



# Parameterizing Mesoscale Eddy Effects in Large-scale Ocean Models

Robert Hallberg

with contributions from Malte Jansen,  
Angélique Melet, Alistair Adcroft, and  
Matthew Harrison



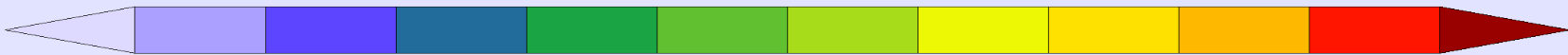
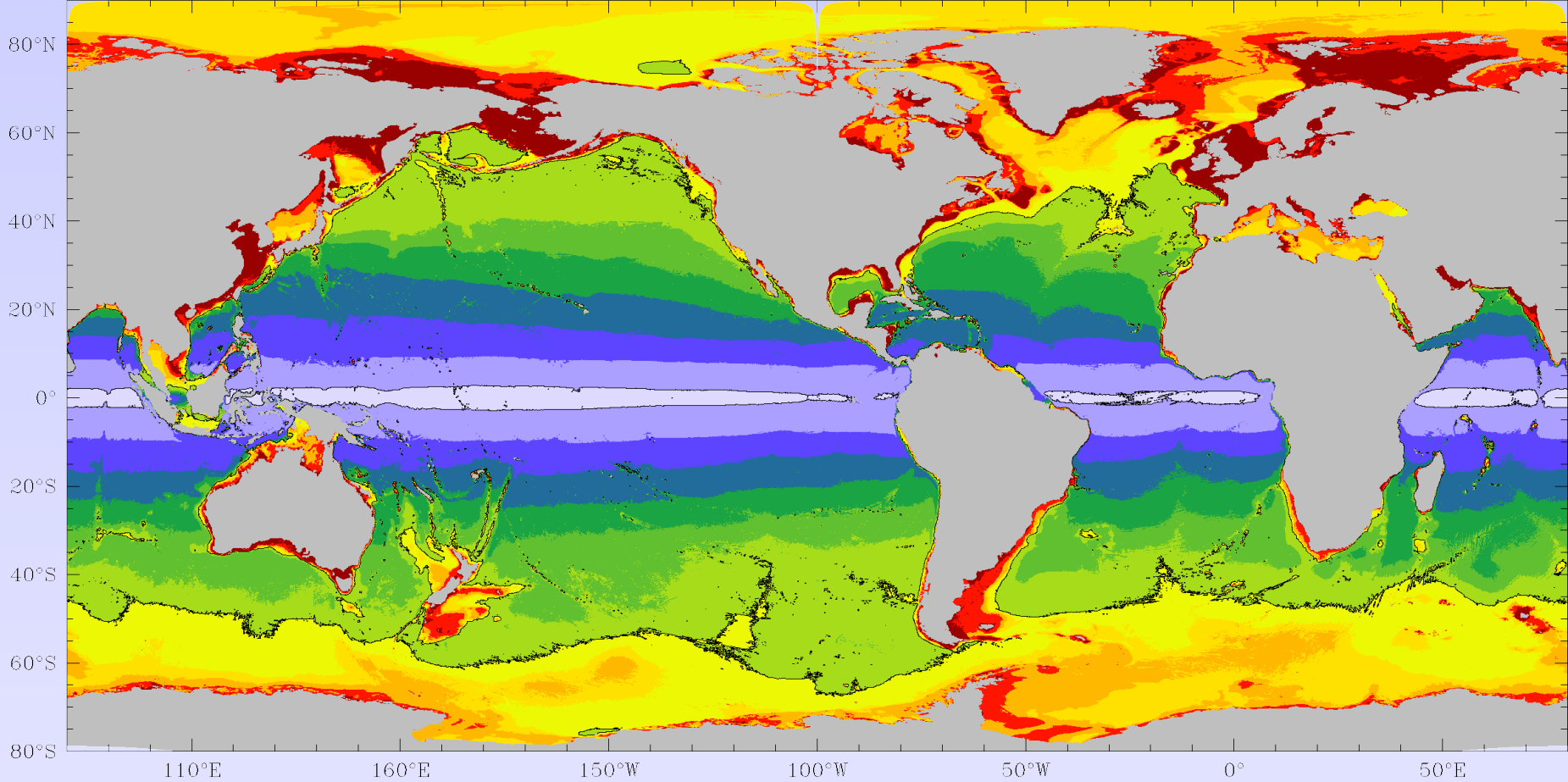
# Developments in Parameterizing Eddies

- Know where to parameterize eddies (and where not to)
  - Use a Resolution Function (Hallberg, Ocean Mod., 2013)
- Along-isopycnal tracer diffusion can coexist with explicit eddies (unlike isopycnal height / G-M diffusion)
- Make the most of resolution...
  - Backscatter energy (Jansen & Held, Ocean Mod., 2014)
- Predict the unresolved eddy intensity dynamically
  - Mesoscale Eddy Kinetic Energy parameterization
- [Add vertical structure to eddy parameterizations & solve an elliptic equation for eddy transport streamfunction  
(Danabasoglu & Marshall, 2007; Ferrari et al. 2010; Abernathey et al. 2013; ...)]



# Mercator/Tripolar Resolution Required to Admit

$$1^{\text{st}} \text{ Baroclinic Deformation Radius}_{Def} = \sqrt{c_g^2 / (f^2 + 2\beta c_g)}$$



1° 1/2° 1/3° 1/4° 1/5° 1/6° 1/8° 1/12° 1/16° 1/25° 1/50°

Mercator Grid Resolution Required to Resolve Baroclinic Deformation Radius with  $2 \Delta x$

Coupled Climate Model (CM2.5): ~7 years/day on 6,000 Processors at 1/4° res.

Cost goes as (Resolution)<sup>3</sup>; Saturated throughput goes as (Resolution)<sup>-1</sup>.





# Phillips model of baroclinic instability

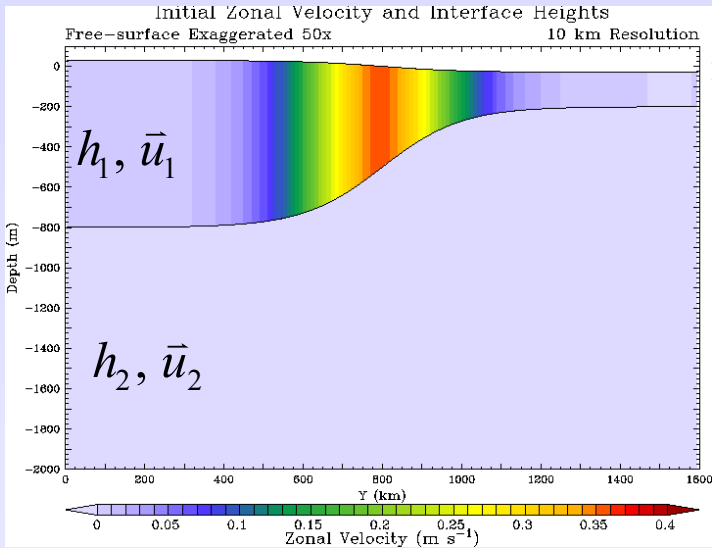
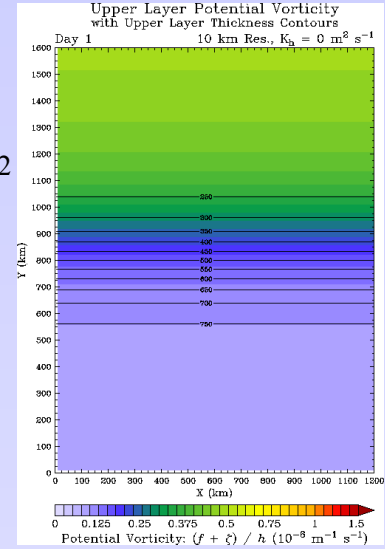
2-layer stacked shallow water model. (See textbook discussion in Pedlosky, 1987.)

$$\frac{\partial \bar{u}}{\partial t} + \left( f + \hat{k} \cdot \nabla \times \bar{u} \right) \times \bar{u} = -\nabla \left( M + \frac{1}{2} \|\bar{u}\|^2 \right) - \nabla \cdot \vec{T} - c_D \|\bar{u}\| \delta_{k2} \bar{u} \quad M_1 = g\eta_{1/2}$$

$$\frac{\partial h_1}{\partial t} + \nabla \cdot (h_1 \bar{u}) = \gamma \left( \bar{\eta}_{3/2}^x - \eta_{3/2, \text{Ref}} \right) - \nabla \cdot (K_h \nabla \eta_{3/2}) \quad M_2 = M_1 + \frac{g\Delta\rho}{\rho_0} \eta_{3/2}$$

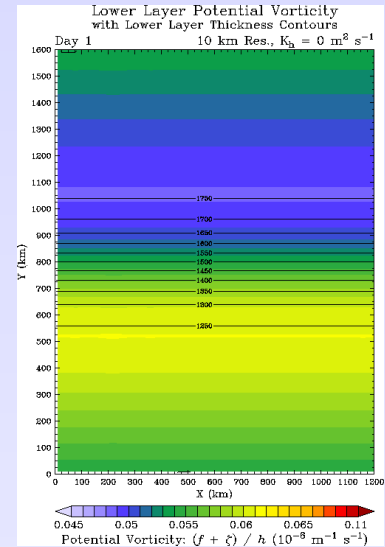
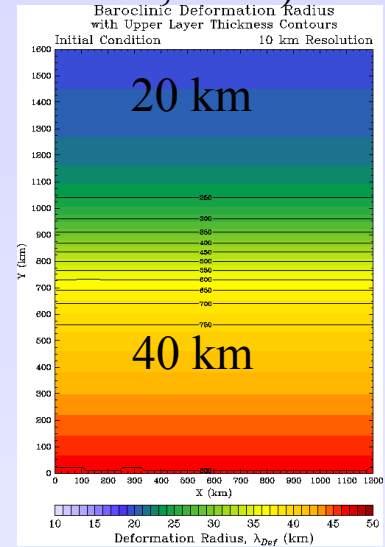
$$\frac{\partial h_2}{\partial t} + \nabla \cdot (h_2 \bar{u}) = -\gamma \left( \bar{\eta}_{3/2}^x - \eta_{3/2, \text{Ref}} \right) + \nabla \cdot (K_h \nabla \eta_{3/2})$$

- Exhibits instabilities with peak growth rates at wavelengths of  $2\pi\lambda_{\text{def}}$ .
- Restoring of zonal-mean interface height reenergizes the flow.
- Strong bottom drag prevents barotropization. (Arbic & Flierl, 2006)



$$\eta_{1/2} = \eta_{3/2} + h_1$$

$$\eta_{3/2} = -D + h_2$$



$$\lambda_{\text{Def}} = \frac{1}{|f|} \sqrt{\frac{g'h_1h_2}{h_1+h_2}}$$

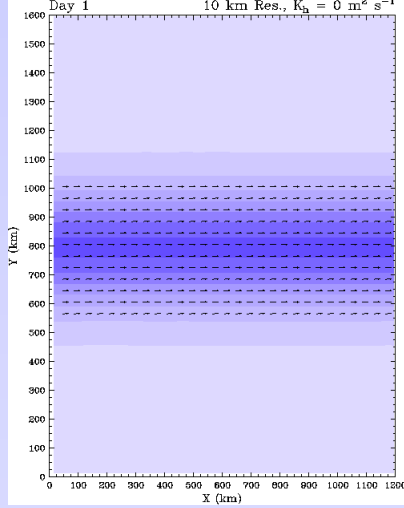




# 10 km Resolution, $K_h = 0$

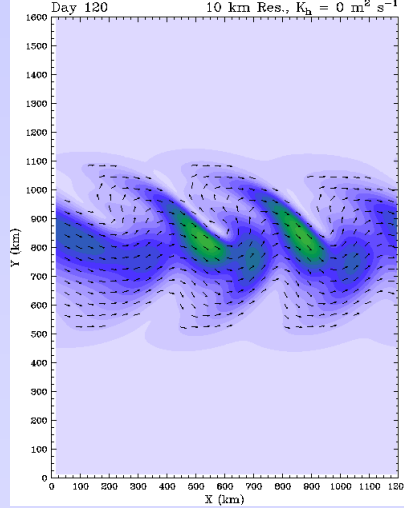
Day 1

Upper Layer Flow  
10 km Res.,  $K_h = 0 \text{ m}^2 \text{ s}^{-1}$



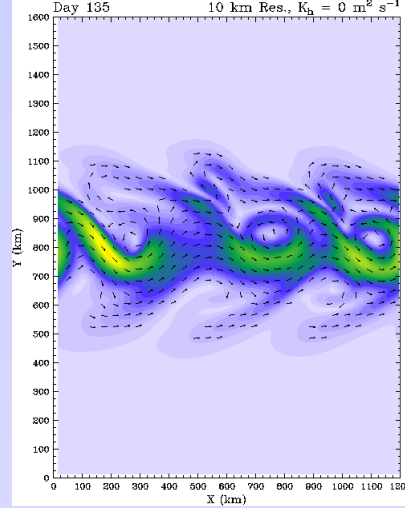
Day 120

Upper Layer Flow  
10 km Res.,  $K_h = 0 \text{ m}^2 \text{ s}^{-1}$



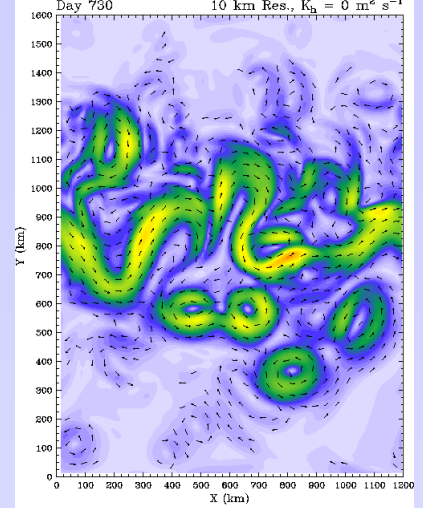
Day 135

Upper Layer Flow  
10 km Res.,  $K_h = 0 \text{ m}^2 \text{ s}^{-1}$



Day 730

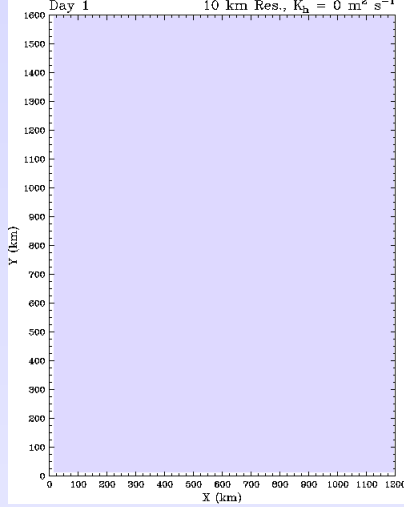
Upper Layer Flow  
10 km Res.,  $K_h = 0 \text{ m}^2 \text{ s}^{-1}$



Upper Layer

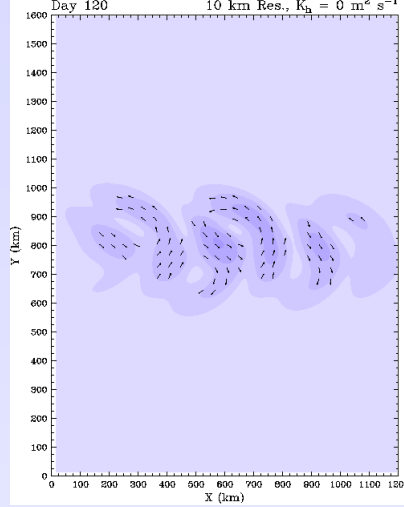
Lower Layer Flow

10 km Res.,  $K_h = 0 \text{ m}^2 \text{ s}^{-1}$



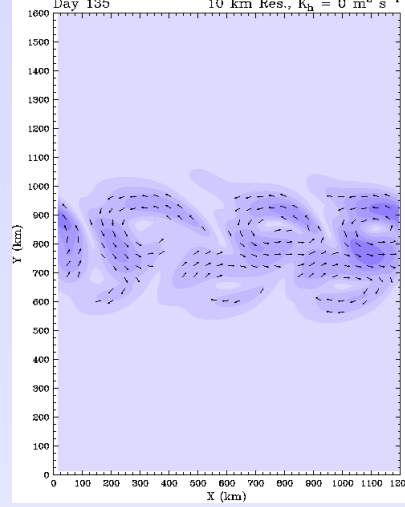
Lower Layer Flow

10 km Res.,  $K_h = 0 \text{ m}^2 \text{ s}^{-1}$



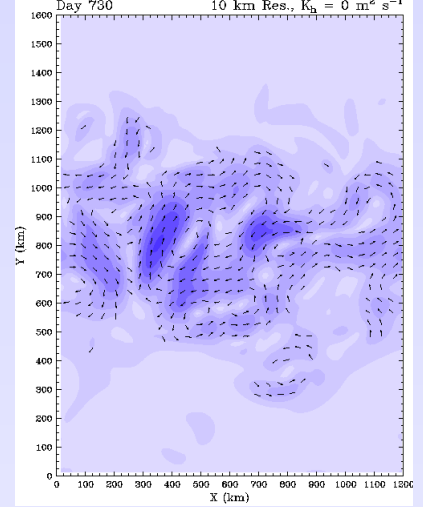
Lower Layer Flow

10 km Res.,  $K_h = 0 \text{ m}^2 \text{ s}^{-1}$

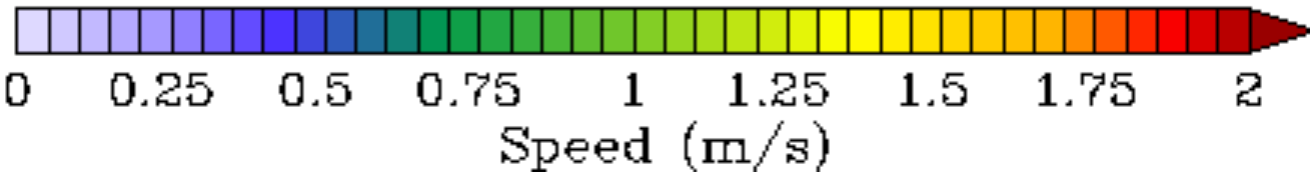


Lower Layer Flow

10 km Res.,  $K_h = 0 \text{ m}^2 \text{ s}^{-1}$



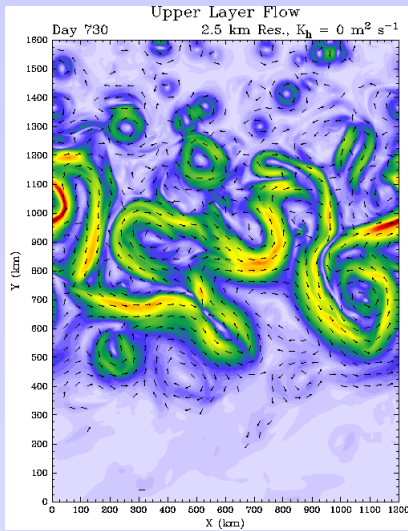
Lower Layer



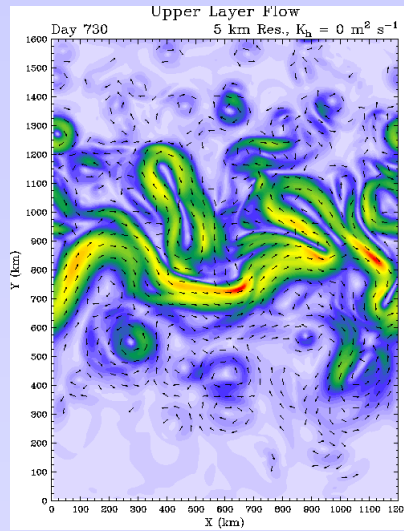


# Upper Layer Flow at Various Resolutions, Day 730, $K_h = 0$

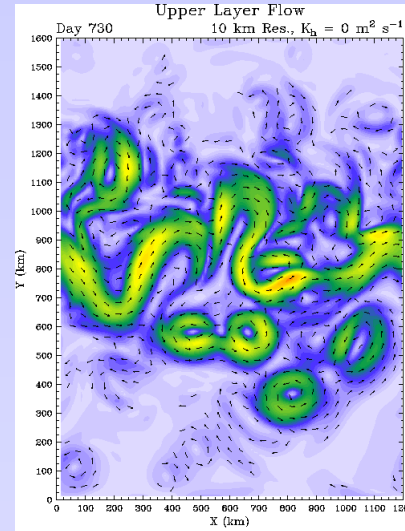
2.5 km



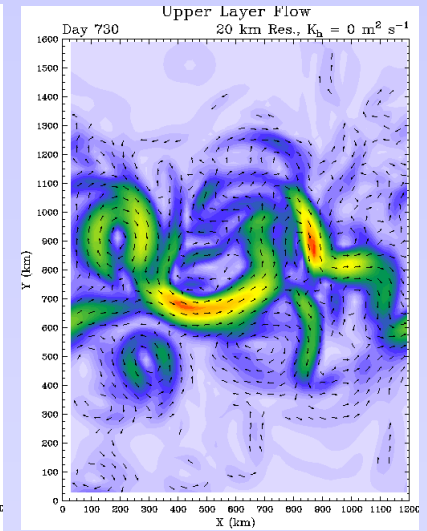
5 km



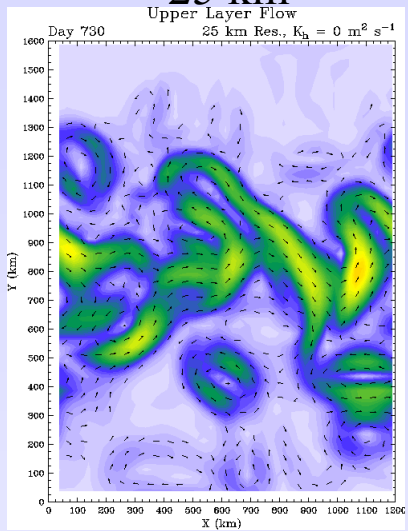
10 km



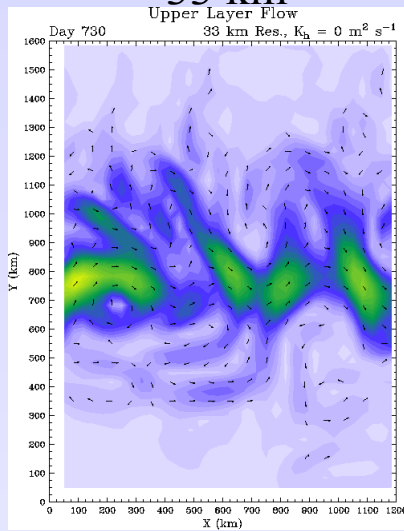
20 km



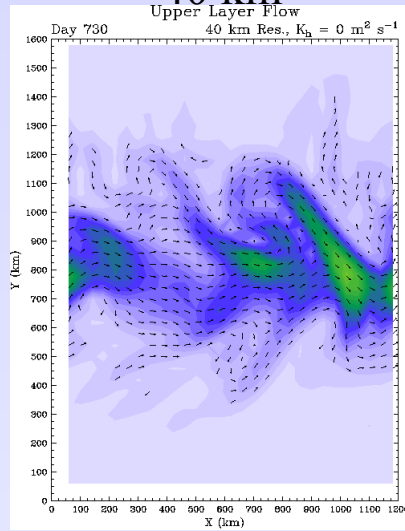
25 km



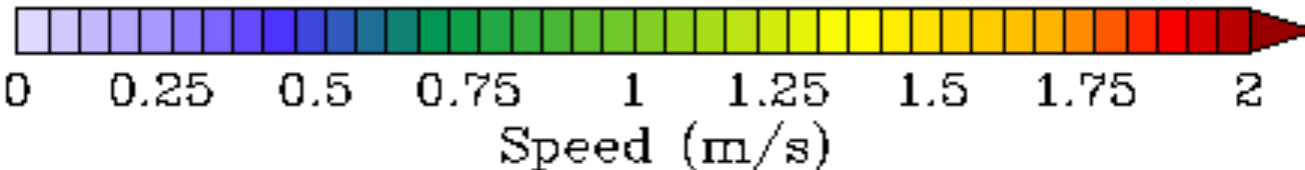
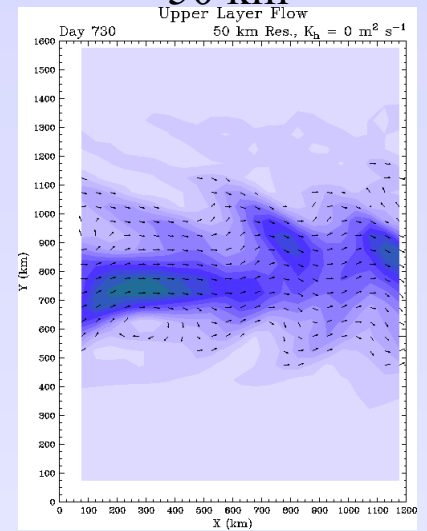
33 km



40 km



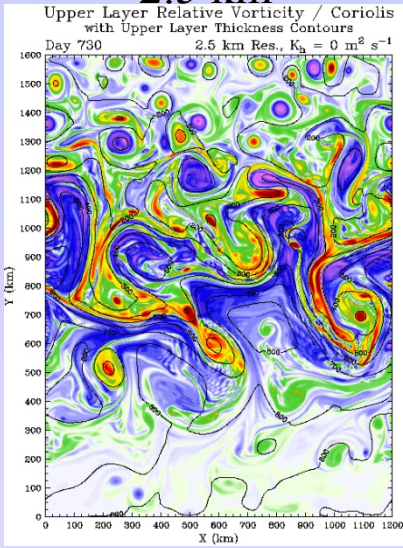
50 km



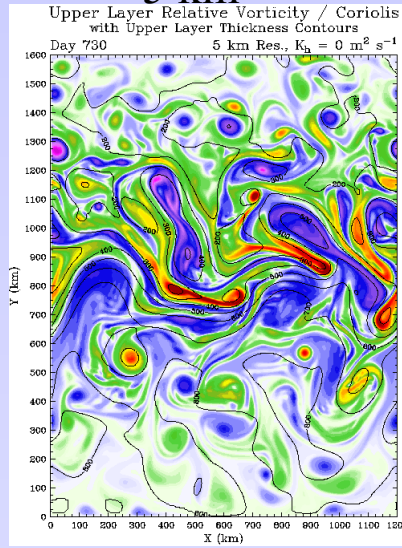


# Upper Layer Relative Vorticity / Coriolis at Various Resolutions, Day 730, $K_h = 0$

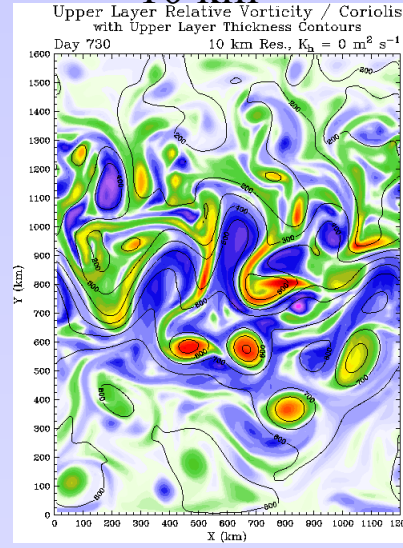
## 2.5 km



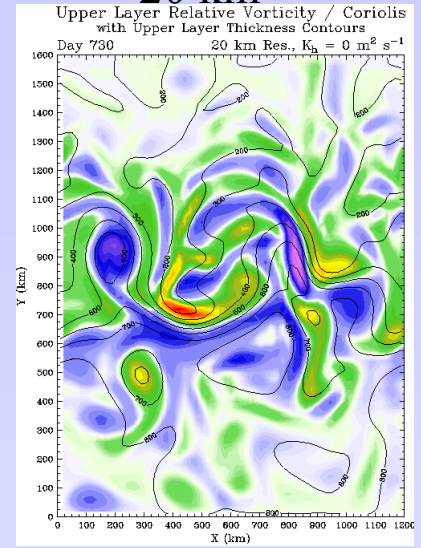
## 5 km



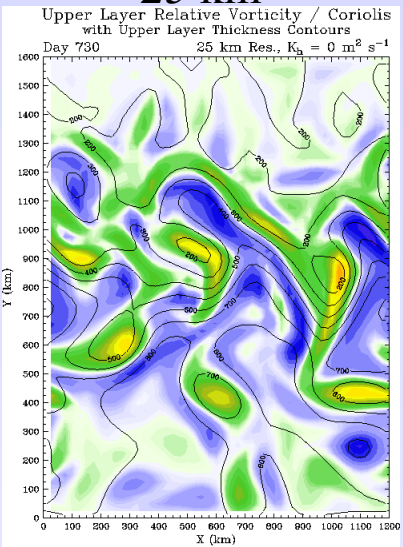
## 10 km



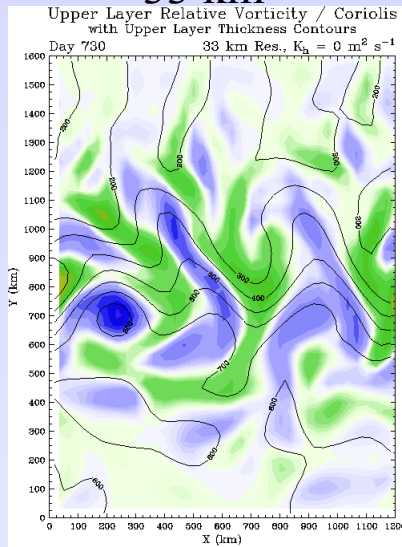
## 20 km



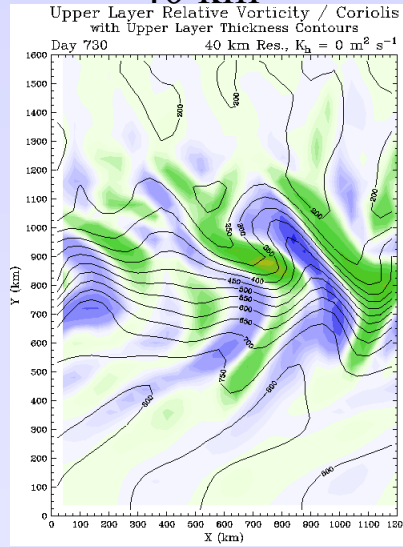
## 25 km



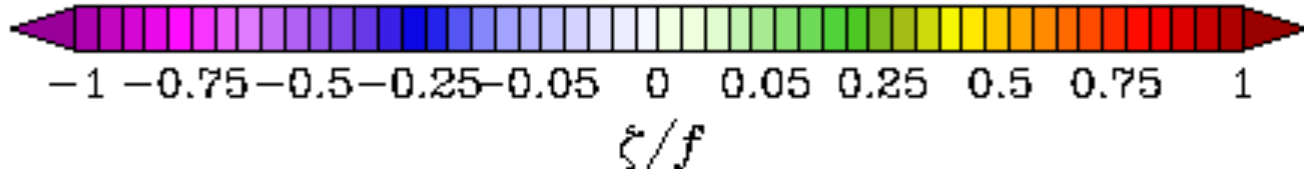
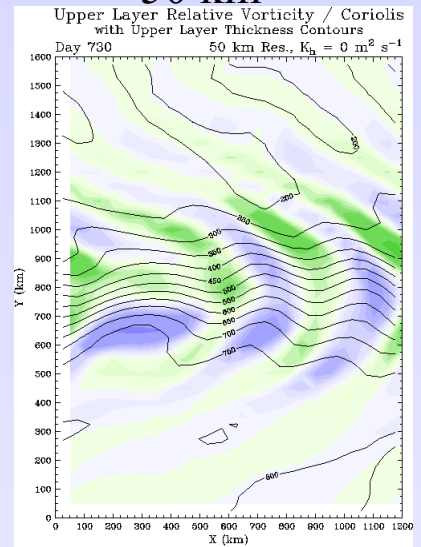
## 33 km



## 40 km



## 50 km

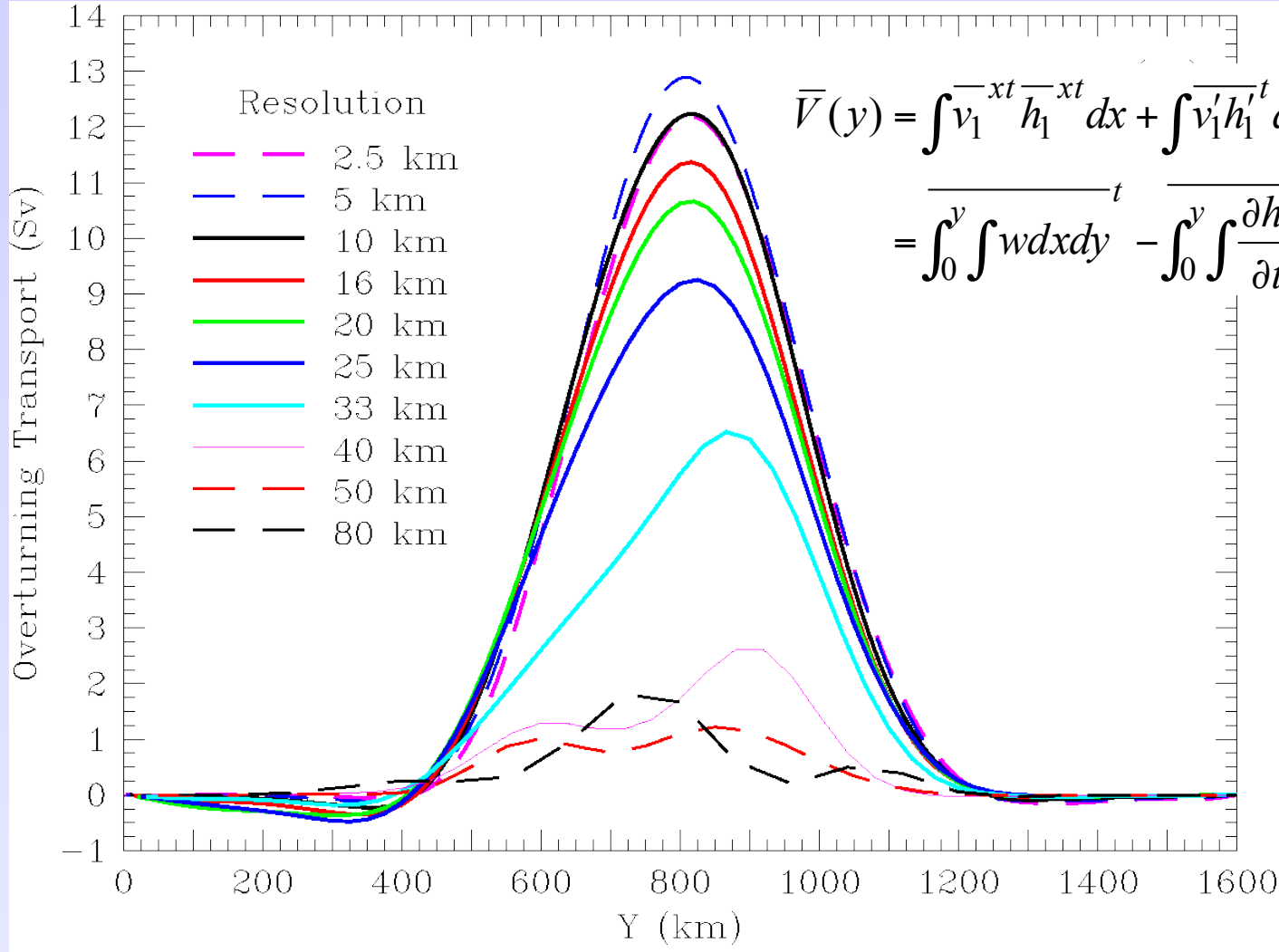






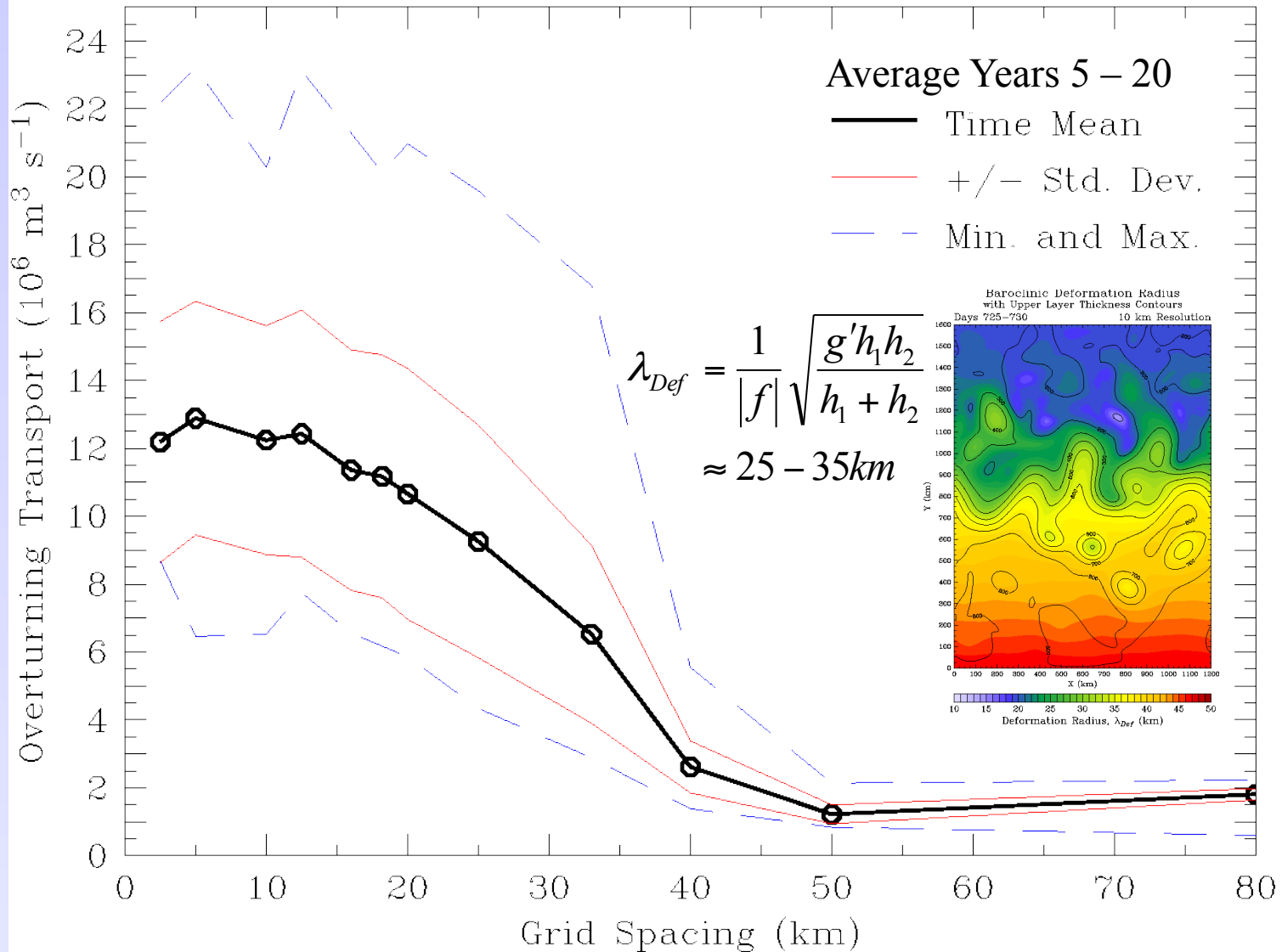
# Effects of Resolution on Overturning Transport

$$\bar{V}(y) = \overline{\int v_1 h_1 dx}^t$$



$$\begin{aligned} \bar{V}(y) &= \int \overline{v_1^{xt} h_1^{xt}} dx + \int \overline{v_1' h_1'^t} dx \\ &= \overline{\int_0^y w dx dy}^t - \overline{\int_0^y \frac{\partial h_1}{\partial t} dx dy}^t \approx \overline{\int_0^y w dx dy}^t \end{aligned}$$

# Effects of Resolution on Eddy-Driven Overturning



Baroclinic eddy effects are absent or need to be parameterized when the deformation radius is poorly resolved.



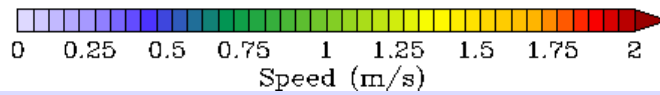
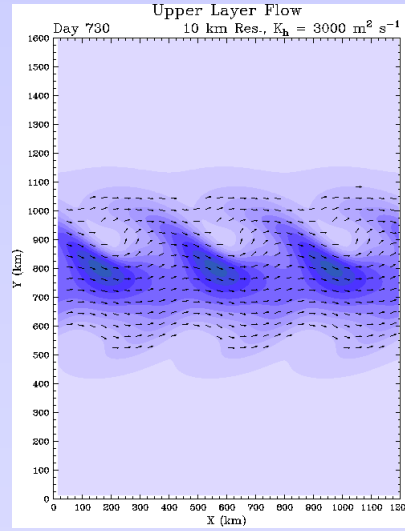
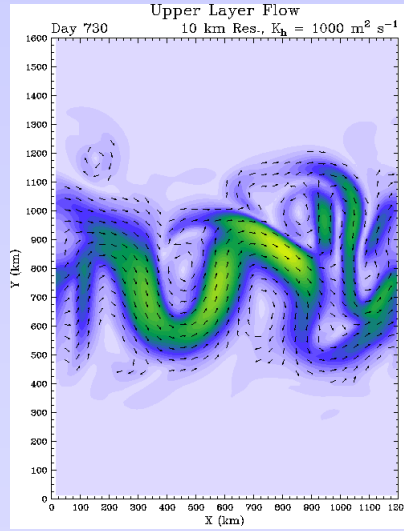
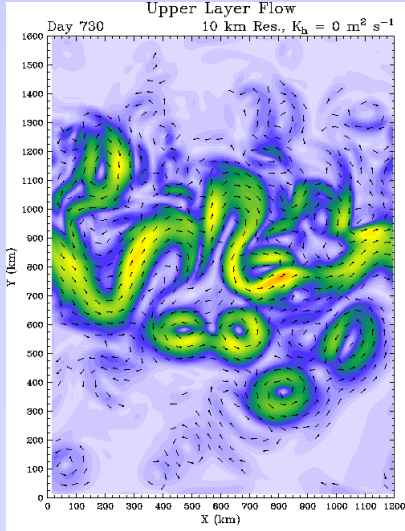
# Effects of Interface Height Diffusion (G-M mixing) at 10 km Resolution, Day 730

Upper Layer Flow

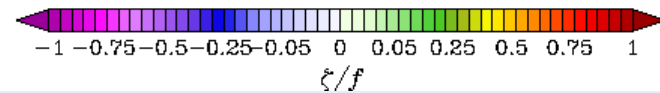
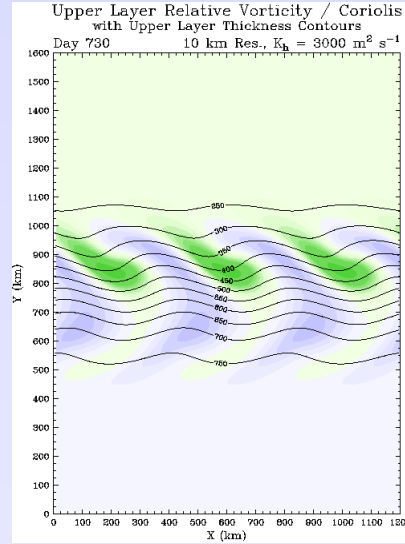
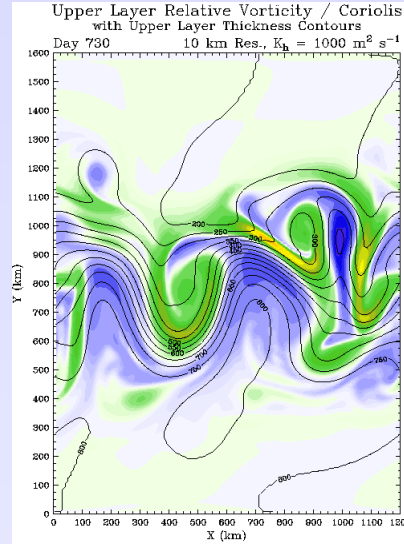
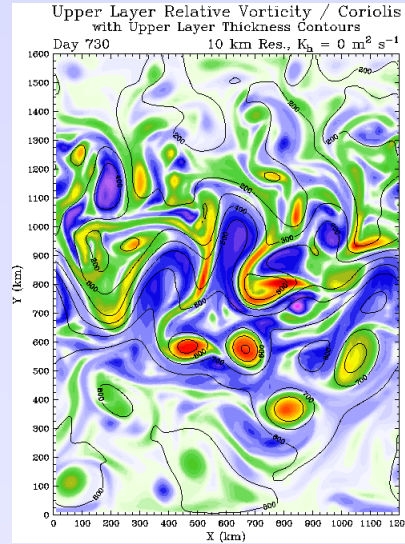
$$K_h = 0 \text{ m}^2 \text{ s}^{-1}$$

$$K_h = 1000 \text{ m}^2 \text{ s}^{-1}$$

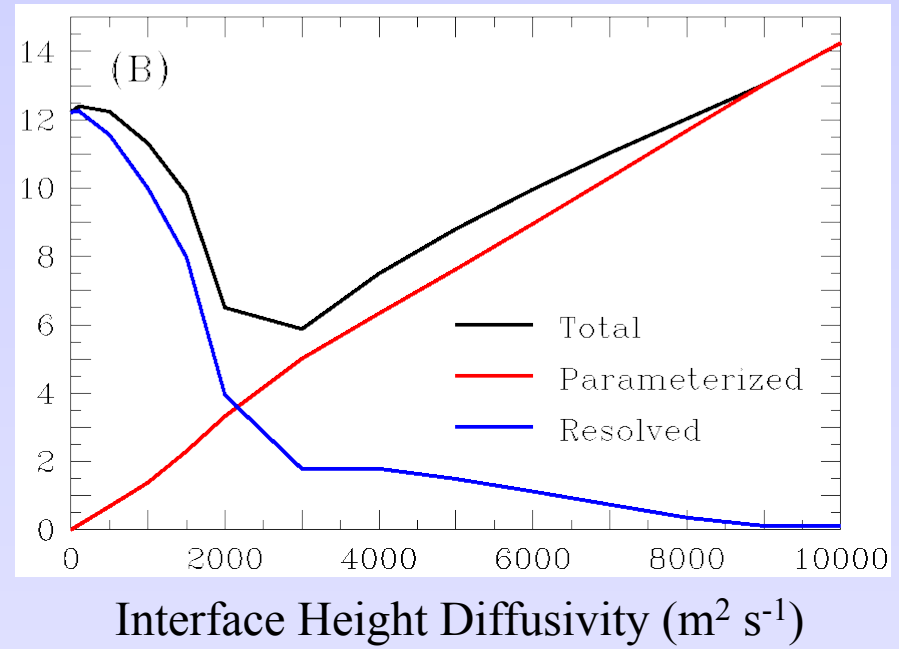
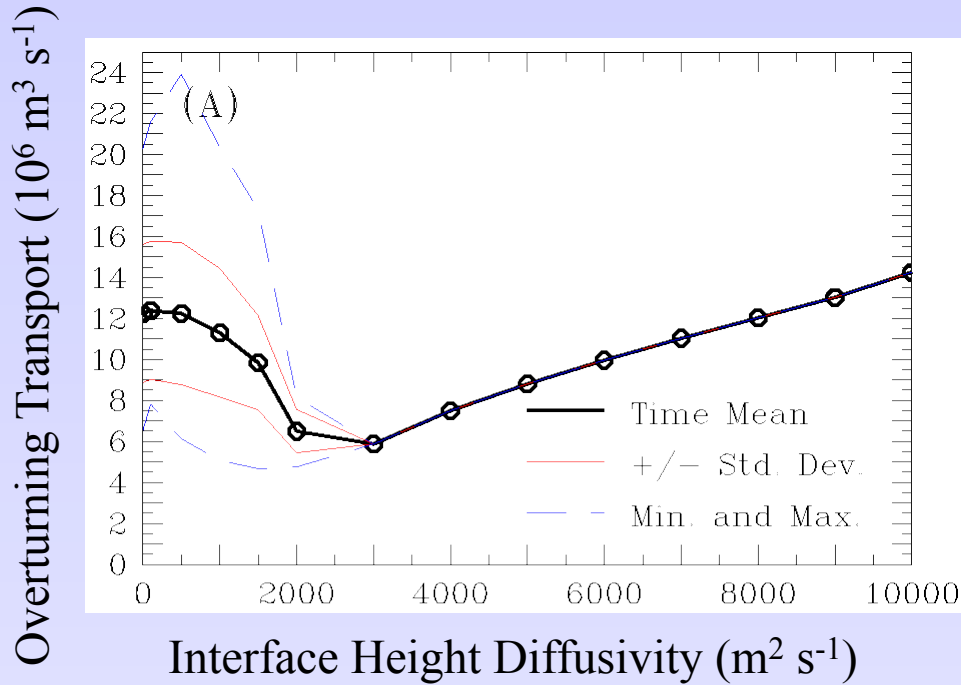
$$K_h = 3000 \text{ m}^2 \text{ s}^{-1}$$



Relative Vorticity



Interface height diffusion is much more effective at suppressing eddies than at parameterizing their effects!



$$\bar{V}(y) = \left( \int \overline{v_1^{xt} h_1^{xt}} dx + \int \overline{v_1' h_1'^t} dx \right) + \int \overline{K_h \frac{\partial \eta_{3/2}}{\partial y}} dx$$



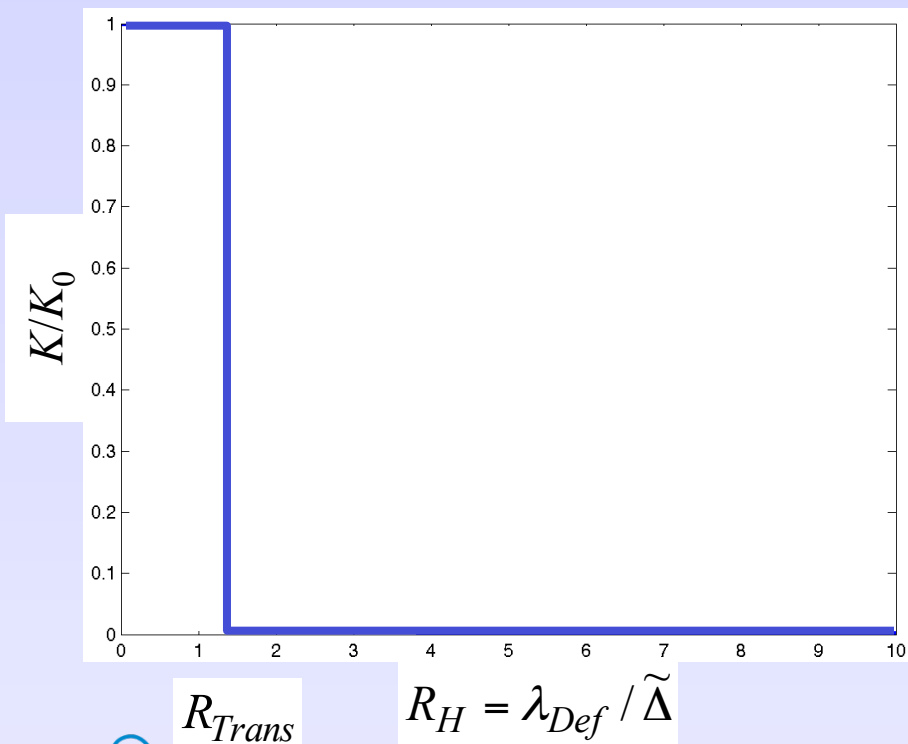


# The best solution for the Phillips problem:

Abruptly disable the Laplacian interface height diffusivity once the ratio of the grid spacing to the deformation radius reaches  $R_{Trans}$

$$K = \begin{cases} K_0 & \lambda_{Def} / \tilde{\Delta} < R_{Trans} \\ 0 & \lambda_{Def} / \tilde{\Delta} \geq R_{Trans} \end{cases} \quad \lambda_{Def} = \sqrt{\frac{c_{g1}^2}{f^2 + 2\beta c_{g1}}} \quad \tilde{\Delta} = \sqrt{(\Delta x^2 + \Delta y^2)}/2$$

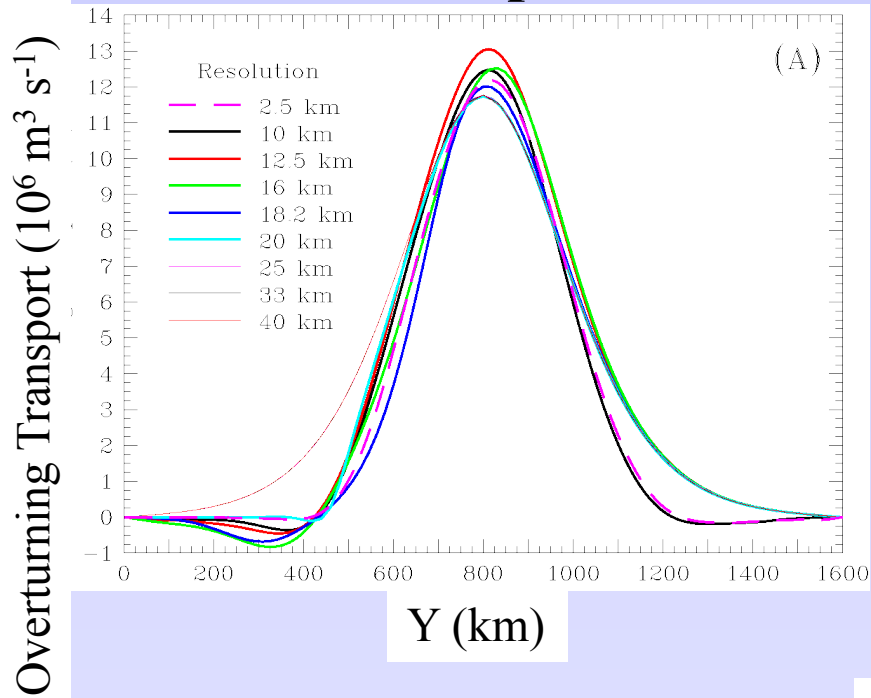
This works reasonably for the Phillips problem for any  $R_{trans} > \sim 2!$



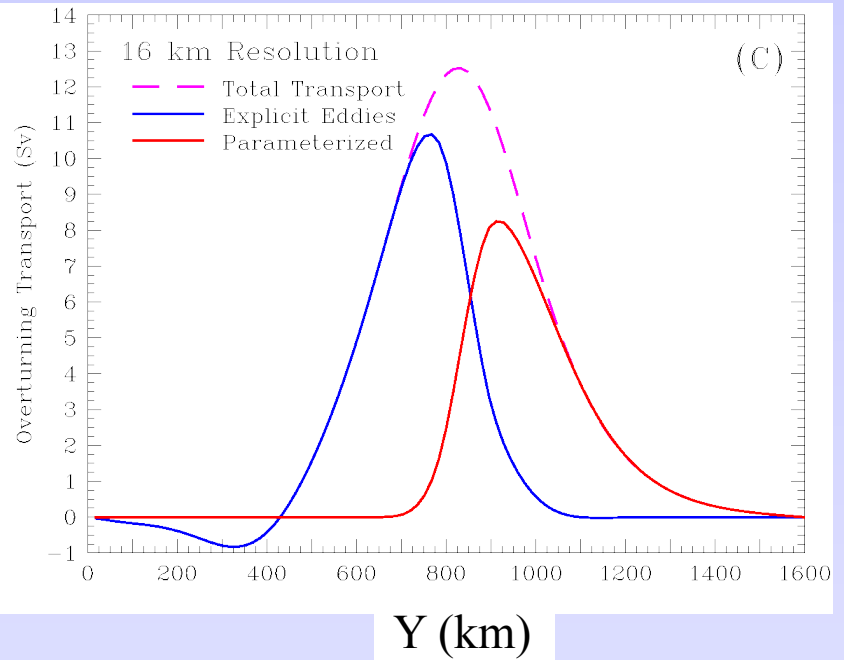
## Step Resolution Function



# Total Overturning at Various Resolutions, Step Function



# Parameterized vs. Explicit Eddy Transports, 16 km Res.

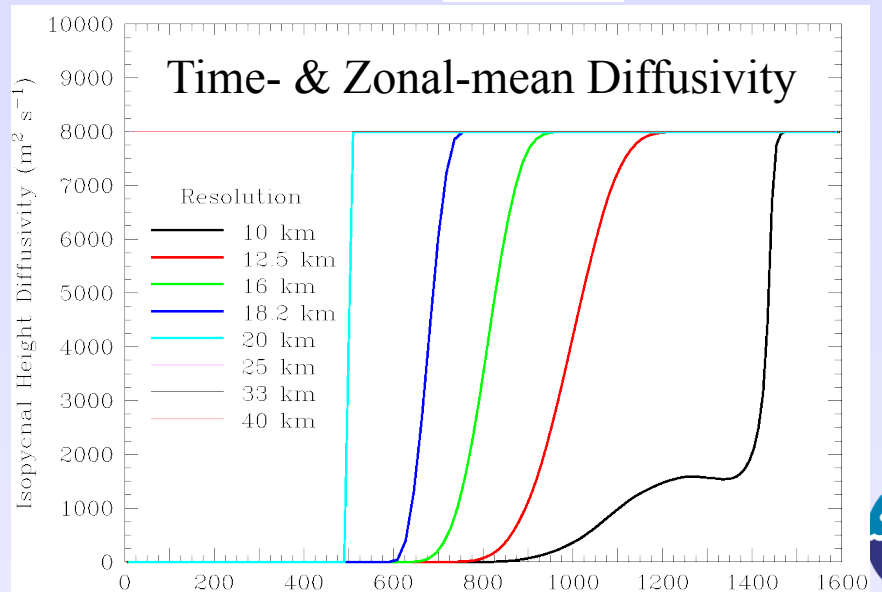


Strongly unstable case

$$K = \begin{cases} K_0 & \lambda_{Def} / \tilde{\Delta} < R_{Trans} \\ 0 & \lambda_{Def} / \tilde{\Delta} \geq R_{Trans} \end{cases}$$

$$R_{Trans} = 2 \quad K_0 = 8000 \text{ m}^2 \text{ s}^{-1}$$

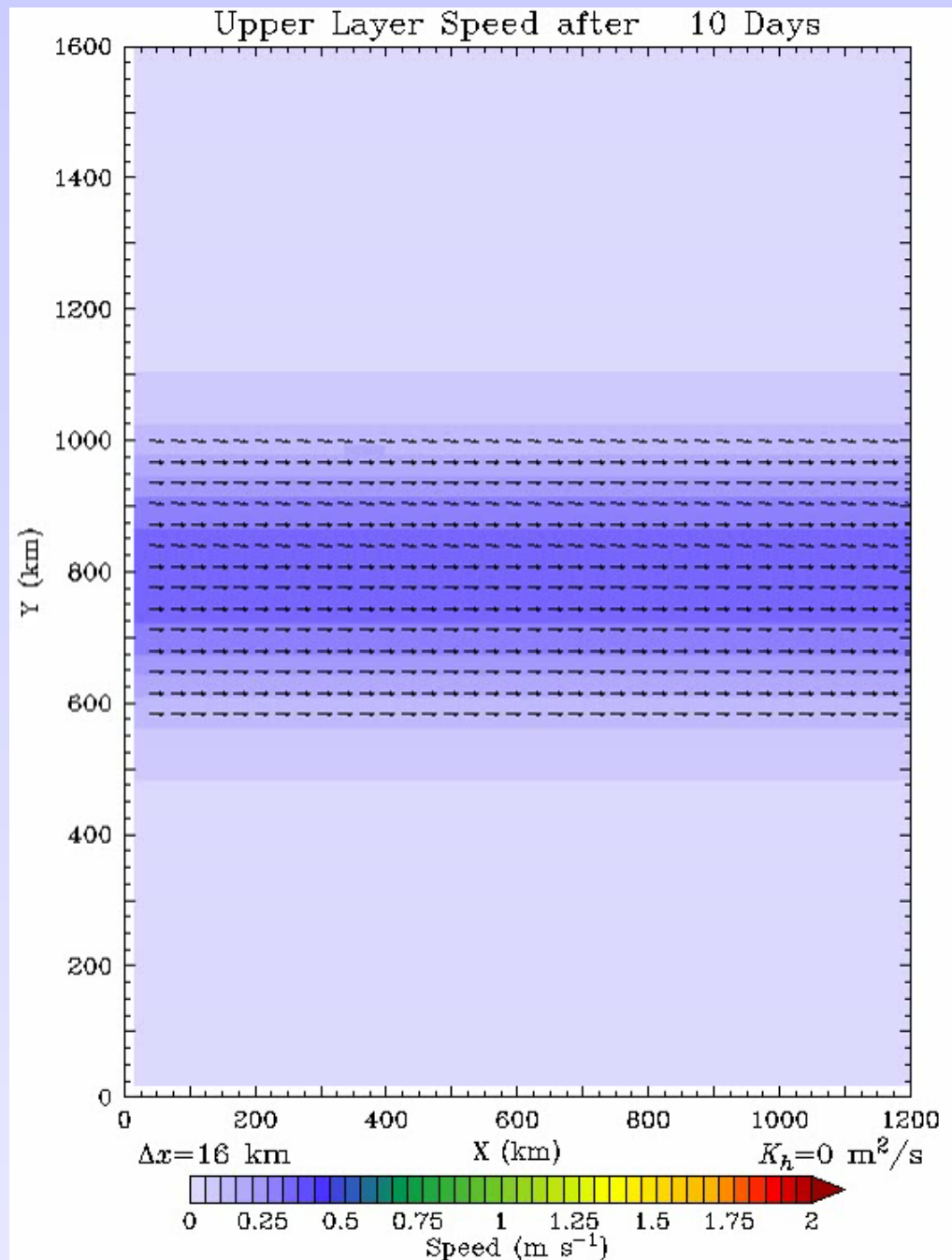
(Parameterize  $K_0$  later with MEKE.)





Speed with no interface height diffusivity

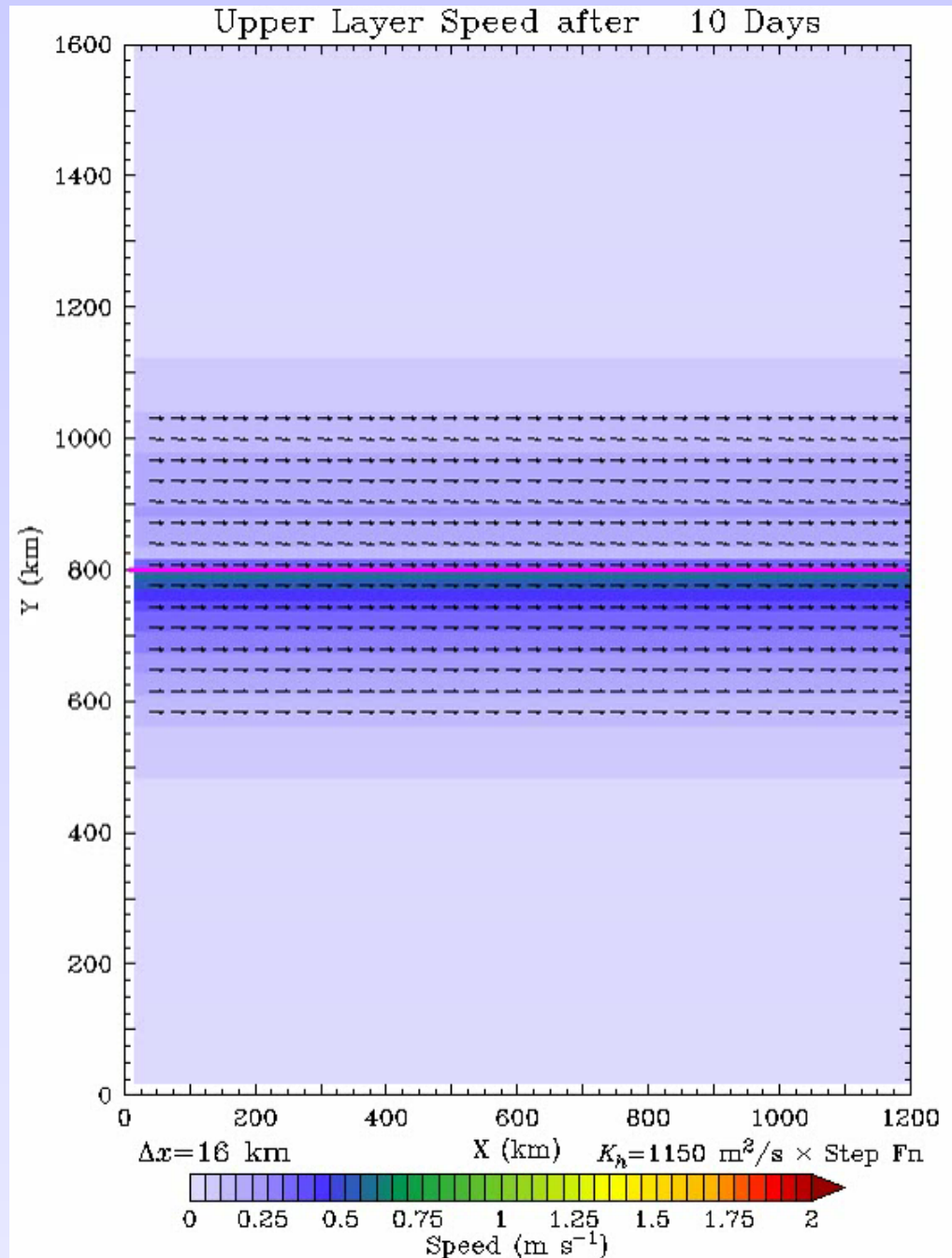
16 km resolution





Speed with step-function  
Resolution Function  
applied to interface  
height diffusivity

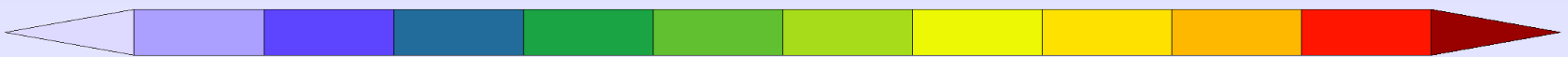
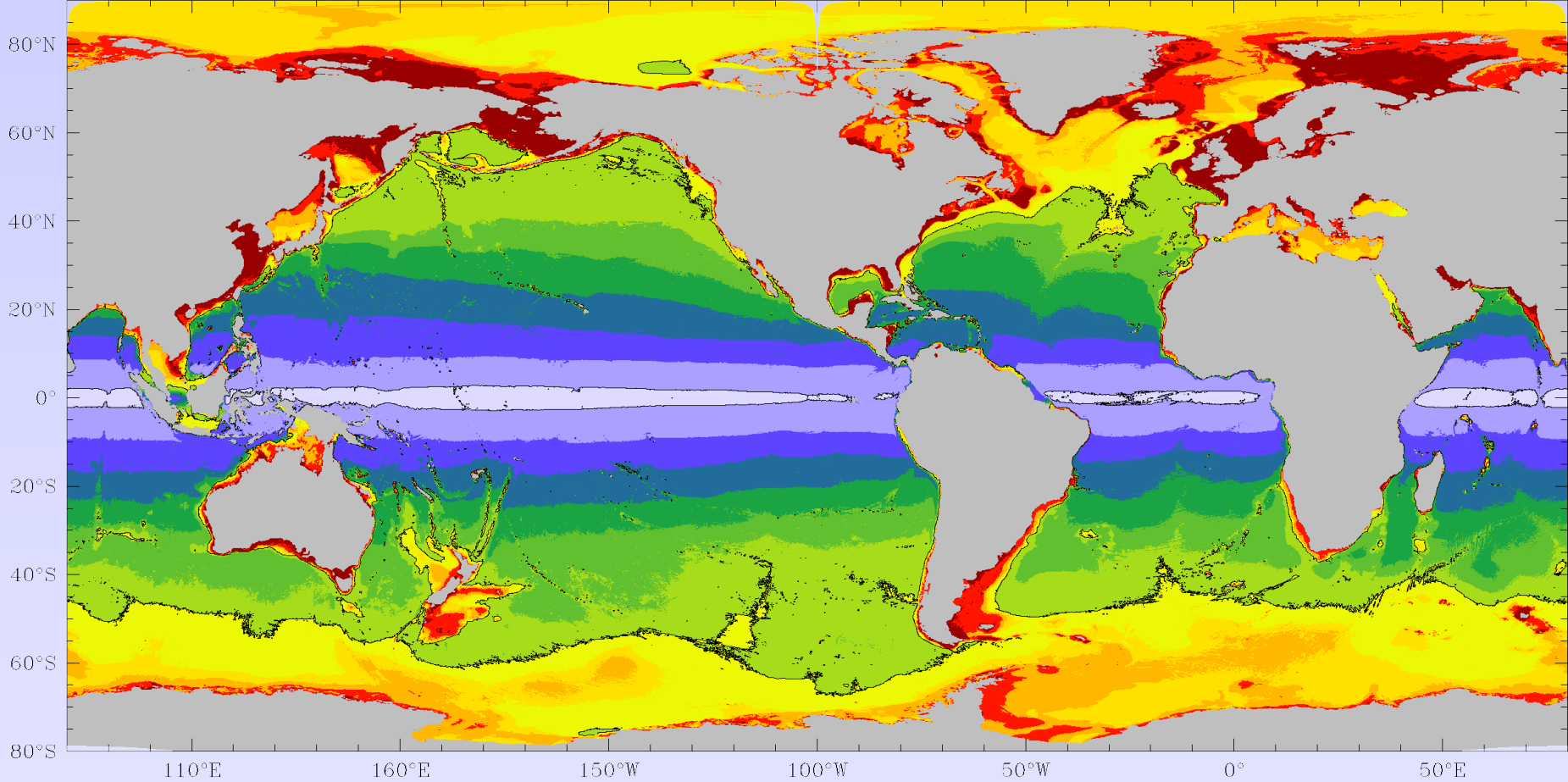
16 km resolution





# Mercator/Tripolar Resolution Required to Admit

$$1^{\text{st}} \text{ Baroclinic Deformation Radius } R_{\text{Def}} = \sqrt{c_g^2 / (f^2 + 2\beta c_g)}$$



1°    1/2°    1/3°    1/4°    1/5°    1/6°    1/8°    1/12°    1/16°    1/25°    1/50°

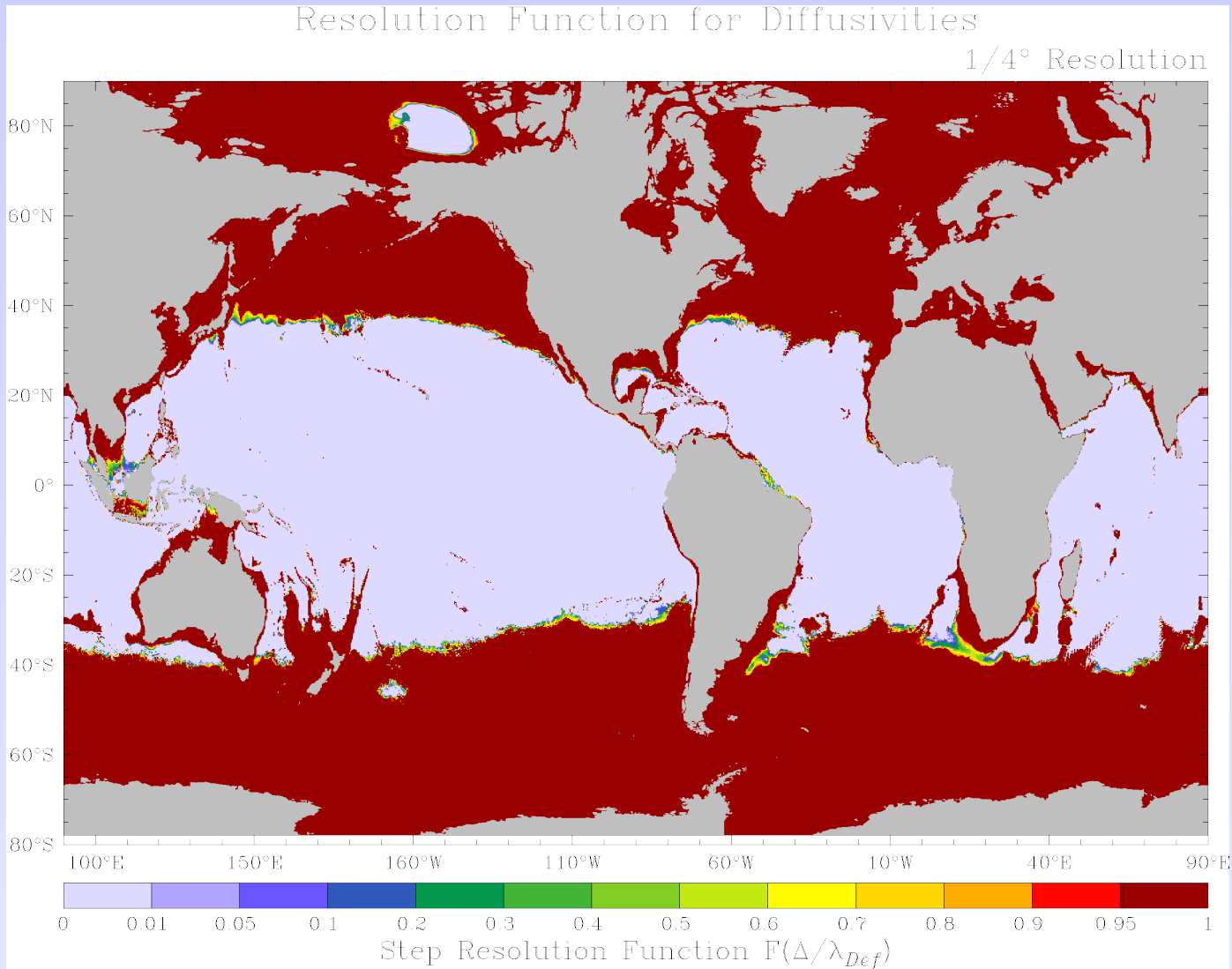
Mercator Grid Resolution Required to Resolve Baroclinic Deformation Radius with  $2 \Delta x$

Coupled Climate Model (CM2.5): ~7 years/day on 6,000 Processors at 1/4° res.

Cost goes as (Resolution)<sup>3</sup>; Saturated throughput goes as (Resolution)<sup>-1</sup>.



# Annual Mean Step Resolution Function for $1/4^\circ$ Model



$$R_{Trans} = 1.41$$

Marginal instability requires  $R_{Trans} \geq \sim 2$

$R_{Trans}$  can be smaller (explicit eddies over more area) for strong instability.



# Along-isopycnal Tracer Diffusion vs. Isopycnal Height (or Gent-McWilliams) Diffusion

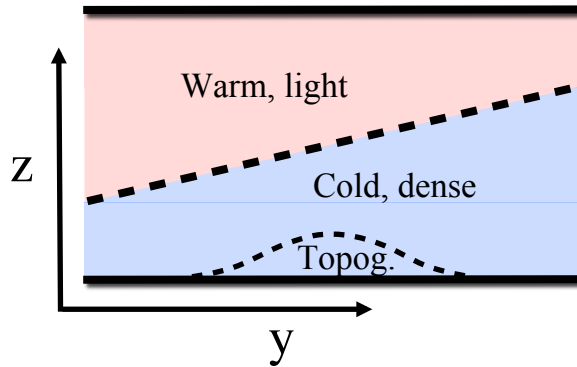
- Interface height diffusion suppresses baroclinic eddies; along-isopycnal tracer diffusion does not.
  - Parameterized and resolved tracer mixing can coexist.
  - Eddy mass transport is either explicit or parameterized - not both!
- Parameterized eddy watermass transport (e.g., G-M) should be disabled abruptly (via a step Resolution Function) when and where eddies are resolved.
- Eddy tracer diffusivities can (should?) be scaled away smoothly, and can represent mixing by unresolved scales even when some eddy scales are resolved.
  - Use a gradually varying resolution function?



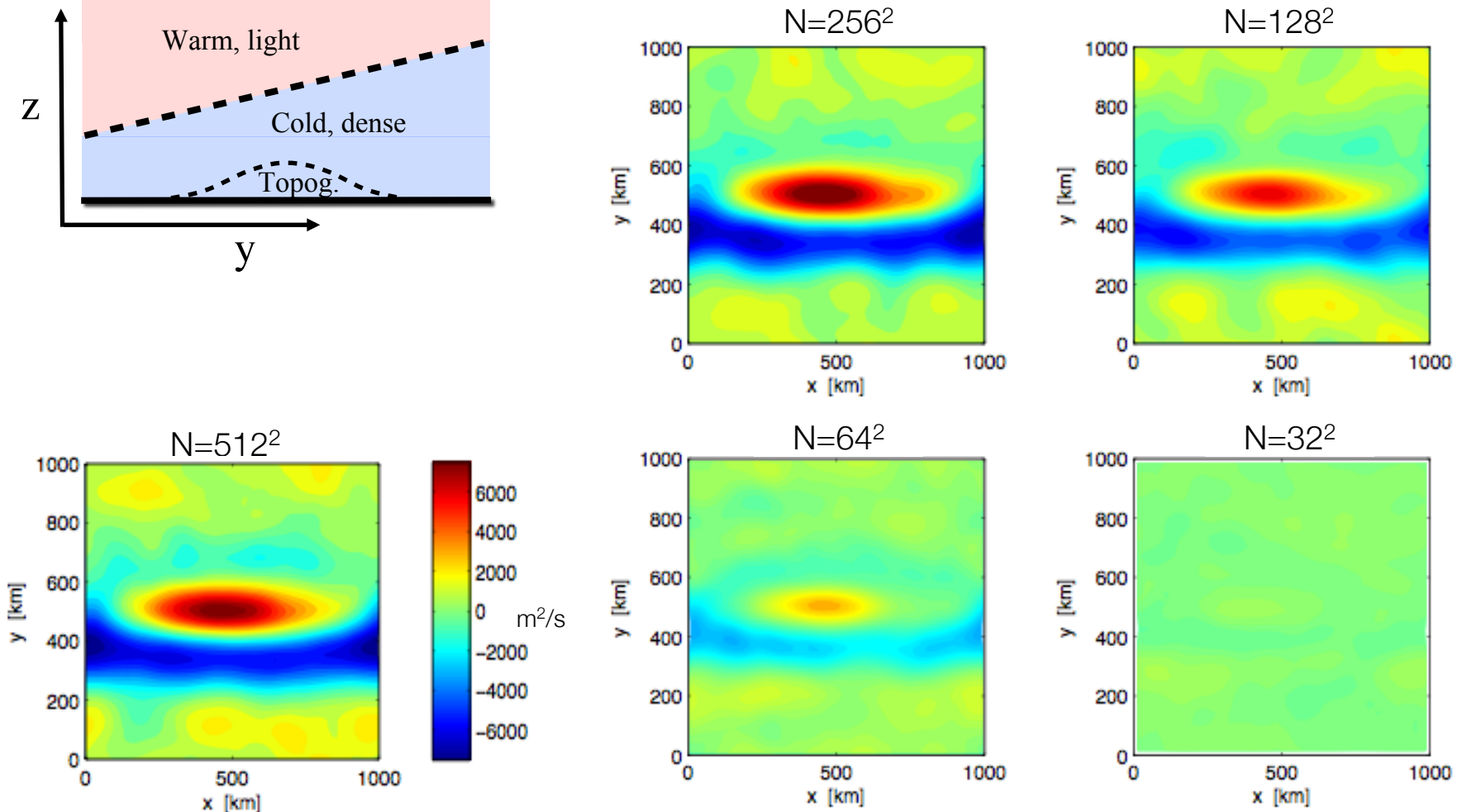
# Degradation of Mean Flow at Eddy-Permitting Resolution

Ref: Jansen and Held (Ocean Modelling, 2014)

Baroclinically unstable mean flow  
in a quasigeostrophic model



Mean Flow (Lower Layer Streamfunction)  
at Various Resolutions



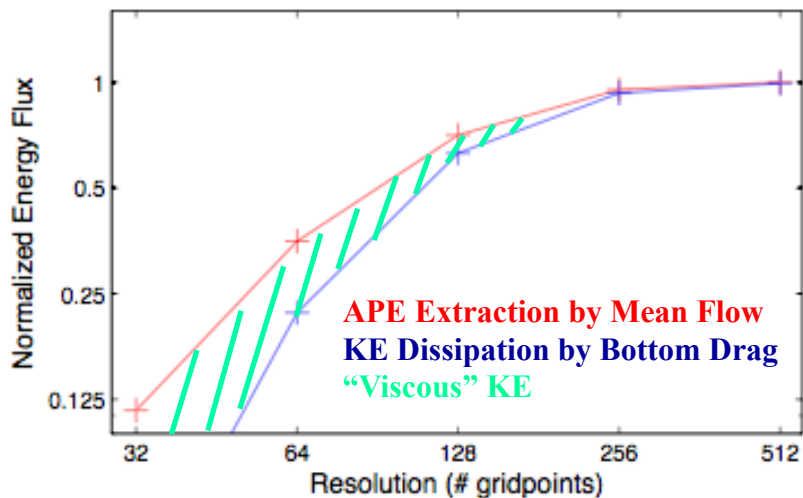
# Backscatter of Small-Scale Dissipated Energy

Ref: Jansen and Held (Ocean Modelling, 2014)

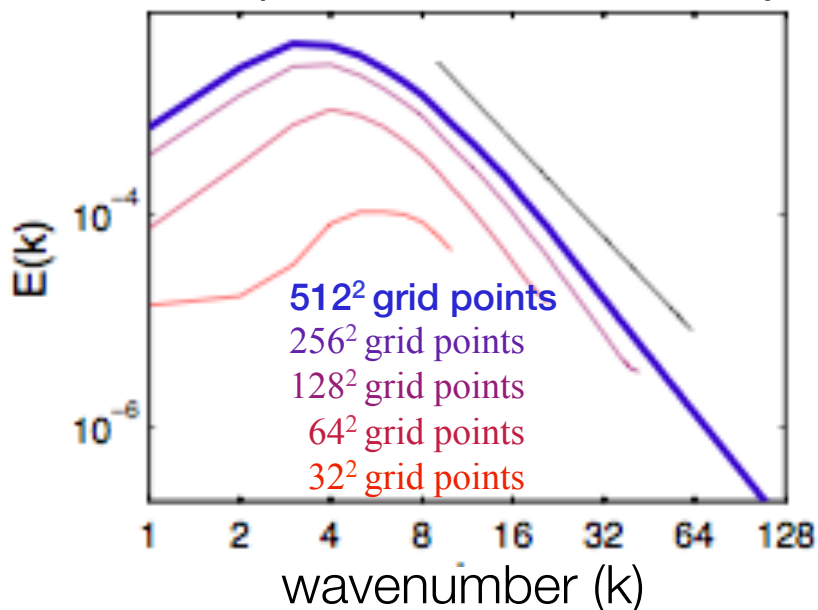
Add anti-viscous Laplacian forcing such that 90% of the energy dissipated near the grid scale is returned:

$$\frac{D\bar{u}}{Dt} + \dots = -A_2 \nabla^2 \bar{u} - A_4 \nabla^4 \bar{u}$$

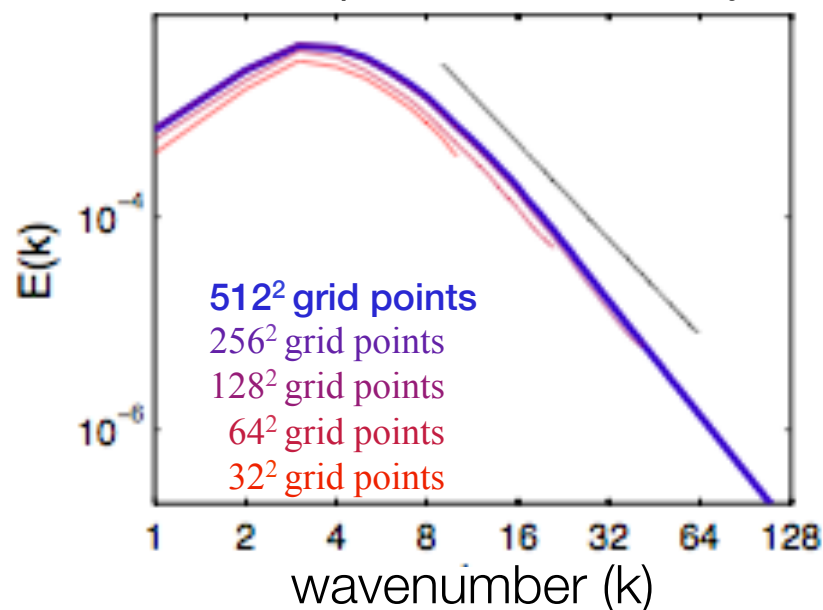
$$A_2 \int (\bar{u} \cdot \nabla^2 \bar{u}) dV = -0.9 A_4 \int (\bar{u} \cdot \nabla^4 \bar{u}) dV$$



Kinetic Energy Spectra  
Dissipative Biharmonic Viscosity



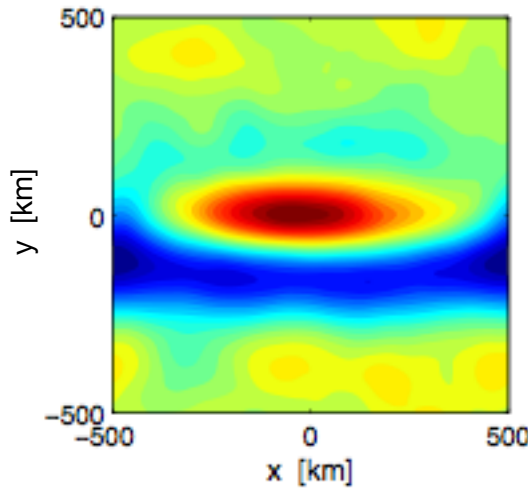
Kinetic Energy Spectra  
Add Laplacian Anti-Viscosity



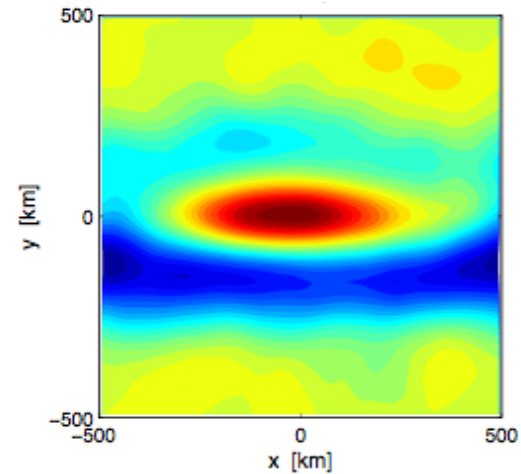
# Mean Flow With and Without Energy Backscatter

Ref: Jansen and Held (Ocean Modelling, 2014)

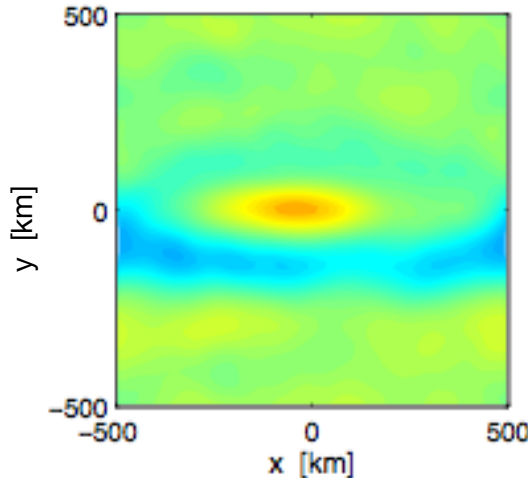
**N=512<sup>2</sup>** Hyperviscosity only



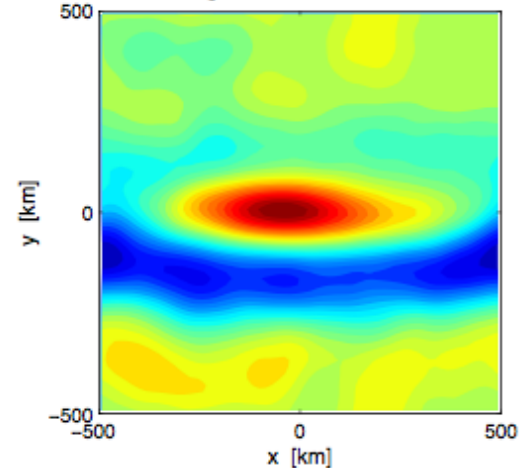
**N=64<sup>2</sup>** White Noise



**N=64<sup>2</sup>** Hyperviscosity only



**N=64<sup>2</sup>** Negative Laplacian



Backscatter allows eddy effects to be explicitly modeled at lower resolution, and hopefully use smaller values of  $R_{Trans}$



# MEKE and Resolution Function Scaling

Resolution function scaling seems particularly promising when combined with a parameterize to diagnose the unresolved Mesoscale Eddy Kinetic Energy (Cessi, 2008; Eden et al., 2008; Marshall & Adcroft, 2010; Melet et al., 2014; Jansen et al., 201X):

$$\frac{\partial E}{\partial t} = Src - \gamma E - \frac{c_d \|u_{bot}\|}{H} E + \frac{1}{H} \nabla \cdot (H \kappa_E \nabla E)$$

$$Src = \frac{(1?)}{H} \sum_{k=1}^K g'_k \kappa_{Int} \|\nabla \eta_k\|^2 - \frac{(0.001?)}{H} \sum_{k=1}^K h_k u_k \cdot \nabla \tau_{visc}$$

$$\kappa_{MEKE} = (0.3?) \min \left( \lambda_D, \sqrt{\Delta x^2 + \Delta y^2}, L_{Other} \right) \sqrt{2E}$$

$$\kappa_{Int} = F(R_H) (\kappa_{MEKE} + \kappa_{Background})$$

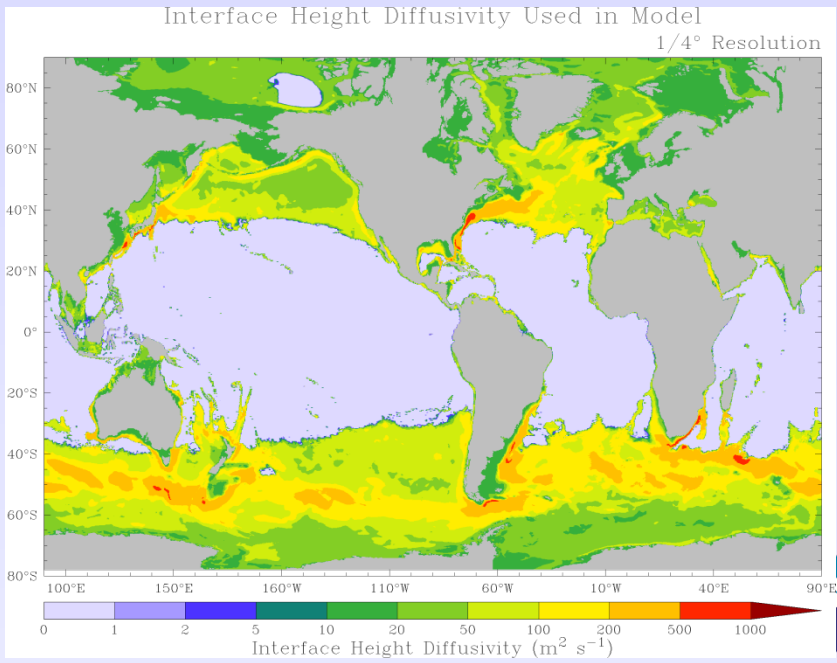
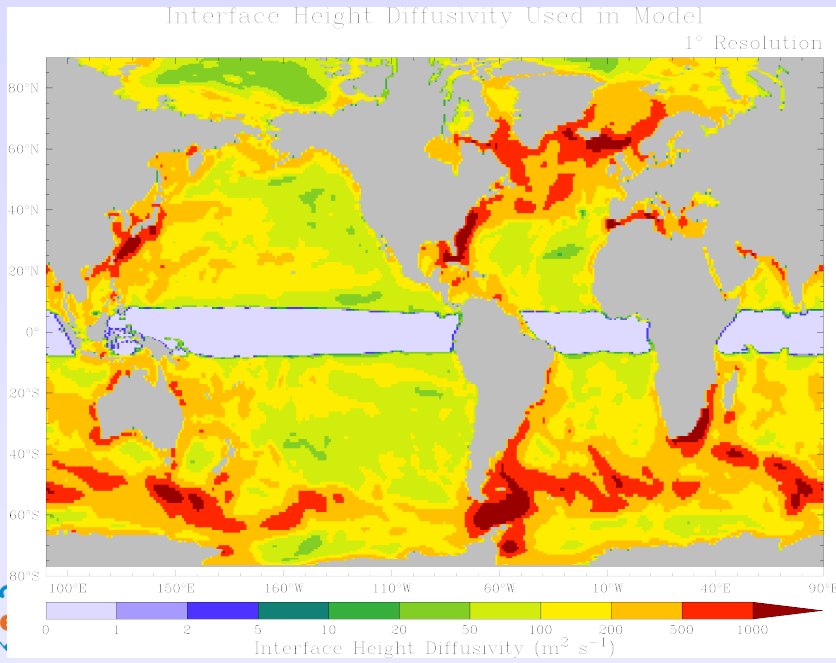
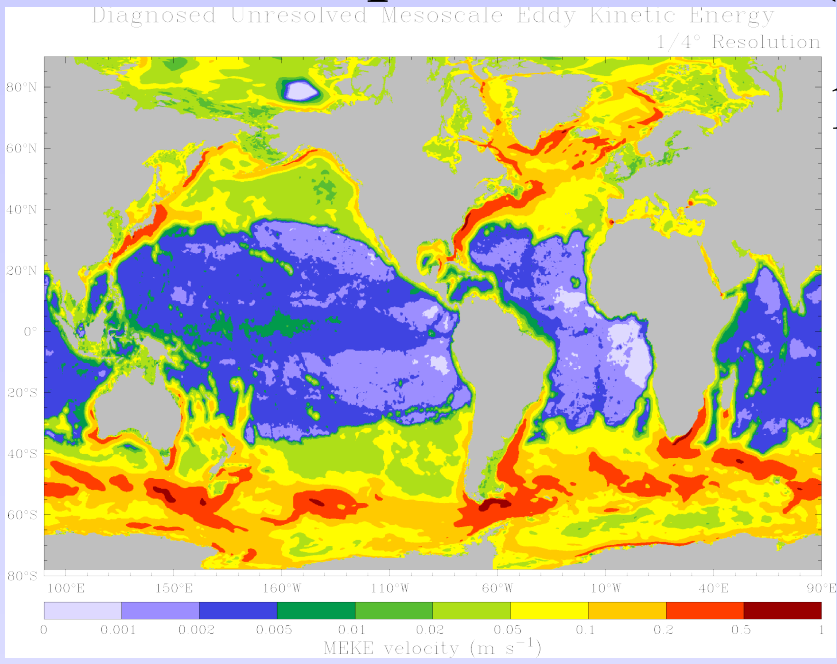
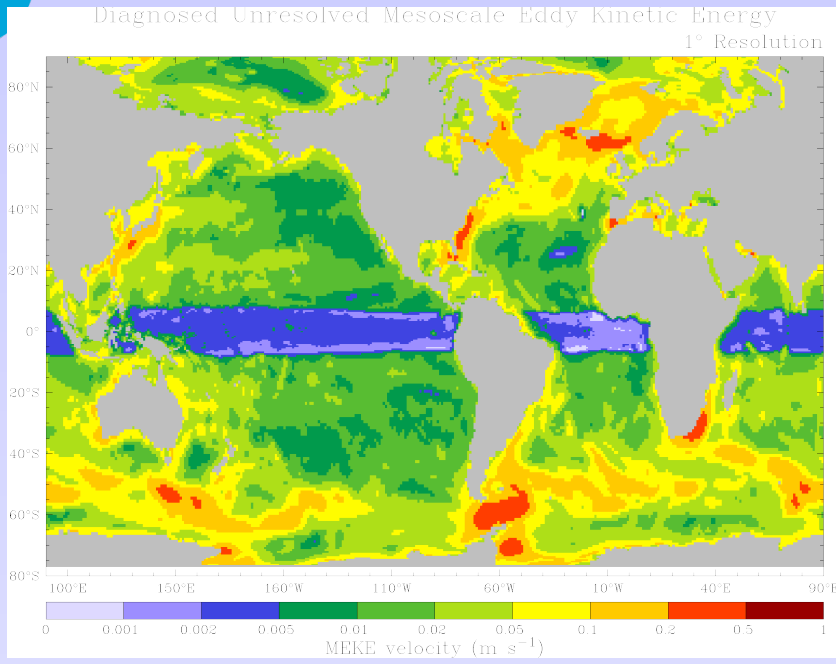
*E* : Unresolved Mesoscale Energy/Mass in Column

MEKE is self-regulating; the MEKE-parameterized mixing changes the resolved state to reduce MEKE's source of energy.

# MEKE in 2 Global Models with Step Function $F(R_H)$

MEKE

$1/4^\circ$

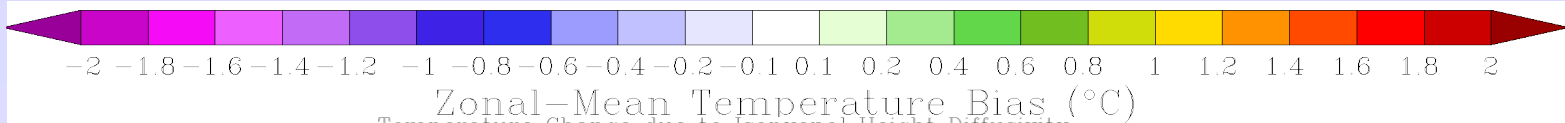
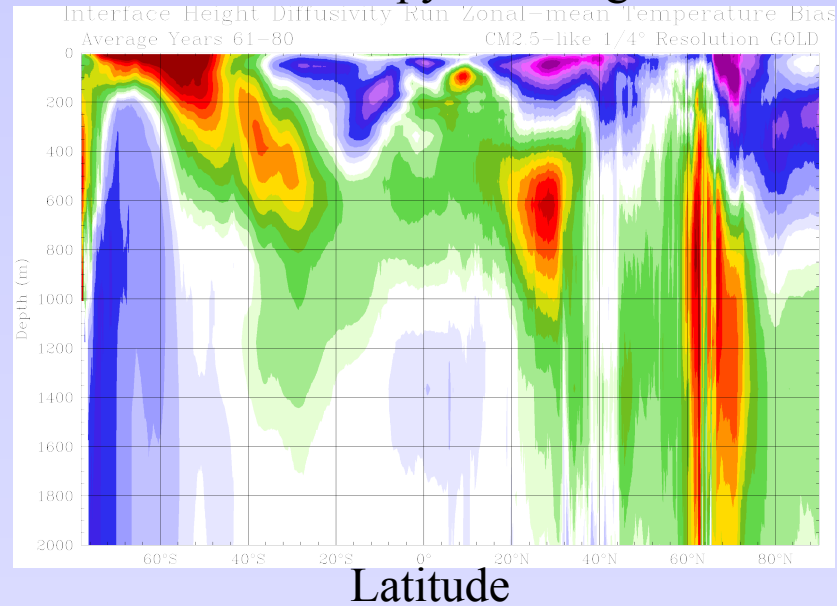
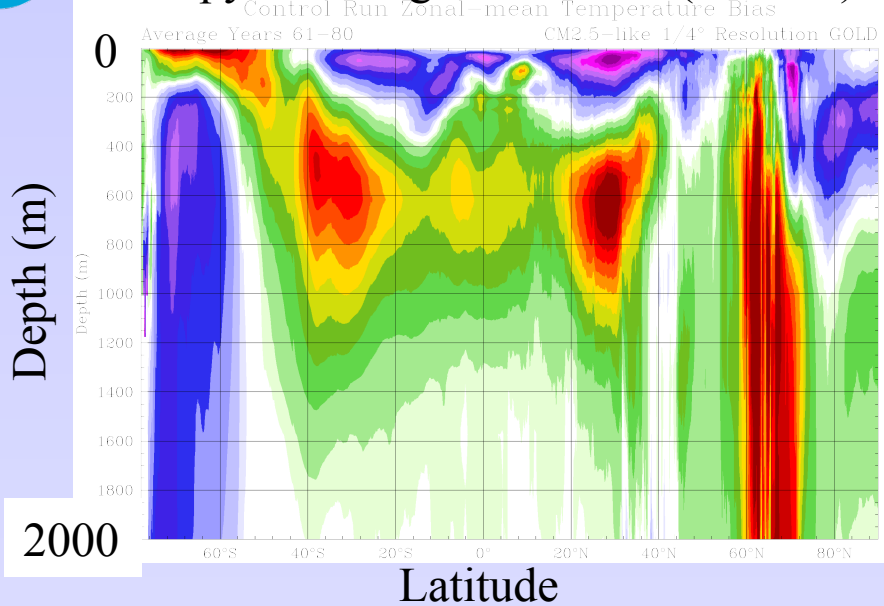


# Temperature Bias Reduction in $1/4^\circ$ Coupled Model

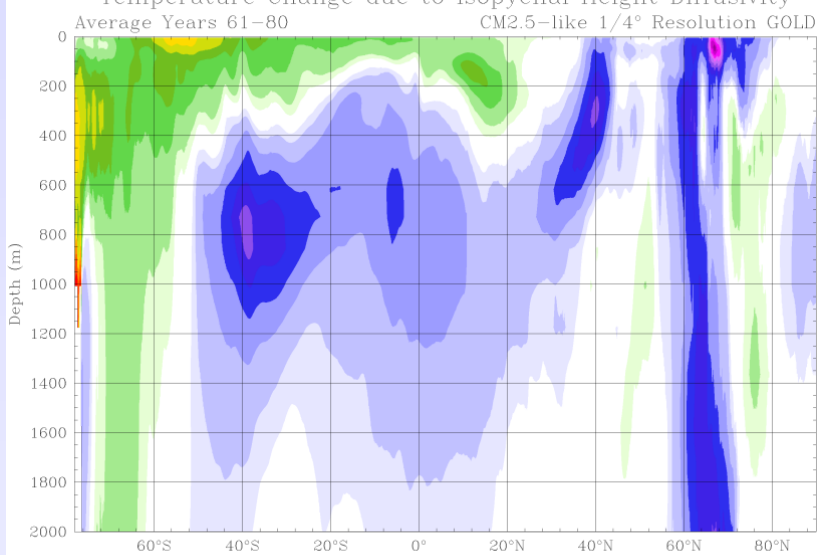


No Isopycnal Height Diffusion (Control)

With Res. Fn. & Isopycnal Height Diffusion



Change in Bias  
Same scale as above



Averages over years 61-80

- Atmosphere not retuned
- Parameterization strength not optimized
- Vertically uniform diffusivities



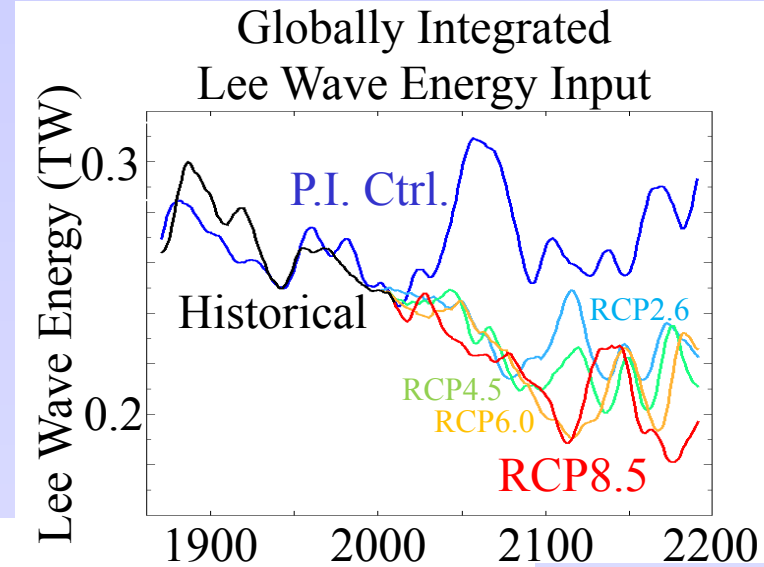


# Changing Energy Fluxes into Lee Waves

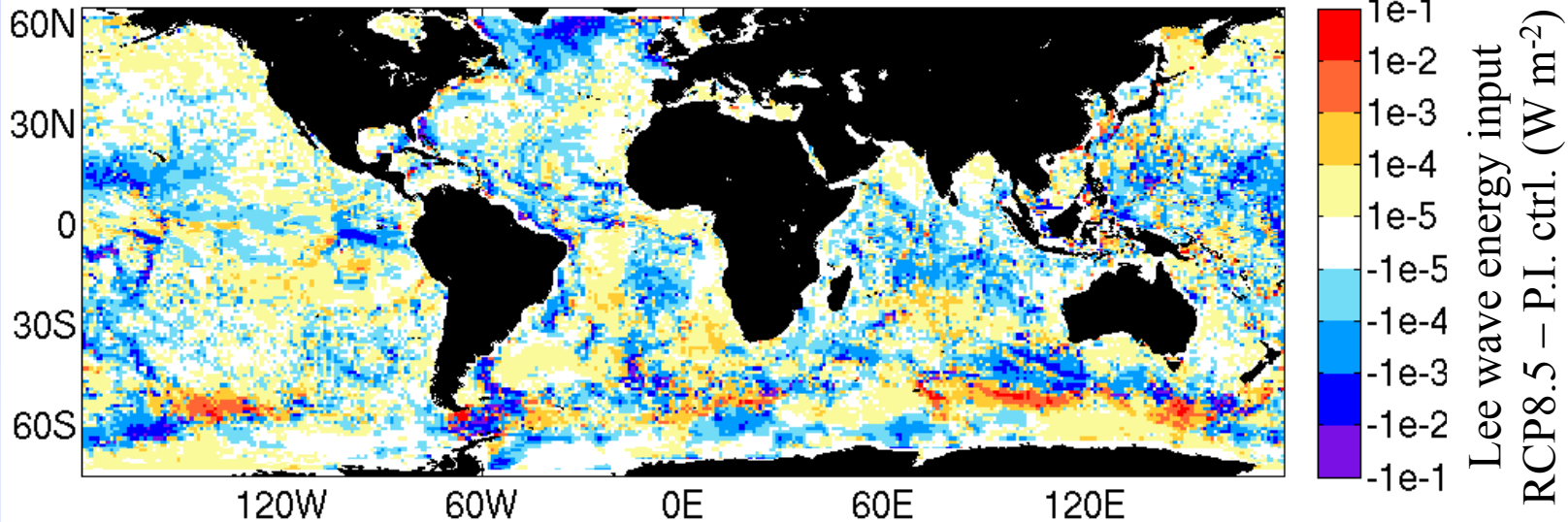
Ref: Melet et al, 2014, *J. Climate* (in press)

Globally, energy input into eddy- and mean-flow driven lee waves decreases with a warming climate due to weaker near-bottom flows...

But lee-wave energy input to the Southern Ocean increases due to stronger eddies & mean flow...



2101-2200 Lee Wave Energy Flux  
RCP 8.5 – Preindustrial Control

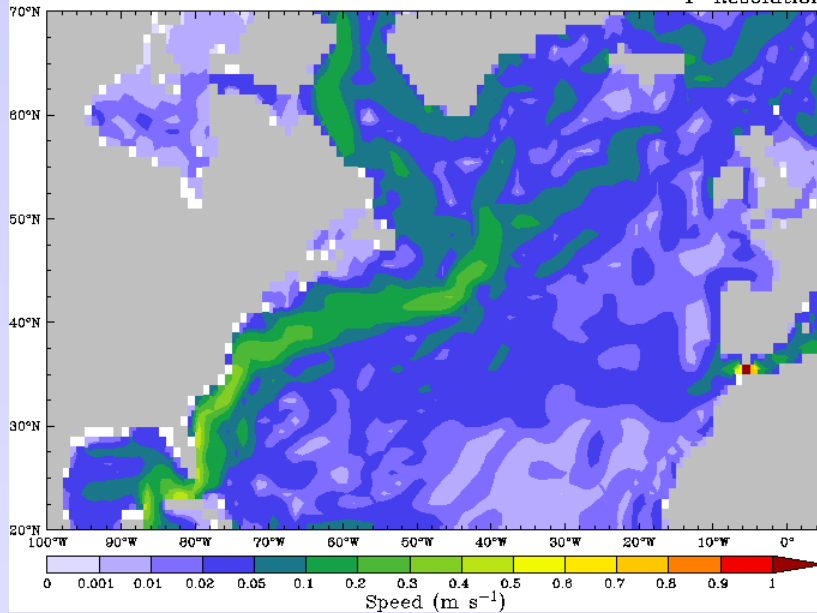




# The Gulf Stream in 2 Global Models with Resolution Function Scaling and MEKE

December Mean Speed at 25 to 50 m Depth

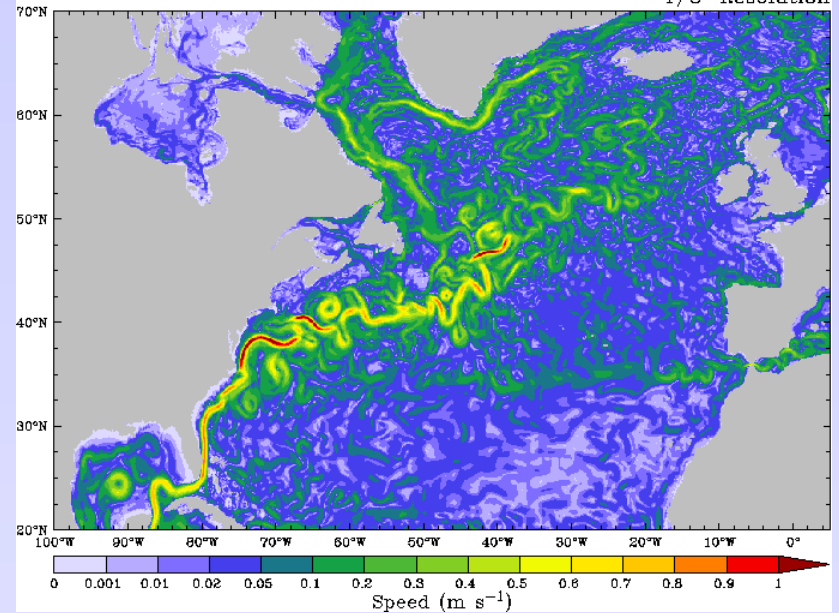
1° Resolution



1°

December Speed at 25 to 50 m Depth

1/8° Resolution



1/8°

- With MEKE scaled via a Resolution Function, these global ocean models differ only in their choice of grid-spacing and time-step
- Backscatter gives plausible explicit eddy effects at lower resolutions
- Climate projections of heat and carbon uptake require that eddy parameterizations respond appropriately to an evolving ocean state