

# Adjoints as a Tool for Observing System Design: with applications to AMOC

Nora Loose (University of Bergen)

Patrick Heimbach & **Helen Pillar** (UT Austin)

Helen Johnson & David Marshall (Oxford University)

So Takao (Imperial College London)

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# Aims

- We aim to show that adjoint modelling is a powerful tool for:
  - 1. Identifying critical observation uncertainties**
  - 2. Exploring potential proxy information of observations**

# Background: Adjoint Models

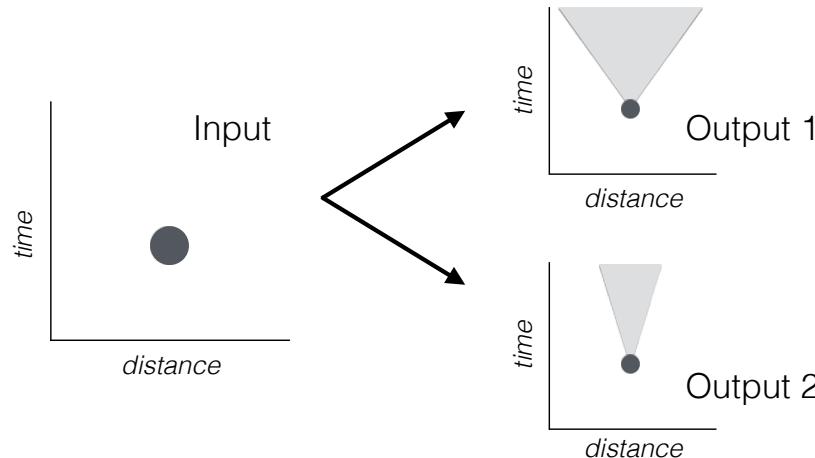
- The adjoint of an OGCM provides the **local linear sensitivity** of an output scalar Quantity of Interest (**QoI**) to all model controls ( $\mathbf{x}$ ) as a function of **control lead time** ( $T_{\text{lead}}$ ):

$$\frac{\partial \text{QoI}}{\partial \mathbf{x}}(x, y, z, T_{\text{lead}}) \quad \text{for } \mathbf{x} = \mathbf{x}_{\text{boundary}} + \mathbf{x}_{\text{initial}} + \mathbf{x}_{\text{params}}$$

- They reveal all viable **dynamical mechanisms** via which perturbations in  $\mathbf{x}$  **influence** the QoI.

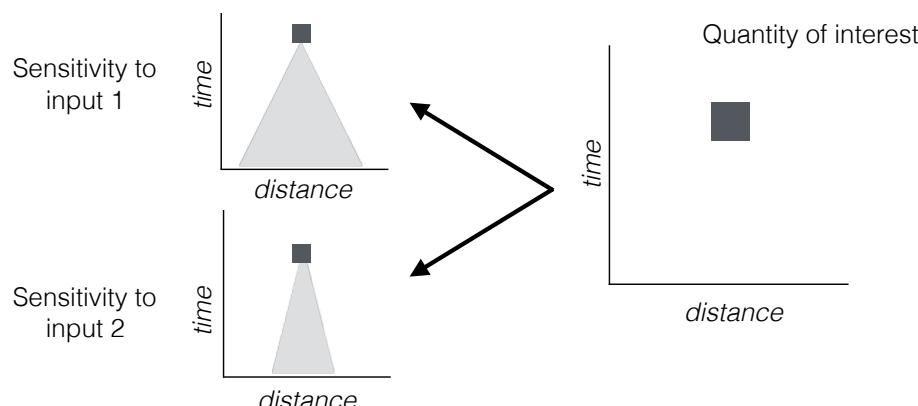
# Background: Adjoint vs Forward Sensitivity

Forward sensitivity study



**Result: Impact of a single input perturbation on all model outputs**

Adjoint sensitivity study



**Result: Sensitivity of a single model output (QoI) to all model inputs**

Jones et al., 2018

# **PART 1:**

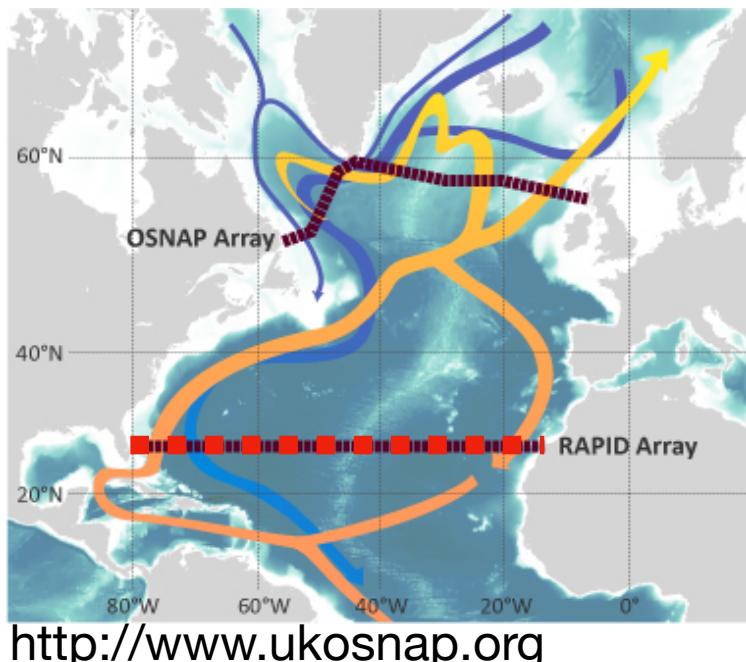
## **Adjoints applied to dynamical attribution**

# Aims

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# Aims

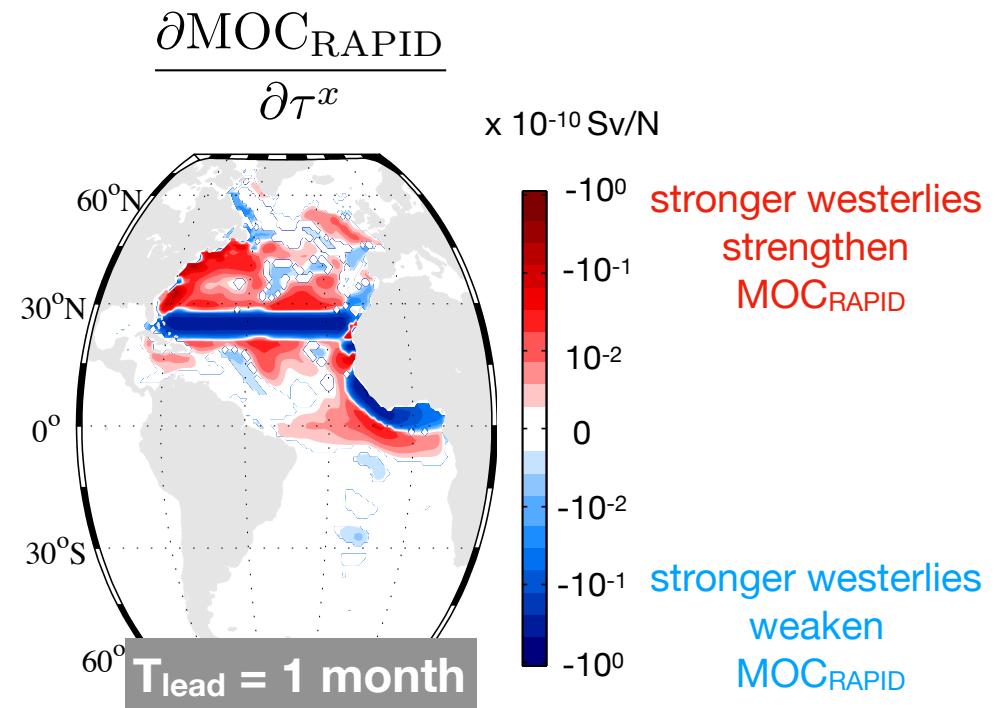
- We aim to show that adjoint modelling is a powerful tool for:
  1. **Identifying critical observation uncertainties**  
*case study: key atmospheric uncertainties for MOC<sub>RAPID</sub> estimates*
  2. Exploring potential proxy information of observations



## Model:

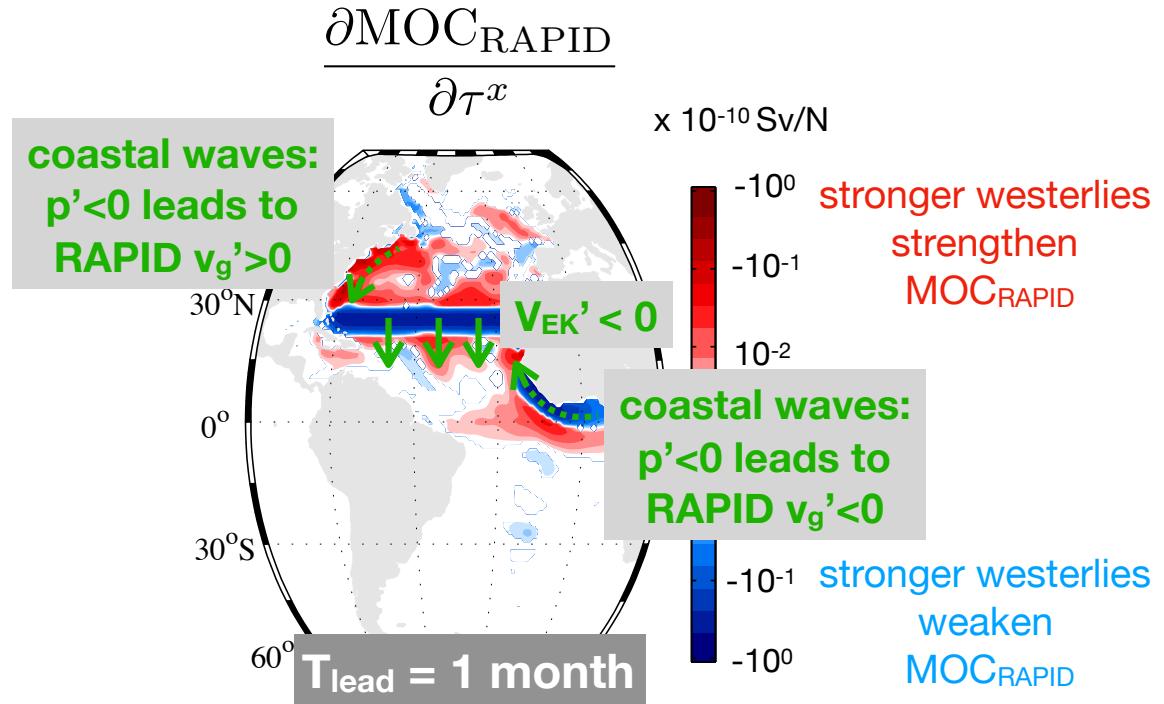
- Quasi-global (74°N and 78°S) MITgcm
  - grid =  $1^\circ \times 1^\circ$ , 33 levels
  - forcing = NCEP II air-sea flux climatology  
+ OMIP runoff climatology  
+ restoring to SSS/SST climatology  
(all climatologies = 12 x monthly-mean repeating)
  - subgrid = KPP mixing + GM eddy schemes
- 
- **QoI = monthly-mean MOC<sub>RAPID</sub>**
  - **controls =  $\tau^x, \tau^y, Q, (E-P)$**
  - max  $T_{lead} = 15$  years

# MOC<sub>RAPID</sub> air-sea flux sensitivity



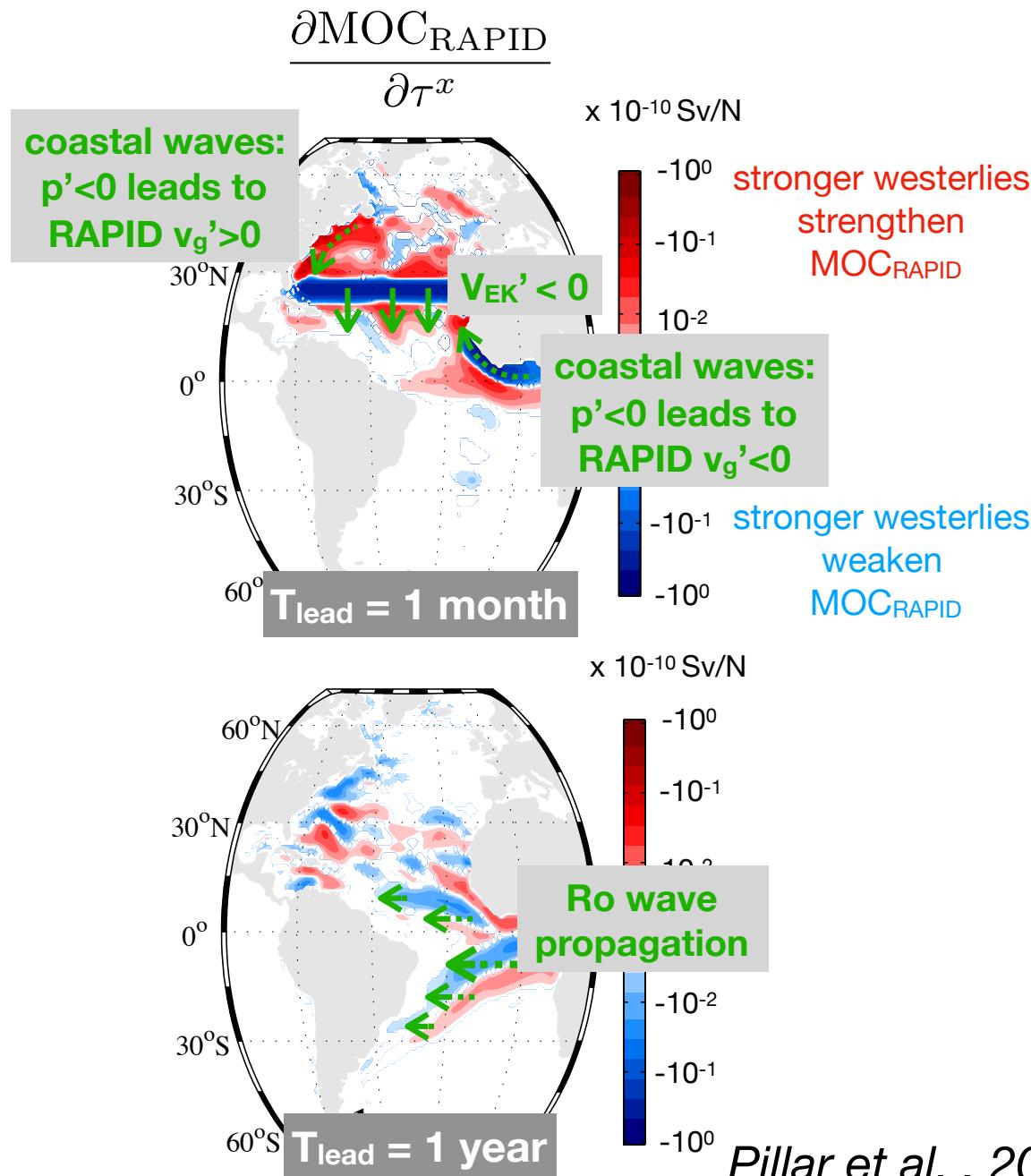
Pillar et al., 2016

# MOC<sub>RAPID</sub> air-sea flux sensitivity

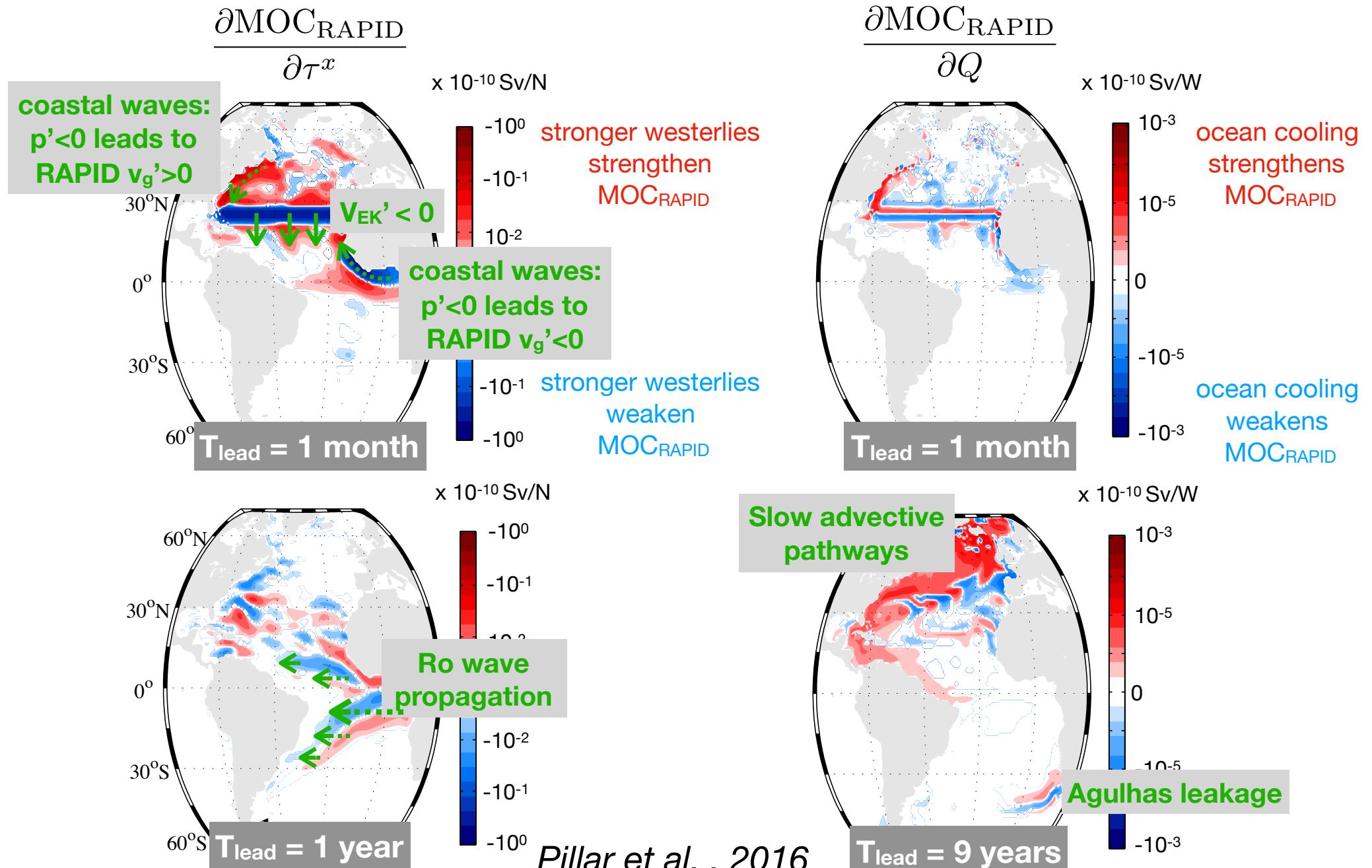


Pillar et al., 2016

# MOC<sub>RAPID</sub> air-sea flux sensitivity



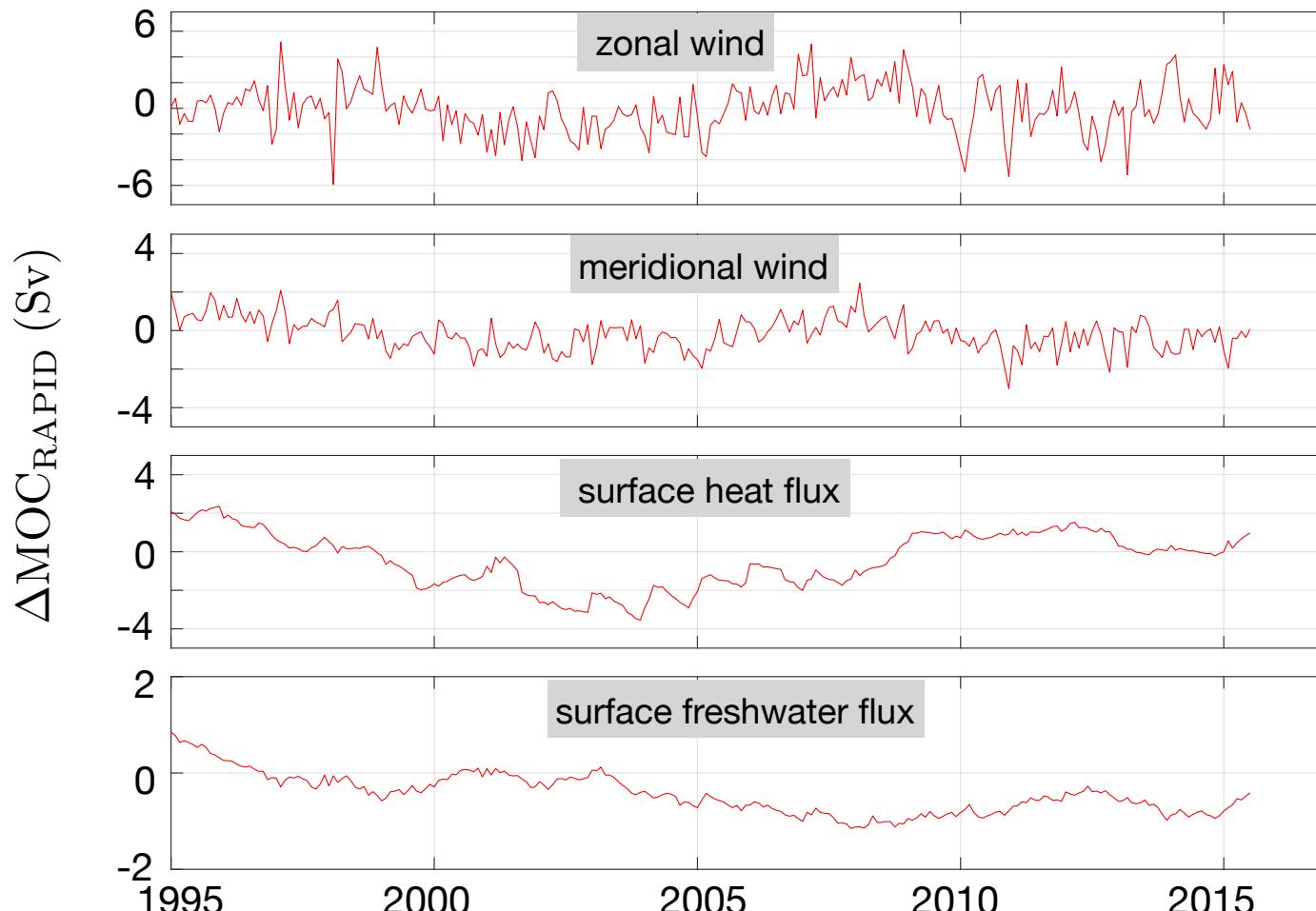
# MOC<sub>RAPID</sub> air-sea flux sensitivity



# Externally Forced MOC<sub>RAPID</sub> Variability

$$\Delta \text{MOC}_{\text{RAPID}}(t, F) = \sum_{T_{\text{lead}}}^{15 \text{ yrs}} \int \int \frac{\partial \text{MOC}_{\text{RAPID}}}{\partial F}(x, y, T_{\text{lead}}) \Delta F(x, y, t - T_{\text{lead}}) dx dy$$

$\Delta F$  = **NCEP II** monthly anomalies about the seasonal climatology

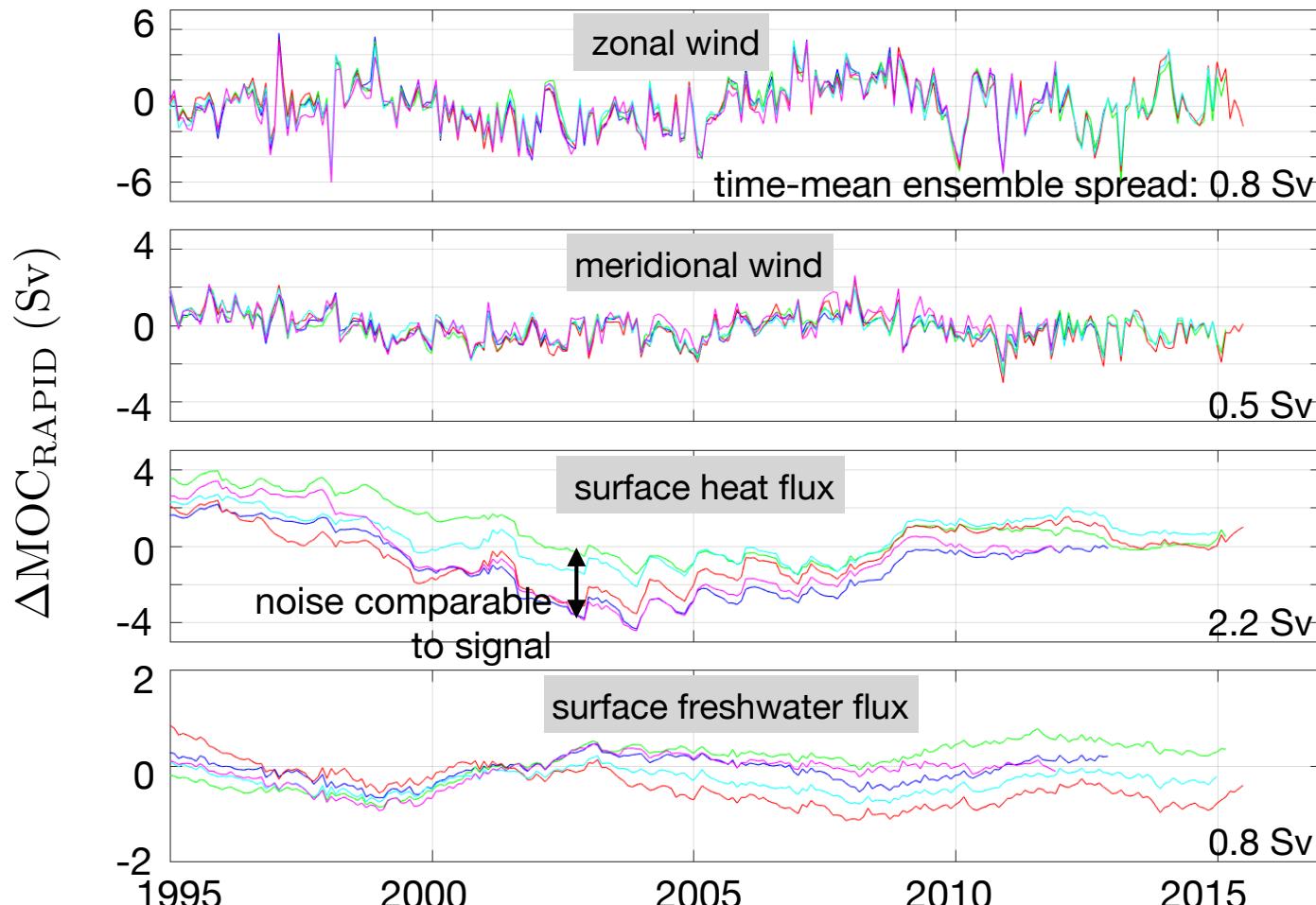


Pillar et al., 2016

# Externally Forced MOC<sub>RAPID</sub> Uncertainty

$$\Delta \text{MOC}_{\text{RAPID}}(t, F) = \sum_{T_{\text{lead}}}^{15 \text{ yrs}} \int \int \frac{\partial \text{MOC}_{\text{RAPID}}}{\partial F}(x, y, T_{\text{lead}}) \Delta F(x, y, t - T_{\text{lead}}) dx dy$$

$\Delta F$  = **NCEP II/ NCEP I/ ERA-INT/ JRA55/ 20CR** monthly anomalies

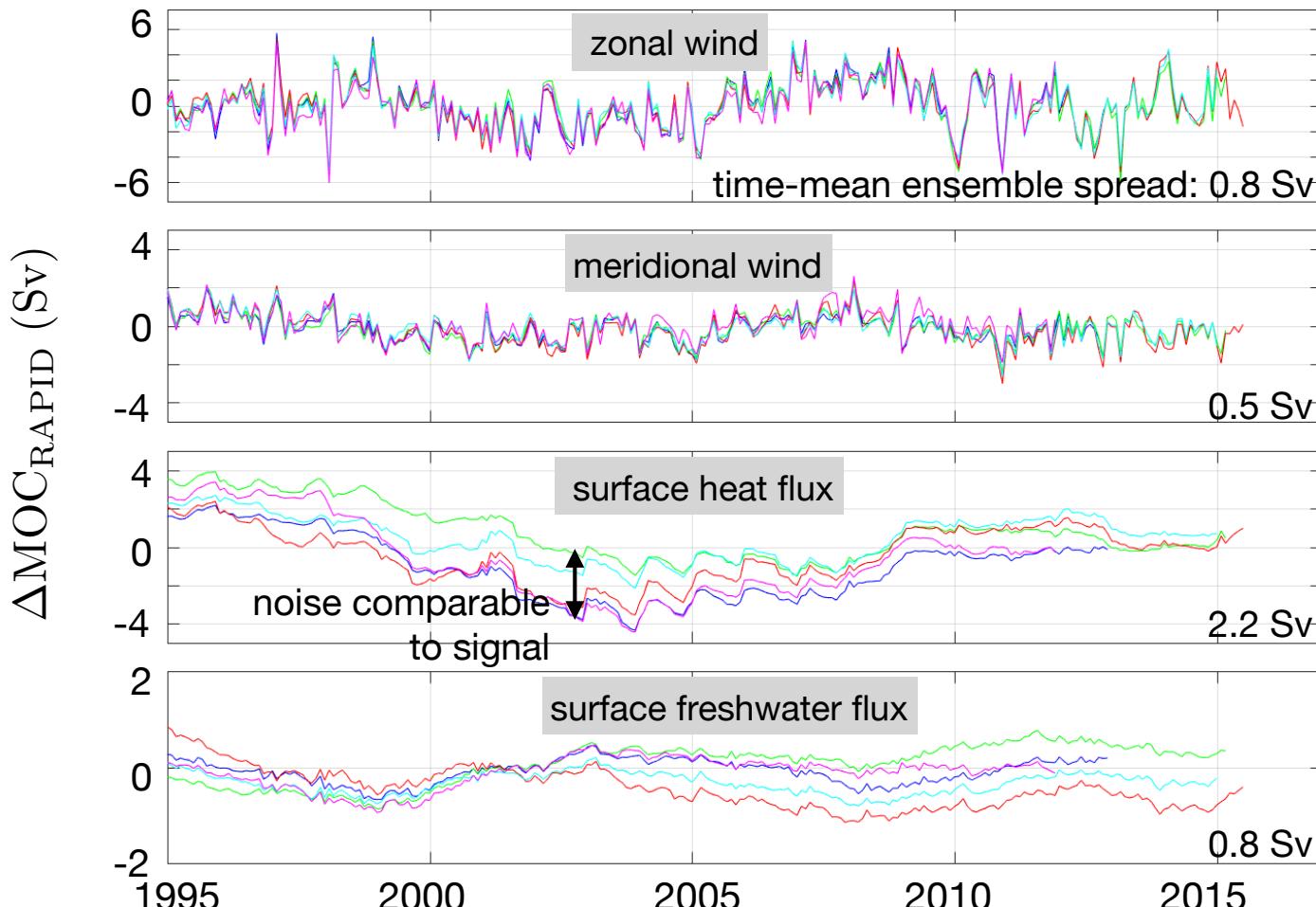


Pillar et al., 2018

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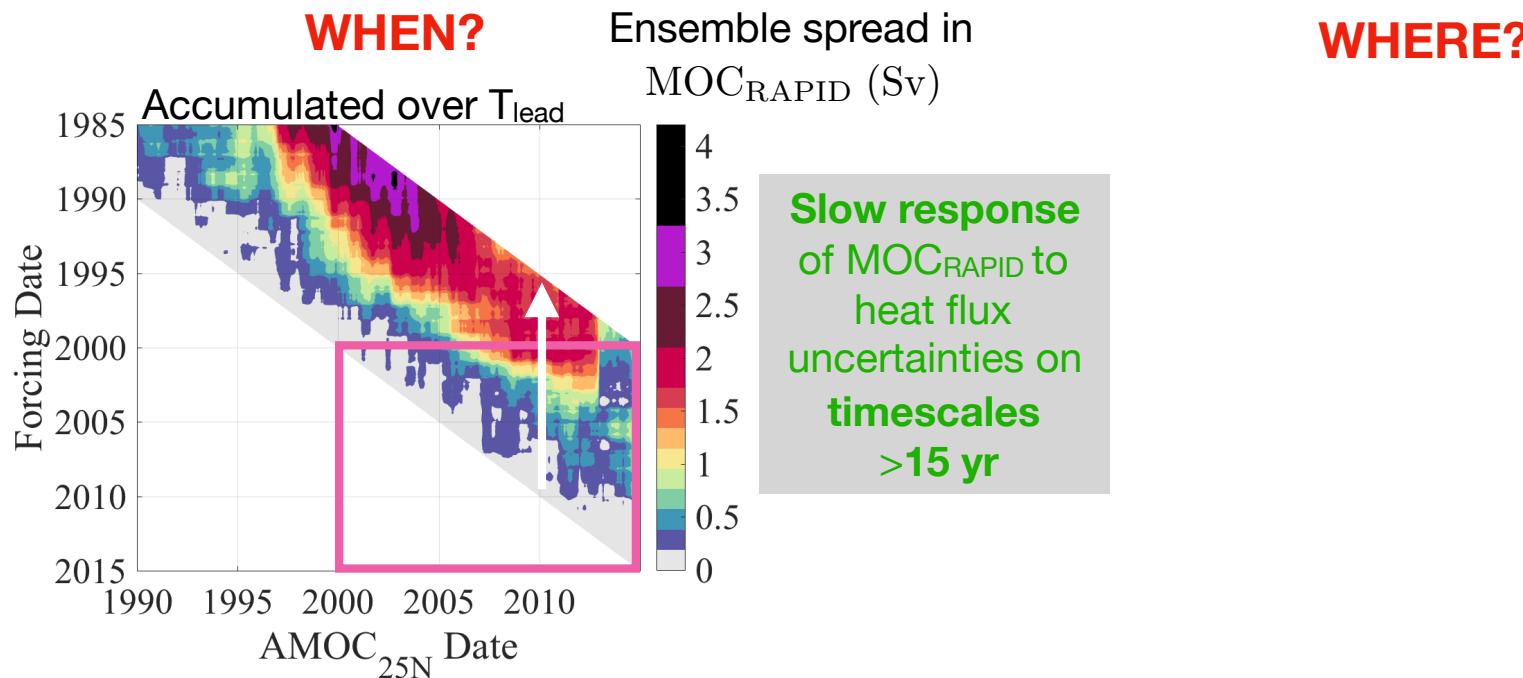


**Key flux uncertainty?**  
**(1) WHEN in time?**  
**(2) WHERE in space?**

Focus on space and time origins of heat-driven MOC<sub>RAPID</sub> ensemble spread

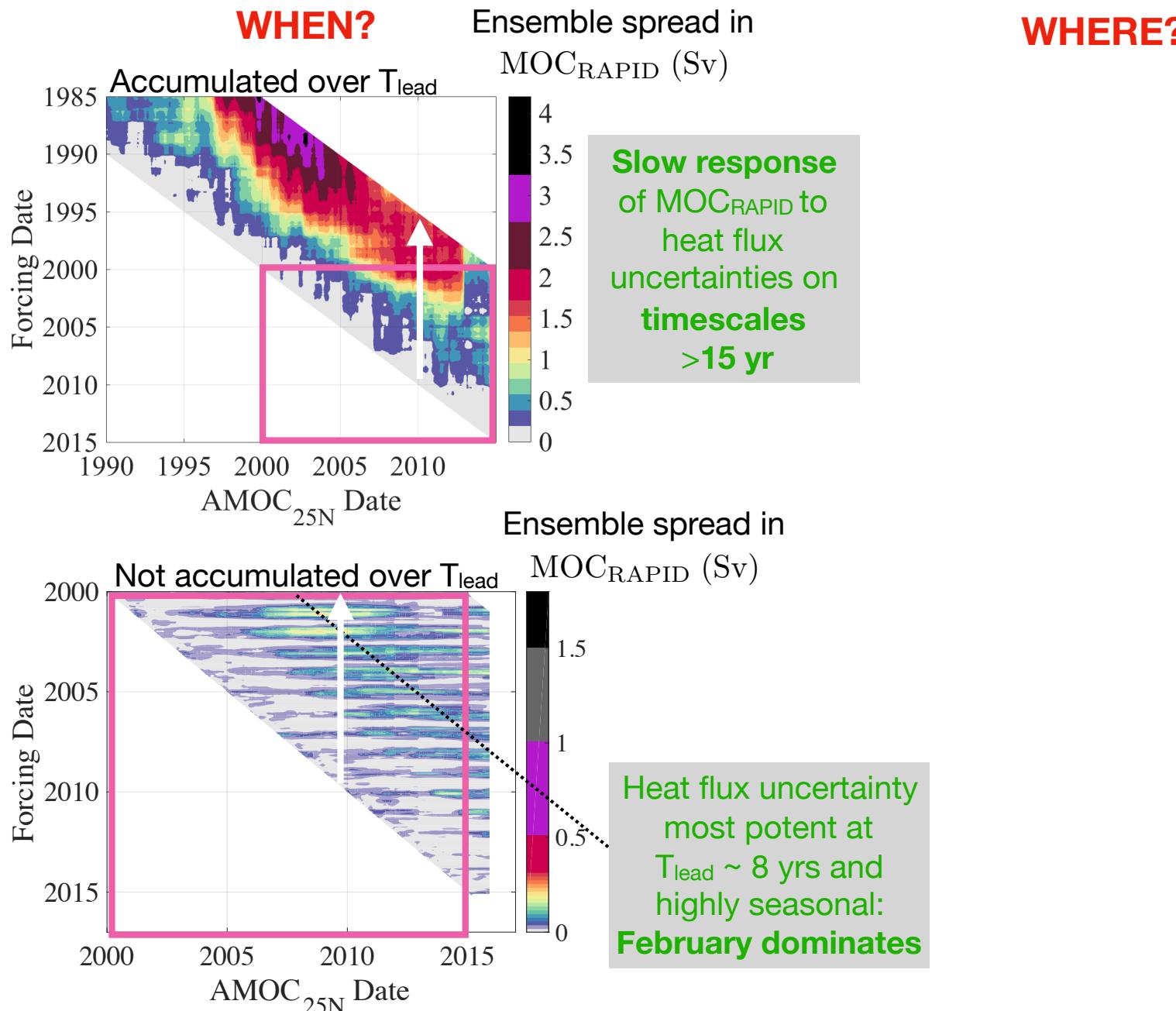
Pillar et al., 2018

# Critical Heat-Flux Uncertainties?



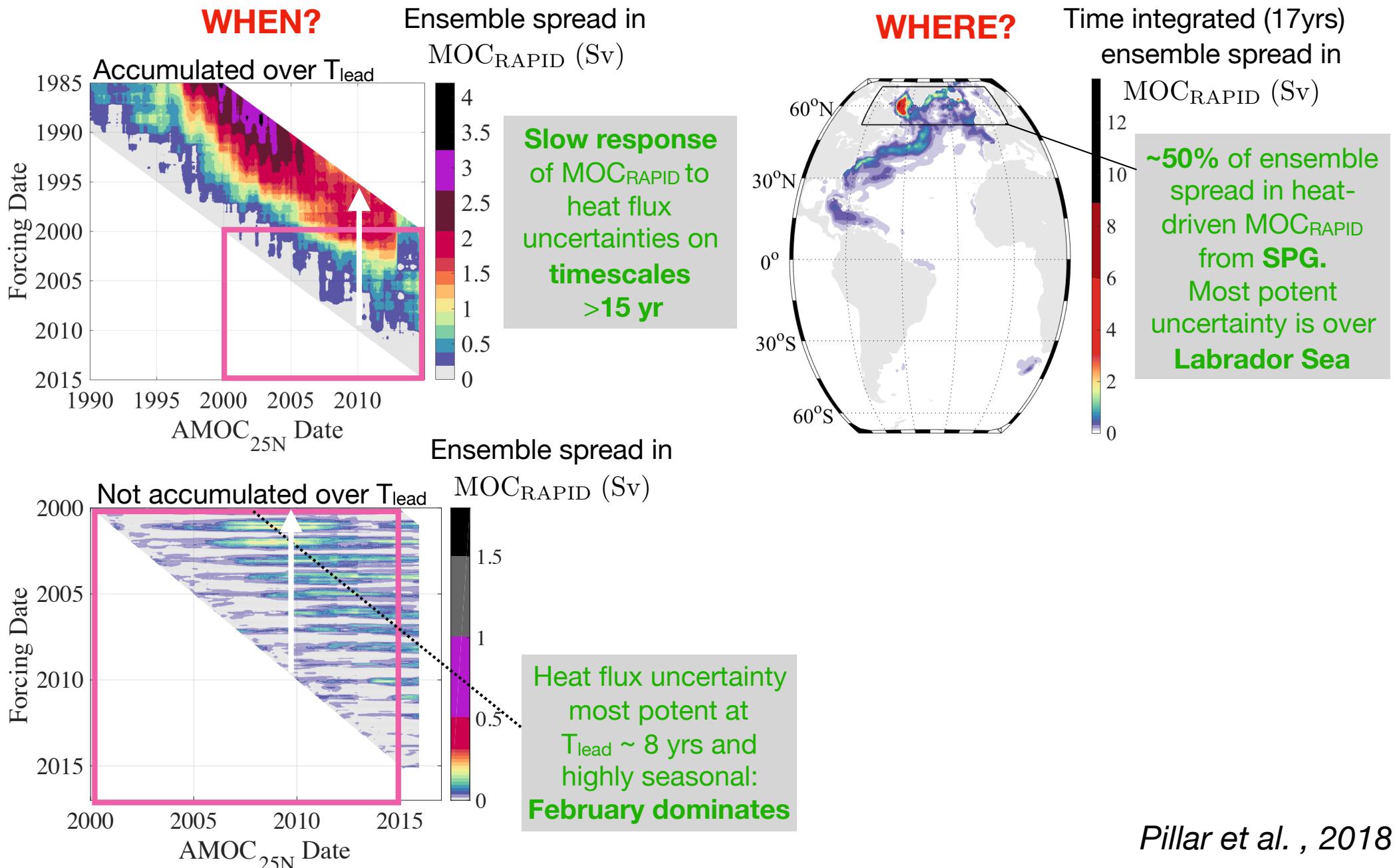
Pillar et al., 2018

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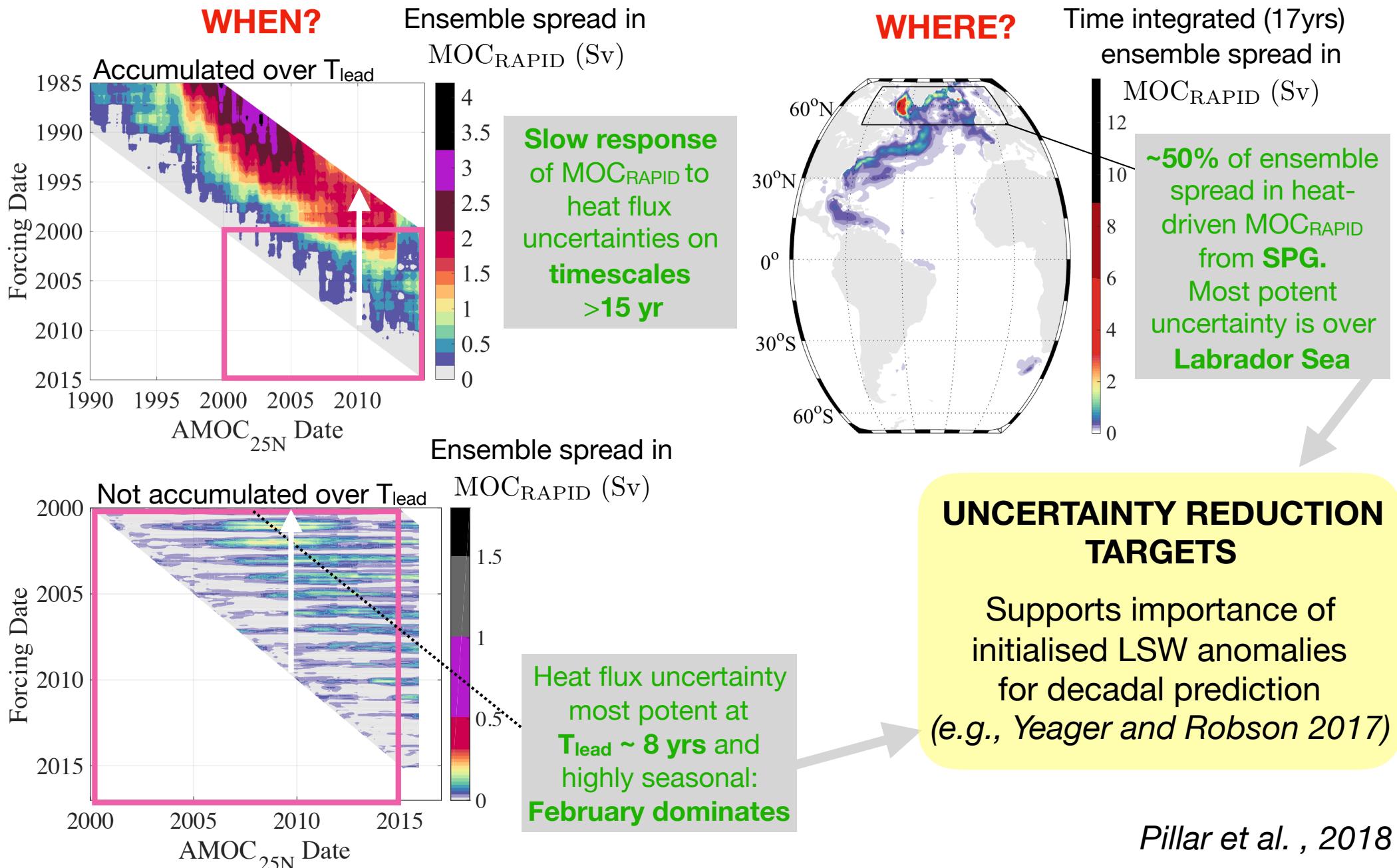


Pillar et al., 2018

# Critical Heat-Flux Uncertainties?



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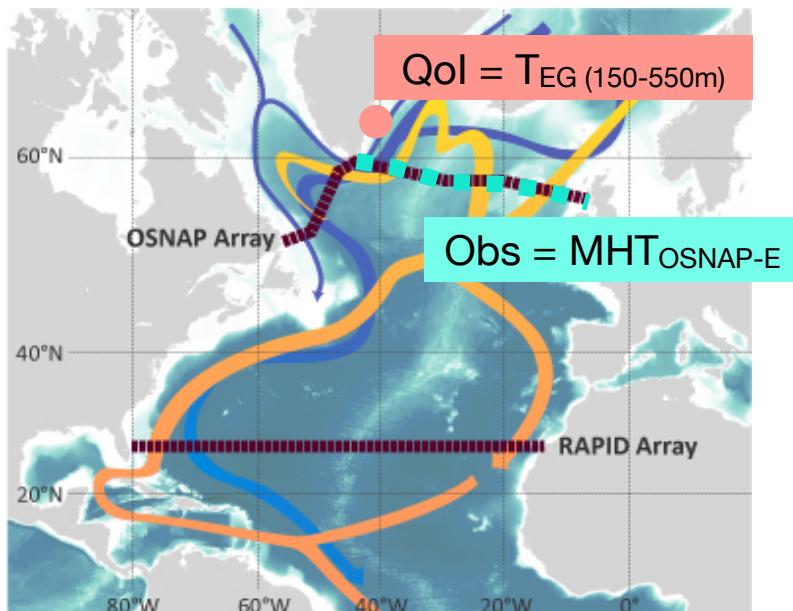


## **PART 2:**

### **Adjoints applied to observing system design**

# Aims

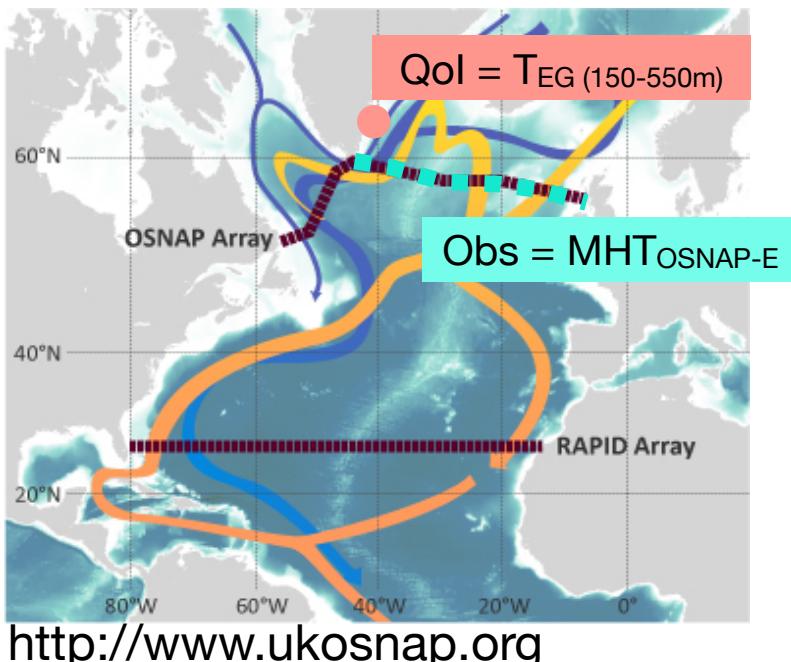
- We aim to show that adjoint modelling is a powerful tool for:
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*case study: key atmospheric uncertainties for MOC<sub>RAPID</sub> estimates*
  2. Exploring potential proxy information of observations



<http://www.ukosnap.org>

# Aims

- We aim to show that adjoint modelling is a powerful tool for:
  1. Identifying critical observation uncertainties  
*case study: key atmospheric uncertainties for MOC<sub>RAPID</sub> estimates*
  2. Exploring potential proxy information of observations  
*case study: constraints on T<sub>EG</sub> provided by MHT<sub>OSNAP-E</sub> (Loose et al. 2018)*

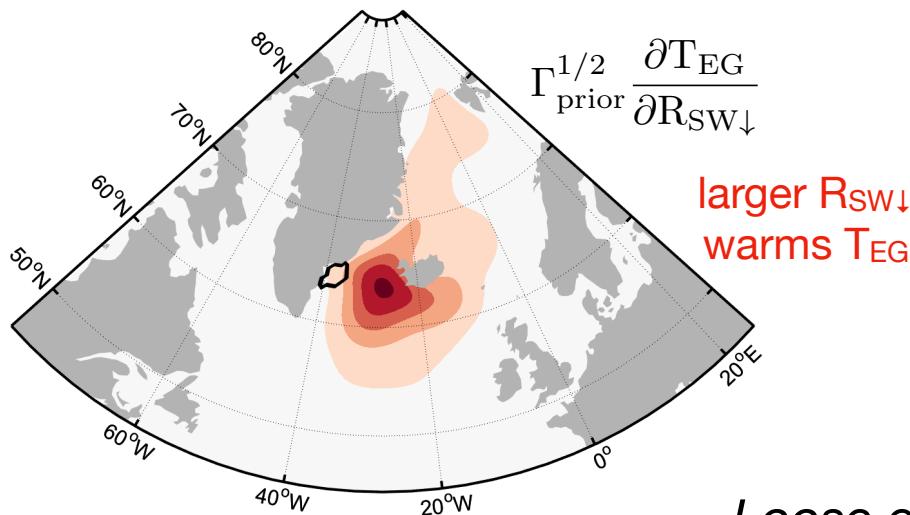
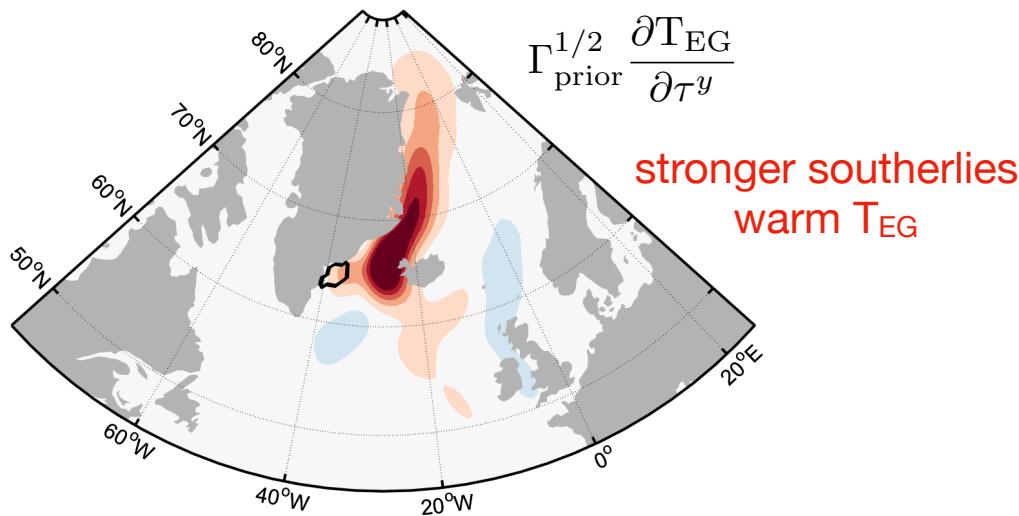


## Inverse Modelling Framework:

- Global MITgcm in ECCOv4 (Forget et al., 2015)
- grid =  $1^\circ \times 1^\circ$ , 50 levels
- forcing = optimised atmospheric state (1992-2011)  
with bulk formulae
- subgrid = KPP mixing + GM eddy schemes
- **Qol = 5yr-mean T<sub>EG</sub> (150-550m)**
- **Obs = 5yr-mean MHT<sub>OSNAP-E</sub>**
- controls =  $\tau^x$ ,  $\tau^y$ ,  $R_{SW\downarrow}$ ,  $R_{LW\downarrow}$ ,  $q$ ,  $T_{atm}$ ,  $P$

# $T_{EG}$ Forcing Sensitivity

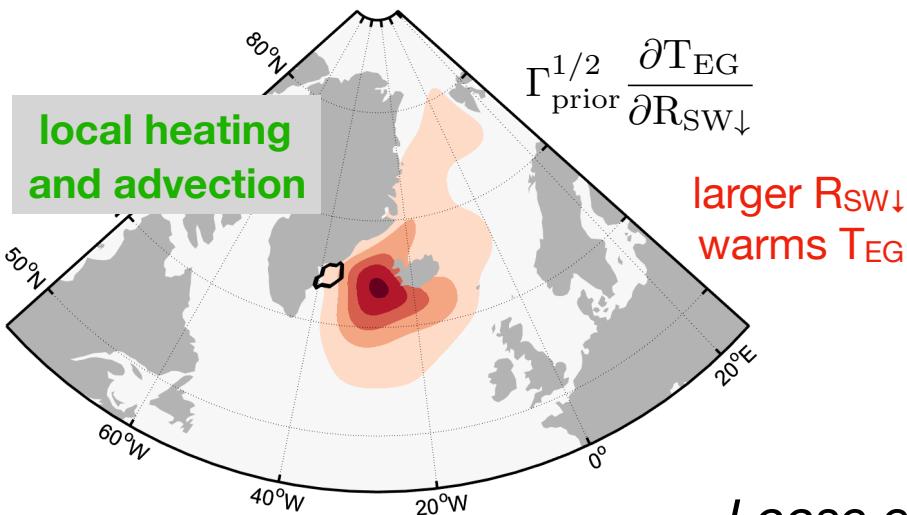
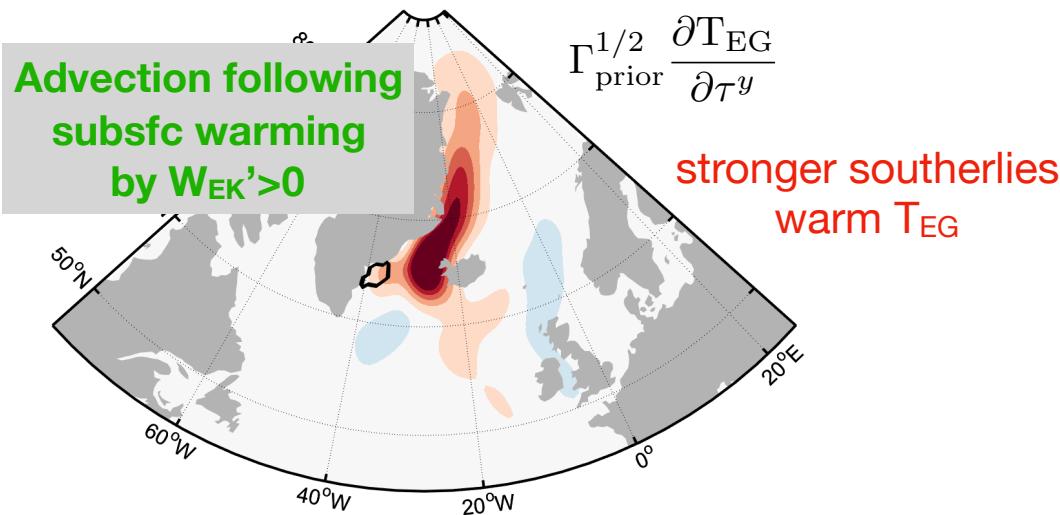
**Linear sensitivities for QoI ( $T_{EG}$ )  
(prior weighted and normalised)**



Loose et al. , 2018

# $T_{EG}$ Forcing Sensitivity

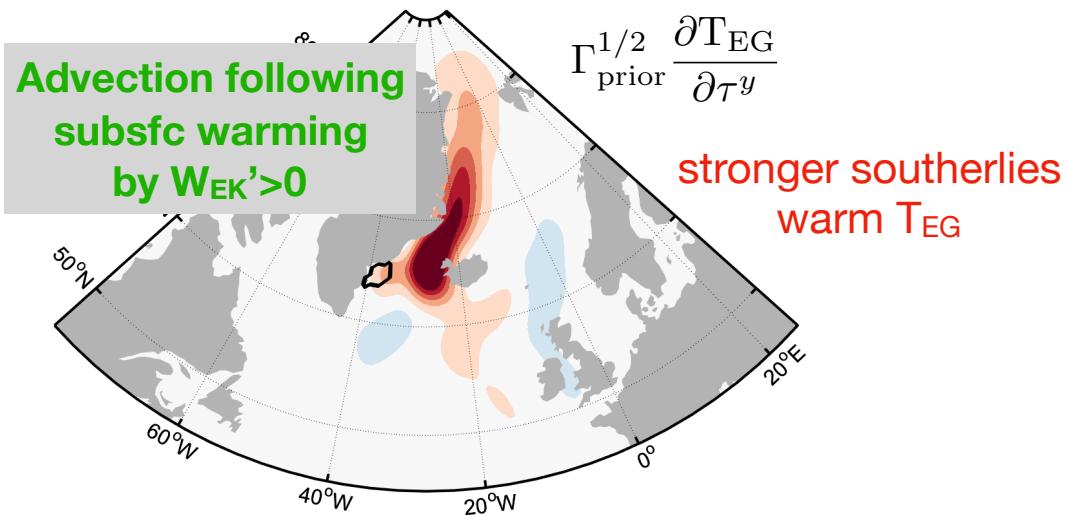
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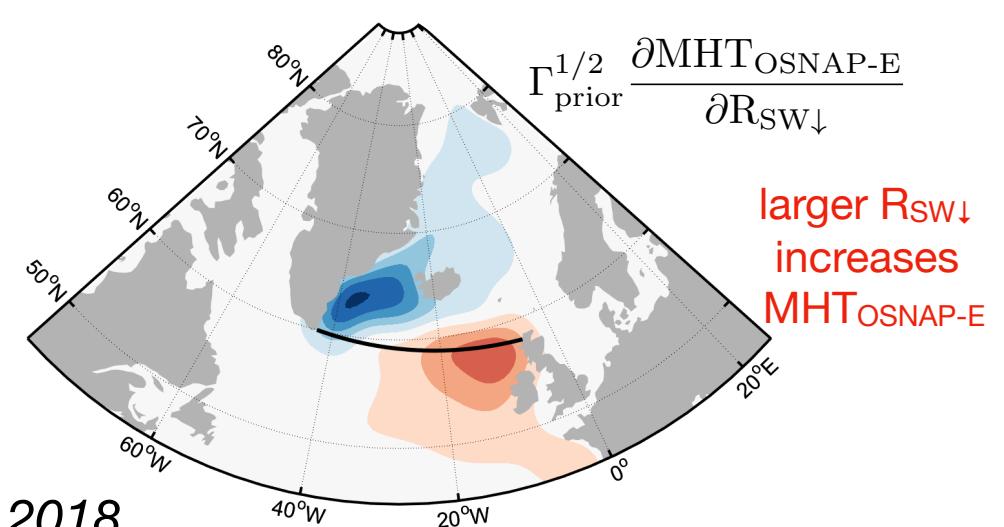
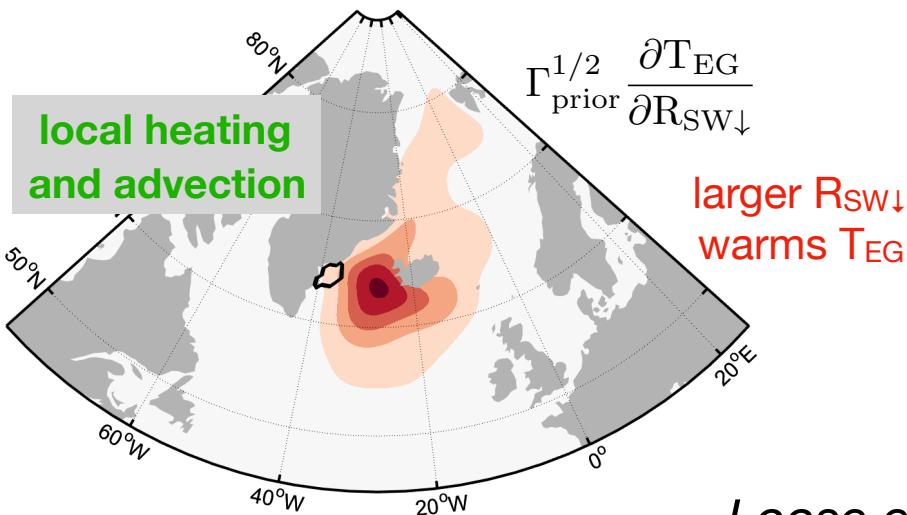
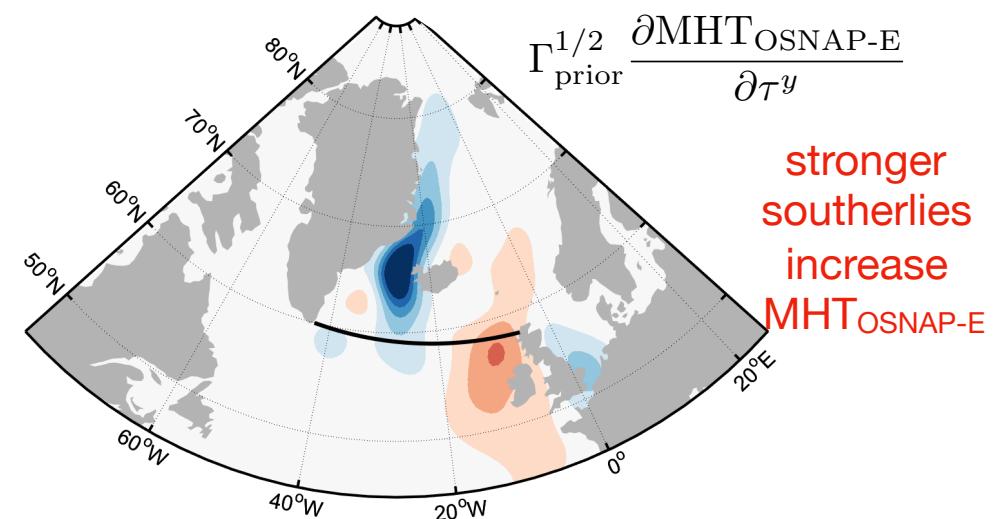
Loose et al. , 2018

# $T_{EG}$ vs. $MHT_{OSNAP-E}$ Forcing Sensitivity

**Linear sensitivities for QoI ( $T_{EG}$ )**  
(prior weighted and normalised)



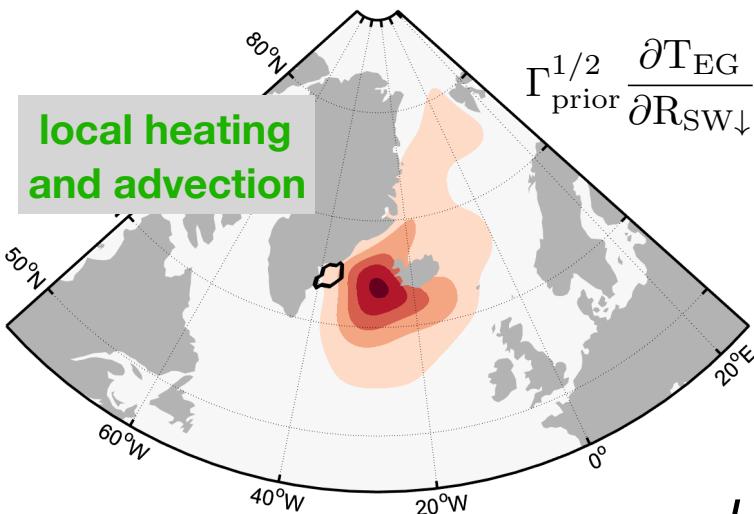
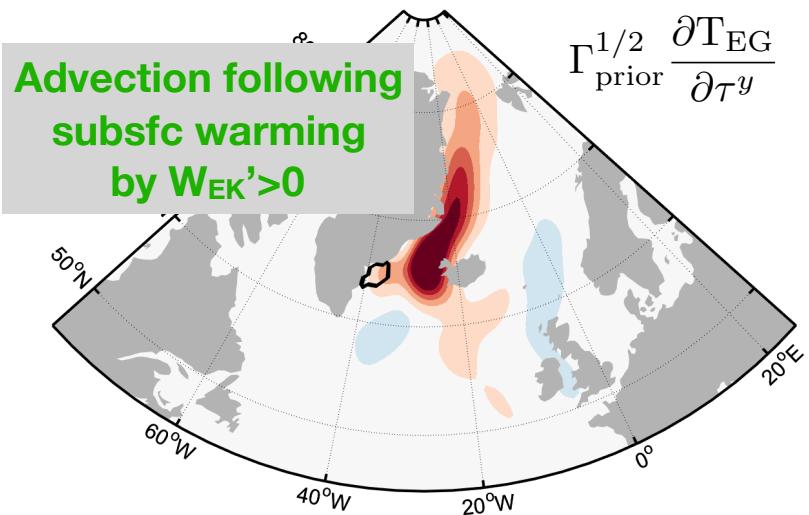
**Linear sensitivities for Obs ( $MHT_{OSNAP-E}$ )**  
(prior weighted and normalised)



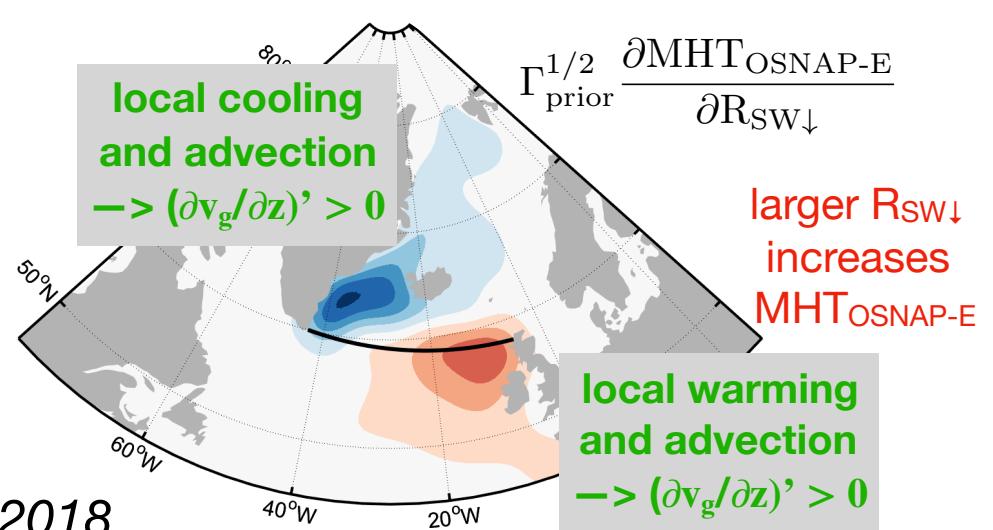
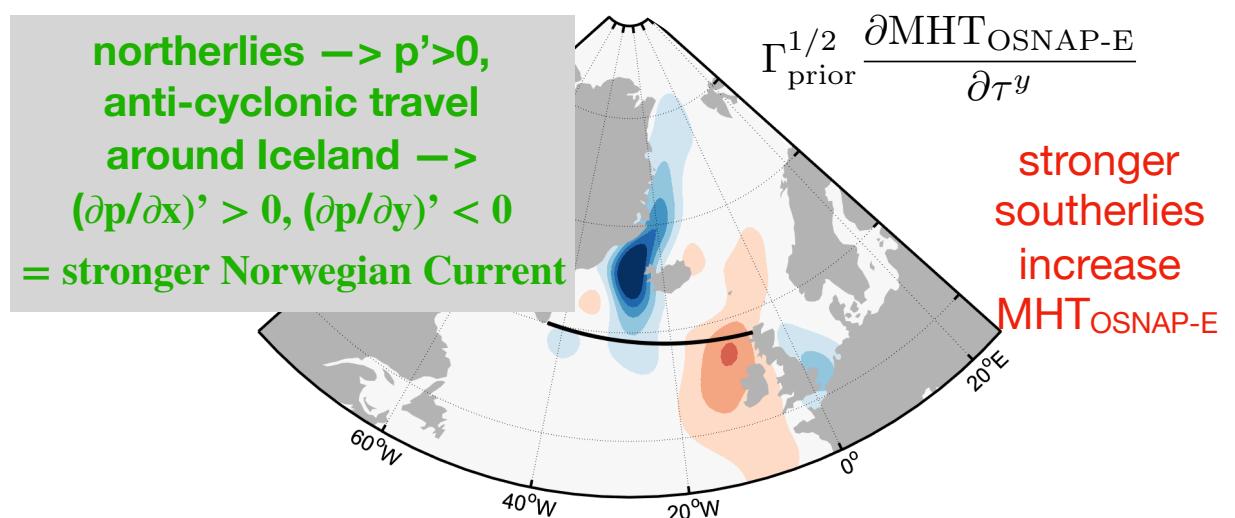
Loose et al., 2018

# $T_{EG}$ vs. $MHT_{OSNAP-E}$ Forcing Sensitivity

**Linear sensitivities for QoI ( $T_{EG}$ )**  
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**Linear sensitivities for Obs ( $MHT_{OSNAP-E}$ )**  
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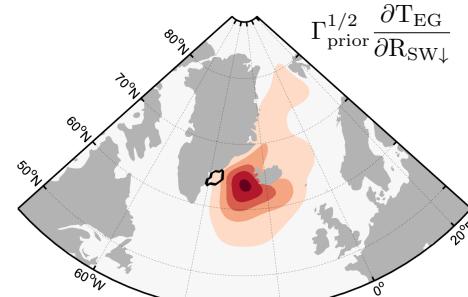
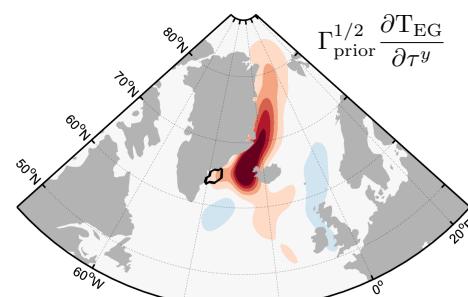
Loose et al. , 2018

# $T_{EG}$ vs. MHT<sub>OSNAP-E</sub> Forcing Sensitivity

Linear sensitivities for QoI ( $T_{EG}$ )

(prior weighted and normalised)

≈ information required to reduce  
QoI uncertainty



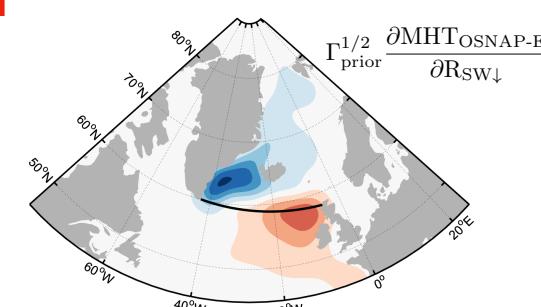
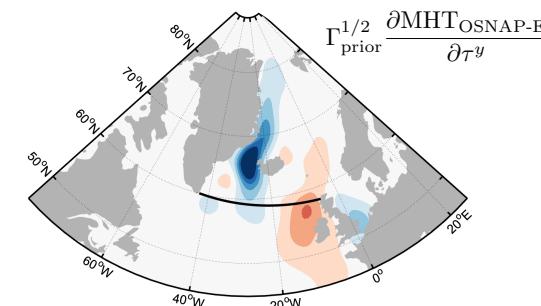
•  
•  
•

sensitivity to  
remaining controls

Linear sensitivities for Obs (MHT<sub>OSNAP-E</sub>)

(prior weighted and normalised)

≈ information transmitted by the  
observing system



•  
•  
•

sensitivity to  
remaining controls

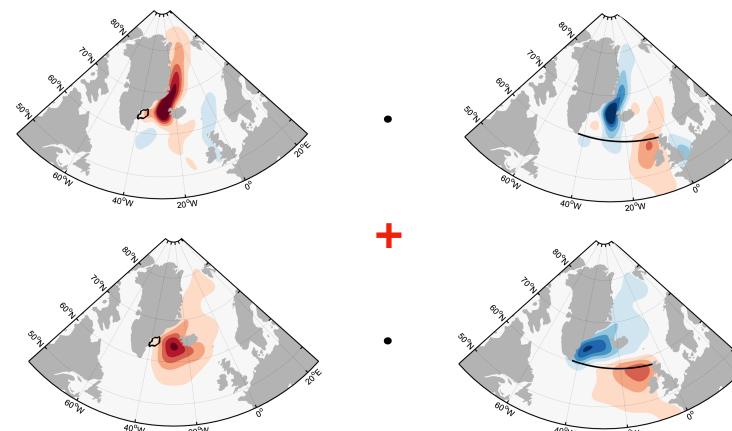
Loose et al. , 2018

# Exploring Proxy Potential of Existing Arrays

Uncertainty reduction  $\propto$

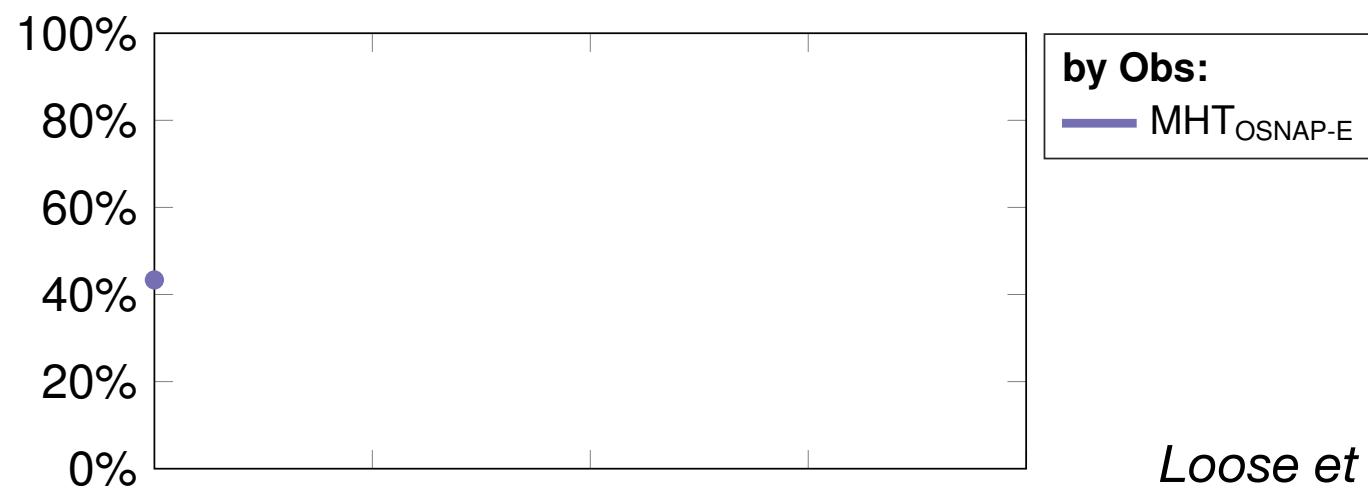
$$\left( \Gamma_{\text{prior}}^{1/2} \frac{\partial T_{\text{EG}}}{\partial \mathbf{x}} \right)^T \cdot \left( \Gamma_{\text{prior}}^{1/2} \frac{\partial MHT_{\text{OSNAP-E}}}{\partial \mathbf{x}} \right)$$

Accumulate  
projection for  
all controls



continue for remaining controls

Uncertainty  
reduction



Loose et al., 2018

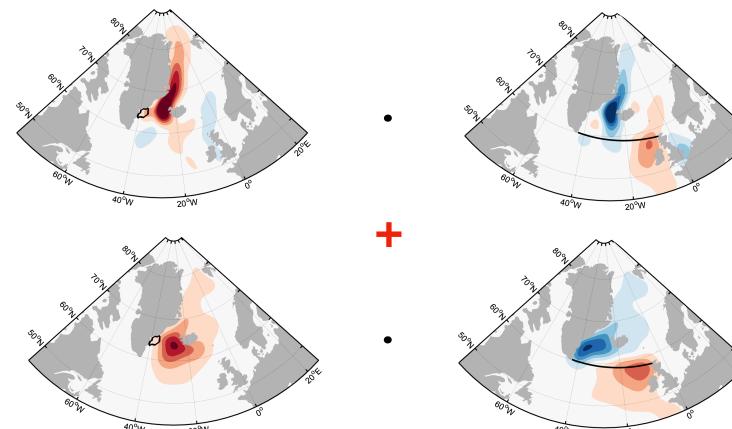
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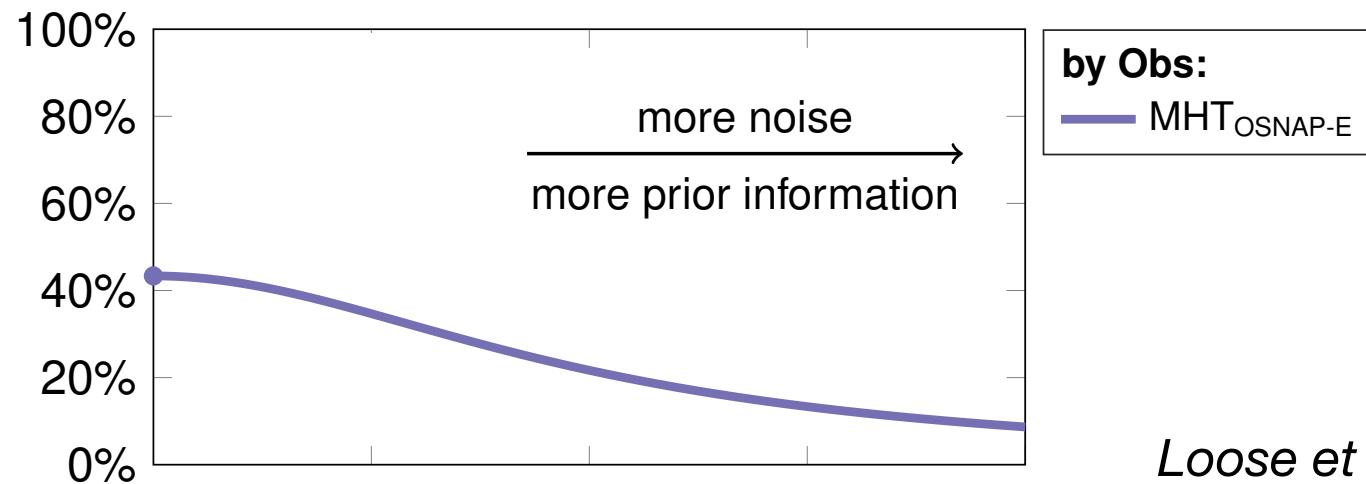
$$• \left( \Gamma_{\text{prior}}^{1/2} \frac{\partial MHT_{\text{OSNAP-E}}}{\partial \mathbf{x}} \right)$$

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Uncertainty  
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Loose et al., 2018

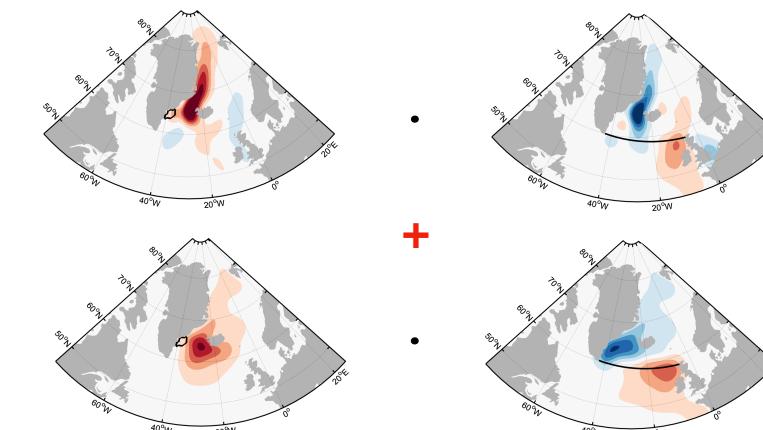
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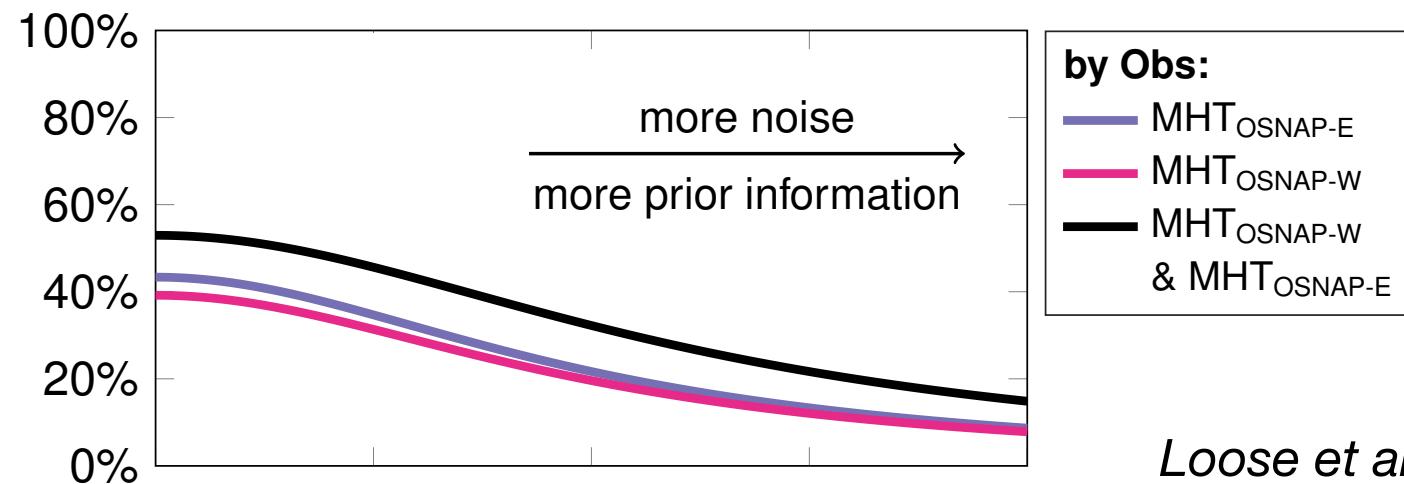
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Accumulate  
projection for  
all controls



continue for remaining controls

Uncertainty  
reduction



Loose et al., 2018

# Conclusions

- Adjoint modelling is a powerful tool for:

## (1) Identifying critical observation uncertainties

- sensitivities reveal key space-time origins of most potent uncertainties

## (2) Exploring potential proxy information of observations

- sensitivities allow comparison of information required to monitor QoI and information transmitted by the observing system.

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## Case Study Results:

- (1) Critical air-sea flux uncertainty for  $MOC_{RAPID} = \text{Lab. Sea. winter heat flux}$
  - (2) OBSERVED  $MHT_{OSNAP-E}$  is a useful proxy for UNOBSERVED subsurface  $T_{EG}$
- 

- Benefits of adjoint-based approach include:

- unambiguous dynamical attribution** of uncertainty impacts
- nesting **within inverse modelling framework** (view full space/time context)
- quantitative strategy** for observing system design
- includes **quantification of role of observation noise** and **prior uncertainty**
- assessment of **complementarity** in systems of multiple observations

# REFERENCES

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