundaries using  
(offline) land spin-up.

Not using observed precip. (high lats.):  
→ Spin-up problems for ~1-2 years  
across stream boundaries.

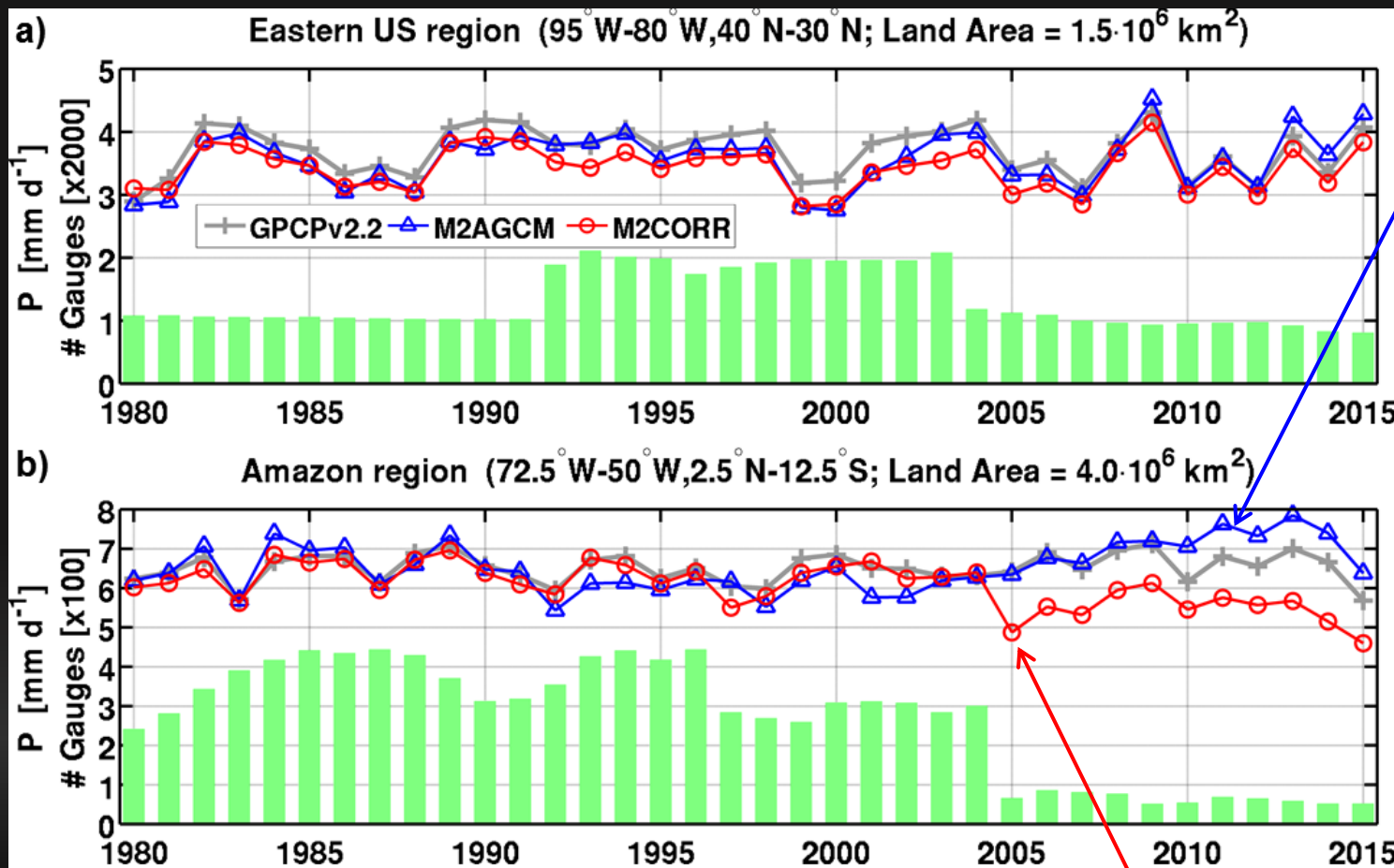
ERA5 also has discontinuities.

Sabater et al. 2021, doi:10.5194/essd-13-4349-2021

# Precipitation (MERRA-2)

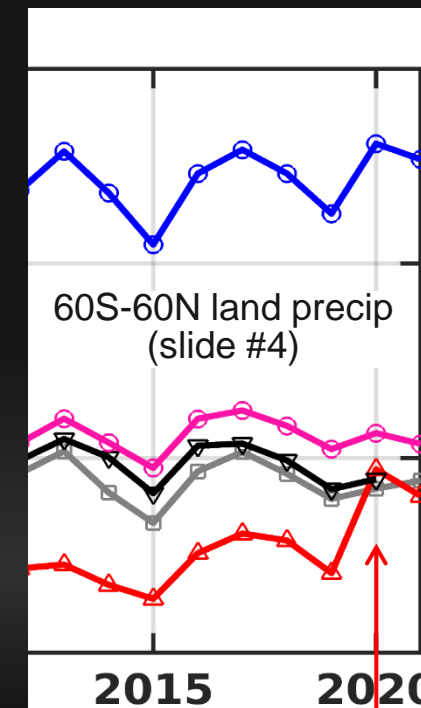
Eastern US  
looks good  
(generally well  
instrumented).

Elsewhere,  
discontinuities  
because of  
observing  
system  
changes.



Reichle et al. 2017, doi:10.1175/JCLI-D-16-0570.1

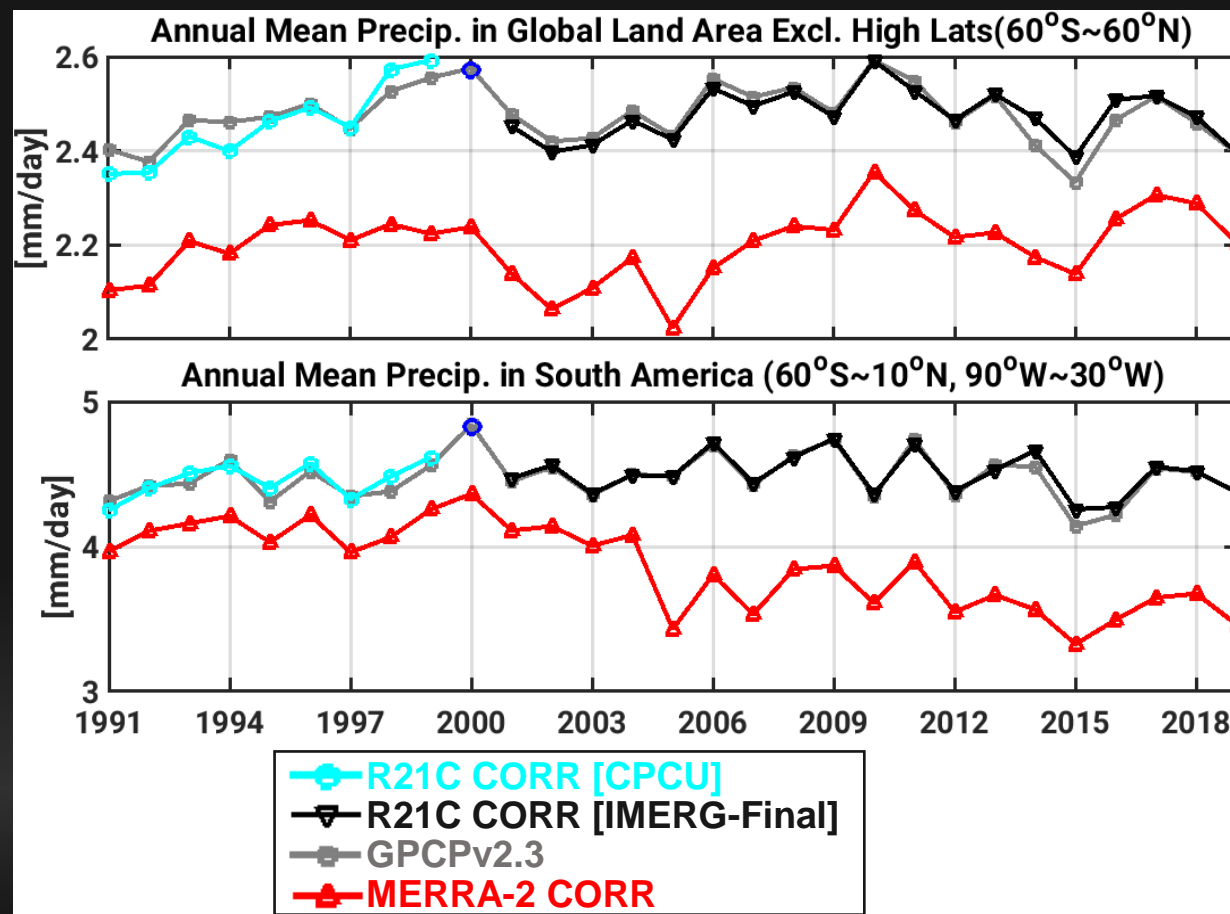
ADAS observing  
system changes.



CPC gauge product  
switches from back-  
processing to ops.

CPC staff retired,  
pandemic  
restrictions.

# Precipitation (R21C)



Reichle et al. 2022, in preparation

R21C = GEOS Reanalysis of the 21<sup>st</sup> century  
(production starting soon)

Using IMERG-Final (multi-satellite + gauges).

Eliminates low bias and discontinuities seen  
in CPC-corrected MERRA-2 precipitation.

IMERG-Final latency >3 months!

→ Close to real-time, use satellite-only  
IMERG-Late (as in current SMAP L4 ops).

→ Only small degradation in soil moisture.

IMERG version change underway...

# Soil Moisture



Couples land surface water, energy & carbon cycles.

## Satellite observations:

- SMOS (2010-), SMAP (2015-), CIMR (2025-)
- ASCAT (2007-), Sentinel-1 (2016-), NISAR (2024-)
- ESA-CCI multi-sensor products (~1978-)

## In situ observations:

Screen-level T2m, q2m (used in soil moisture analysis in European & Canadian systems; under development at NOAA).

*See reference below for relevant graphics.*

Van der Schalie et al. 2021, ed. Dunn et al., doi:10.1175/BAMS-D-21-0098.1

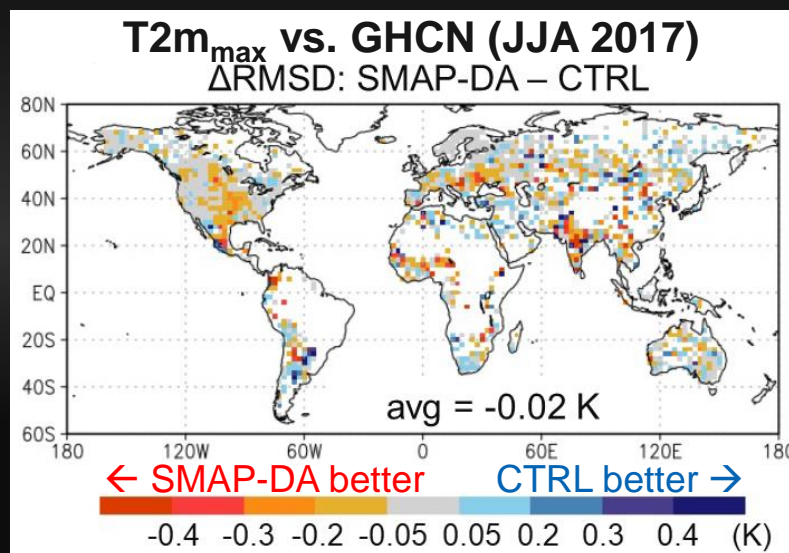
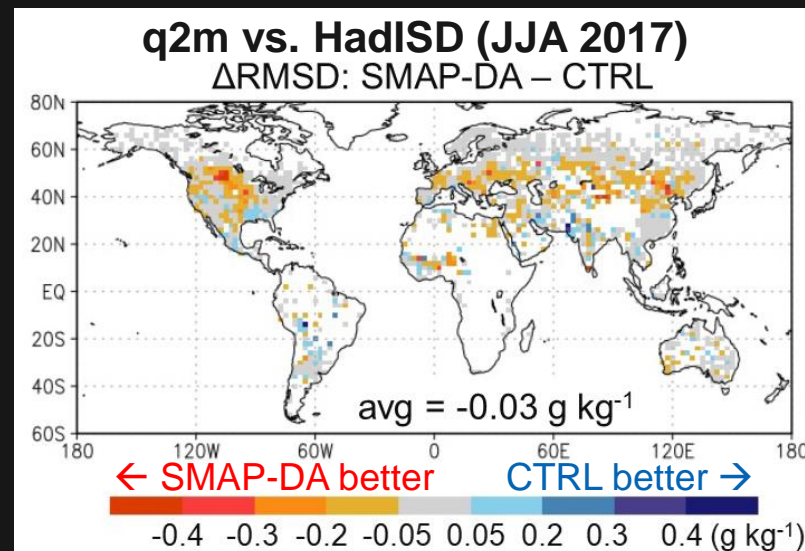


**CTRL =**

½ deg version of GEOS  
atmospheric data assimilation  
(NWP) system.

**SMAP-DA =**

CTRL + weakly-coupled  
SMAP radiance assimilation.



Reichle et al. 2022, in preparation

Considerable q2m and T2m  
improvements regionally.

Not shown:

- Improvements in q extend up to ~700 mb.
- Improvements in q and T at 925 mb persist into 5-day forecasts.

Critical freshwater resource for billions of people.

## Observations:

- Snow cover (satellite, 1967-)
- Snow depth (in situ)  
[satellite retrievals not good enough]

## Snow depth (in situ) reporting issues:

- GTS, “zero” reports → Patricia de Rosnay, ECMWF

*See reference below for relevant graphics.*

Robinson 2021, ed. Dunn et al., doi:10.1175/BAMS-D-21-0098.1

NOAA developing OI-based snow analysis (~ECMWF) to replace existing simple scheme in GFS; tuning snow depletion curve in new multi-layer snow model (Noah-MP).

NASA implementing ensemble-based snow cover analysis (Toure et al. 2018).

# Terrestrial Water Storage



Need deeper storage for drought monitoring.

Observations: GRACE & GRACE-FO (2002-)

- TWS incl. shallow & deep groundwater, rivers, lakes. → Model requirements.
- Monthly avg. → Requires non-standard analysis.
- Latency >1-month. → Cannot continue reanalysis in real-time (shorter latency under development?).

*See reference below for relevant graphics.*



Runoff → streamflow → discharge into ocean:

- Important in coupled atm.-ocean reanalysis (MERRA-3).
- Simple runoff routing under development in GEOS.

Rivers & lakes:

- No lake surface temperature analysis in GEOS;  
may be sensible, but low priority.
- Water levels not yet simulated in GEOS & Noah-MP.
- Retrospective satellite altimetry anyway too coarse for inland water level analysis.

*See reference below for relevant graphics.*



Massive amounts of satellite observations:

- Polar orbiting (high-resolution)
- Geostationary (diurnal cycle)
- Optical (clear-sky only)
- Microwave (clear & cloudy)
- ESA-CCI LST (Ghent et al.)

Key obstacles to LST assimilation:

- Mismatch between observed & simulated variables.
- Minimal memory.

*See reference below for relevant graphics.*

Ghent et al. 2022, LST CCI: Approaches to long-term data for climate, 4th International Earth Surface Working Group (IESWG) Workshop, Online.

# Vegetation (Phenology)



Important for consistency of water and carbon estimates.

Abundant satellite observations:

- Optical (NDVI, FPAR, LAI; 1980s-)
- Microwave (VOD)

Dynamic vegetation phenology modeling:

- Noah-MP, CatchmentCN
- Not yet operational; computationally demanding; 1000s of simulation years needed for carbon spin-up.

*See reference below for relevant graphics.*

Gobron 2021, ed. Dunn et al., doi:10.1175/BAMS-D-21-0098.1

# Vegetation (Phenology)



Assimilation in land-only systems (Albergel et al. 2017; Kumar et al. 2019, 2020).

*See reference below for relevant graphics.*

Assimilation of SMAP soil moisture does not improve evapotranspiration (ET) or gross primary productivity (GPP), ...

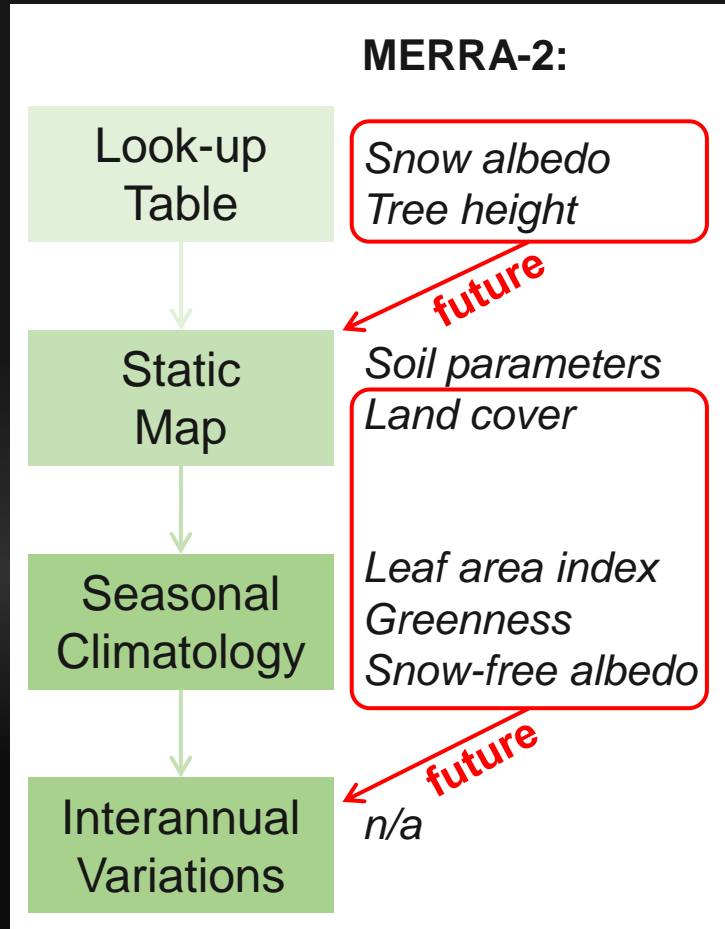
Kumar et al. 2020, doi:10.5194/hess-24-3431-2020

but assimilation of SMAP vegetation optical depth (VOD) does.

# Land Model Parameters



Abundant satellite observations of  
land surface properties (Landsat,  
MODIS, VIIRS, Sentinel-2, Icesat-2, ...)



*See reference below for relevant graphics.*

Duveiller and Gobron 2021, ed. Dunn et al.,  
doi:10.1175/BAMS-D-21-0098.1





Human impact on land water, energy and carbon cycles:

- Irrigation (groundwater and surface water)
- Artificial wetland drainage
- Forest harvest & tree species selection (incl. deforestation)
- Crop harvest & rotation
- Grazing and mowing
- Tillage
- Fertilization
- Fire for land management

Implementation in Earth system models:

- Limited by data availability & process understanding.
- Model development:
  - Noah-MP (incl. carbon).
  - CatchmentCN (carbon/nitrogen).
  - GEOS irrigation module.

*See reference below for relevant graphics.*

Pongratz et al. 2018, doi:10.1111/gcb.13988



Land assimilation mostly uses Kalman filter variants (EKF, EnKF, LETKF), because land model adjoint difficult to obtain & maintain.

Coupling of land and atmospheric analysis components:

- GEOS: Weakly-coupled (~ECMWF); taking advantage of mature off-line assimilation system.
- GFS: Focus on strongly-coupled analysis.

Software infrastructure:

- DART, GEOSIdas, [JEDI], LIS, ...
- Land assimilation not yet routinely used in ADAS operations.
  - No reference system exists for JEDI land assimilation development.

# Towards Workshop Outcomes (5-10 Year Horizon)



## 1. Most significant advances:

- Assimilation of satellite observations for land hydrology (precipitation, soil moisture, snow).
- Emerging dynamic vegetation phenology modeling and assimilation.

## 2. Most significant barriers:

- Land assimilation is highly model-specific (Catchment[CN] & Noah[-MP] are very different).
- NOAA and NASA land assimilation development from different foundations.
- Difficult coordination between LDAS & ADAS teams.
- Lack of qualified staff.
- Legacy software maintenance and cleanup.

## 3. Collaborations:

- See item 2.

**To be discussed,  
corrected, and  
amended!**

## 4. Critical requirements:

- Land assimilation for hydrology, incl. satellite-based land model parameters.
- Improved lake & river modeling.
- Model components for human-driven land processes.

## 5. Observations:

- Precipitation (daily, quasi-global, stable, short latency).
- Soil moisture, T2m, q2m.
- Snow cover, snow depth.
- Interannually-varying land cover, albedo, and vegetation parameters.

## 6. Scientifically mature model components:

- Land water & energy exchange.

## 7. Uncertainty:

- Ensemble-based assimilation.



# Thanks for listening!