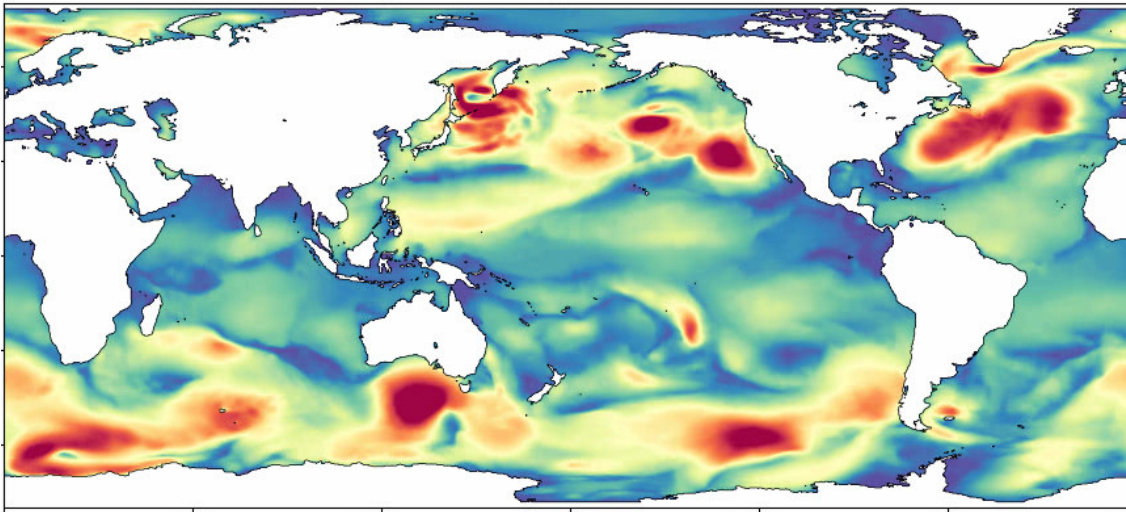


# Air-Sea Interactions over the Tropical Ocean and Earth System Modeling: Progress, Challenges, and Ways Forward

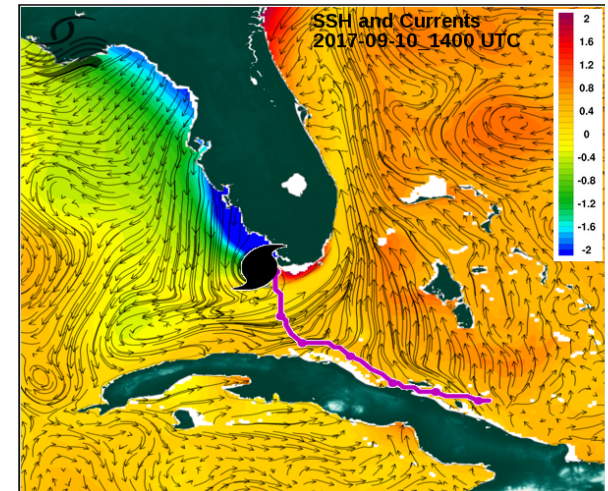
Shuyi S. Chen

Department of Atmospheric Sciences, University of Washington, Seattle, WA

UMWM (CCMP) Sig. wave height [m], 2017-02-18 10:00 UTC



Hurricane Irma



(CLIVAR Workshop, Boulder, CO, 7-9 May 2019)

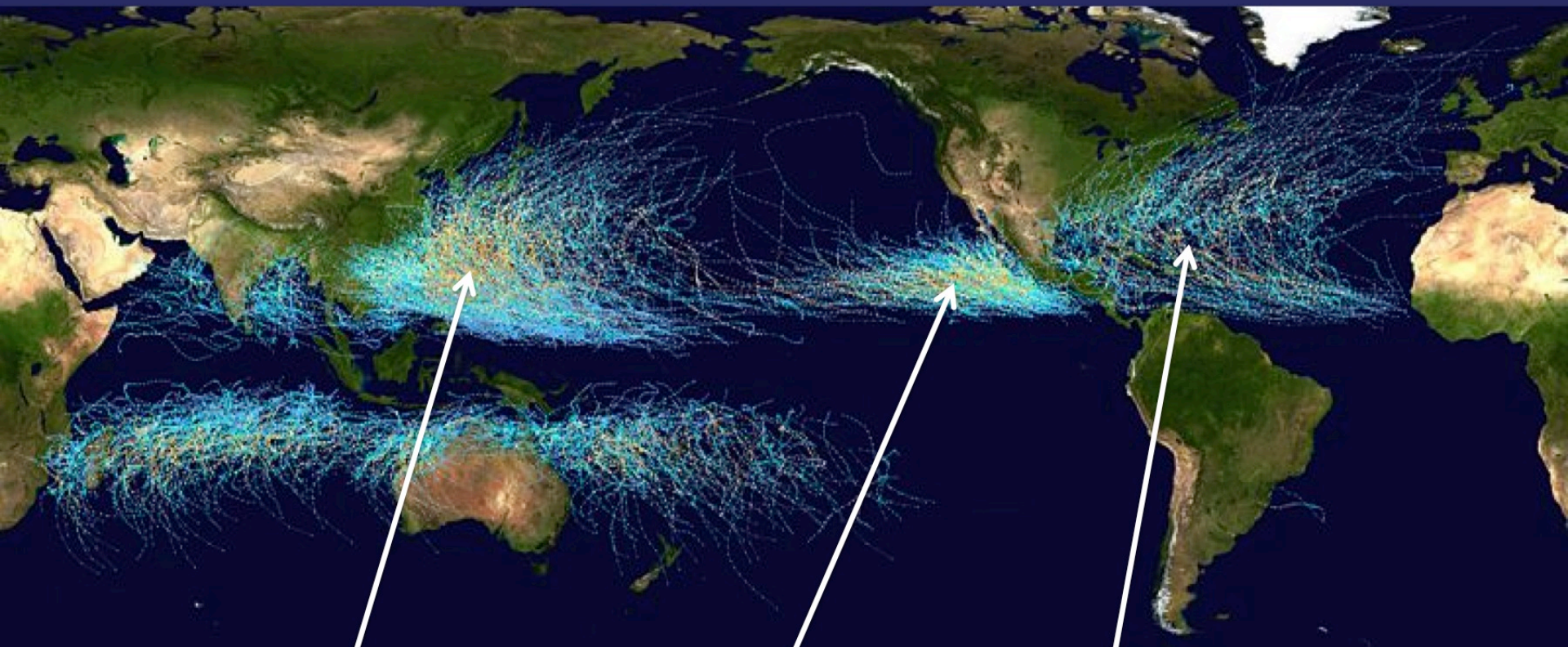
## Overall goals:

- Understand the physical processes of air-sea interaction in global weather and climate system
- Develop a physically based and computationally efficient coupling at the air-sea interface for Earth System coupled atmosphere-wave-ocean-land models

### Outline for this talk

- **Air-sea interaction in high winds:** *Field campaigns and Unified Wave Interface-Coupled Model (UWIN-CM) & NASA GEOS ESM*
- Progress in observing, understanding, and modeling
- Grand challenges: rainfall and air-sea fluxes

# Tropical Cyclone Field Experiments



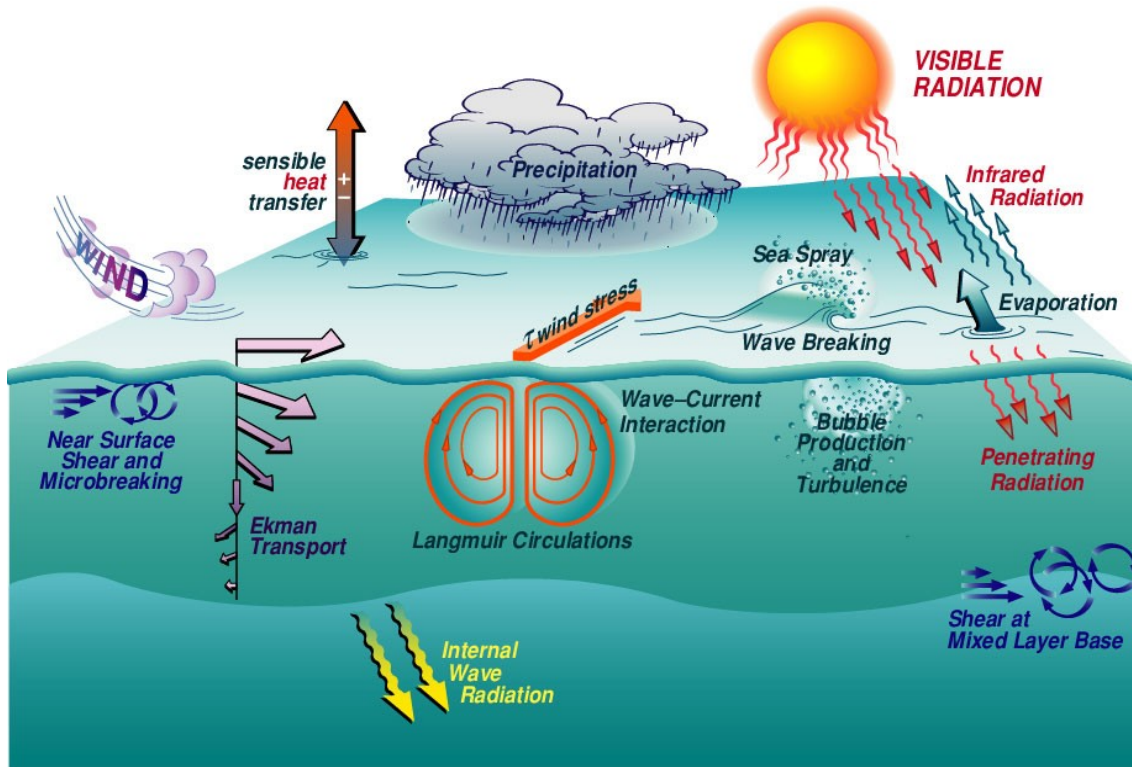
**2010: ITOP/TCS10**  
2008: TCS08/DOTSTAR  
1990: TCM90

2005: TCSP  
1991: TEXMEX

2010: PREDICT/GRIP/IFEX  
2005: RAINEX  
**2003-04: CBLAST**  
2001 & 1998: CAMEX  
1961-71: STORMFURY  
1959: NHRP



# Coupled Boundary Layer Air-Sea Transfer (CBLAST) in 2003-2004



What are  $C_k$  (enthalpy) and  $C_D$  (momentum) in high winds?

Emanuel (1995):

- Axisymmetric hurricane model
- Bulk PBL
- Gradient wind

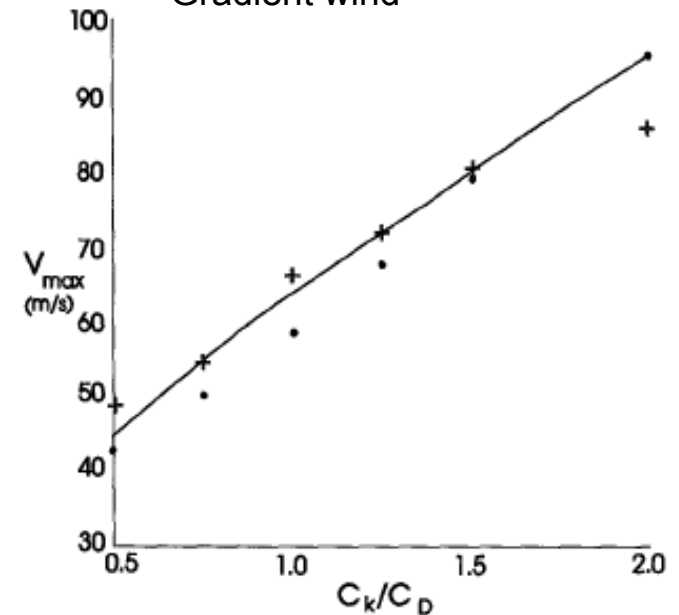
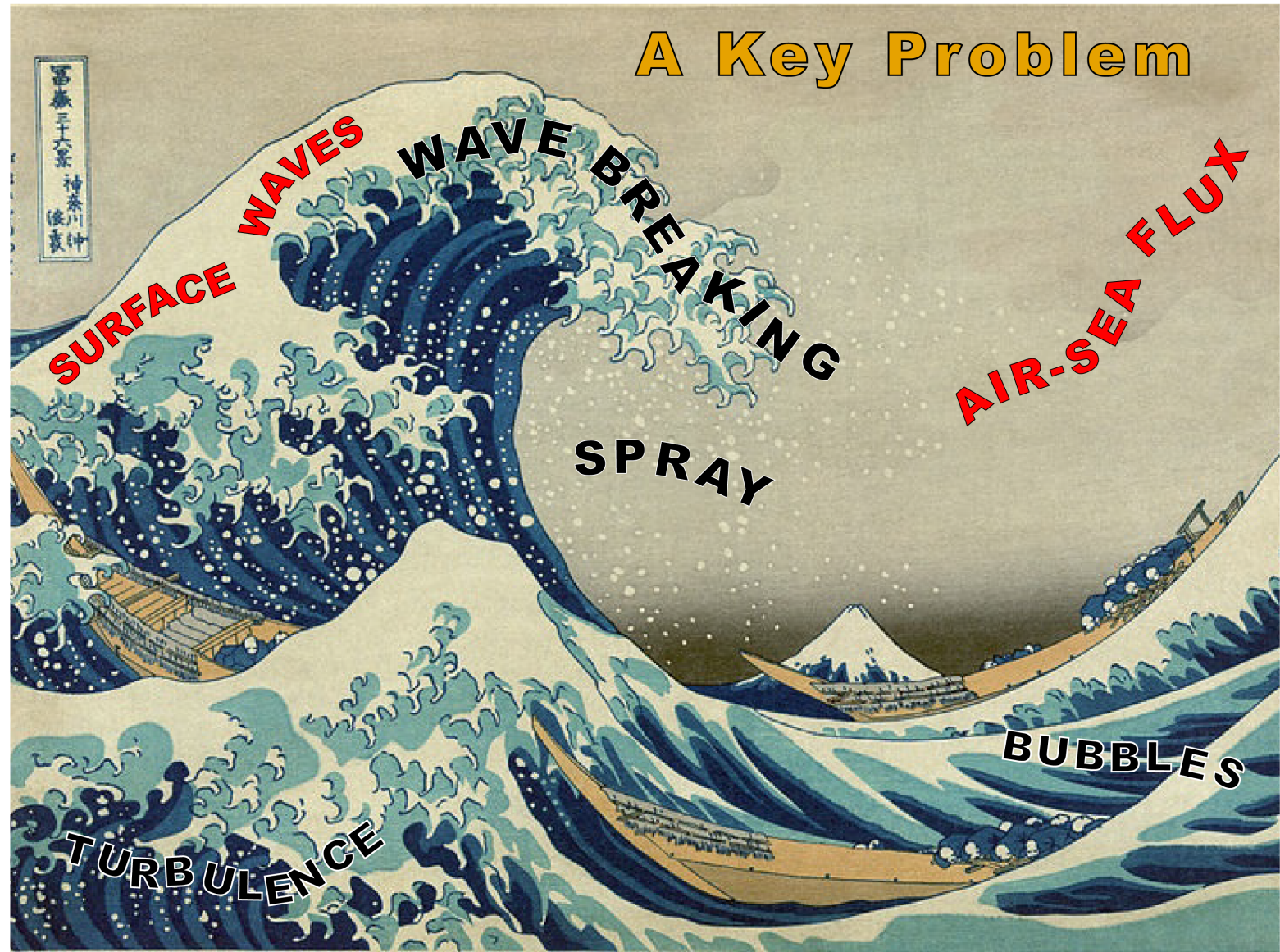


FIG. 1. Maximum azimuthal wind according to (16) (solid curve) and results of running the E95 (dots) and RE87 (+'s) models, as a function of the ratio of enthalpy to momentum surface exchange coefficients.



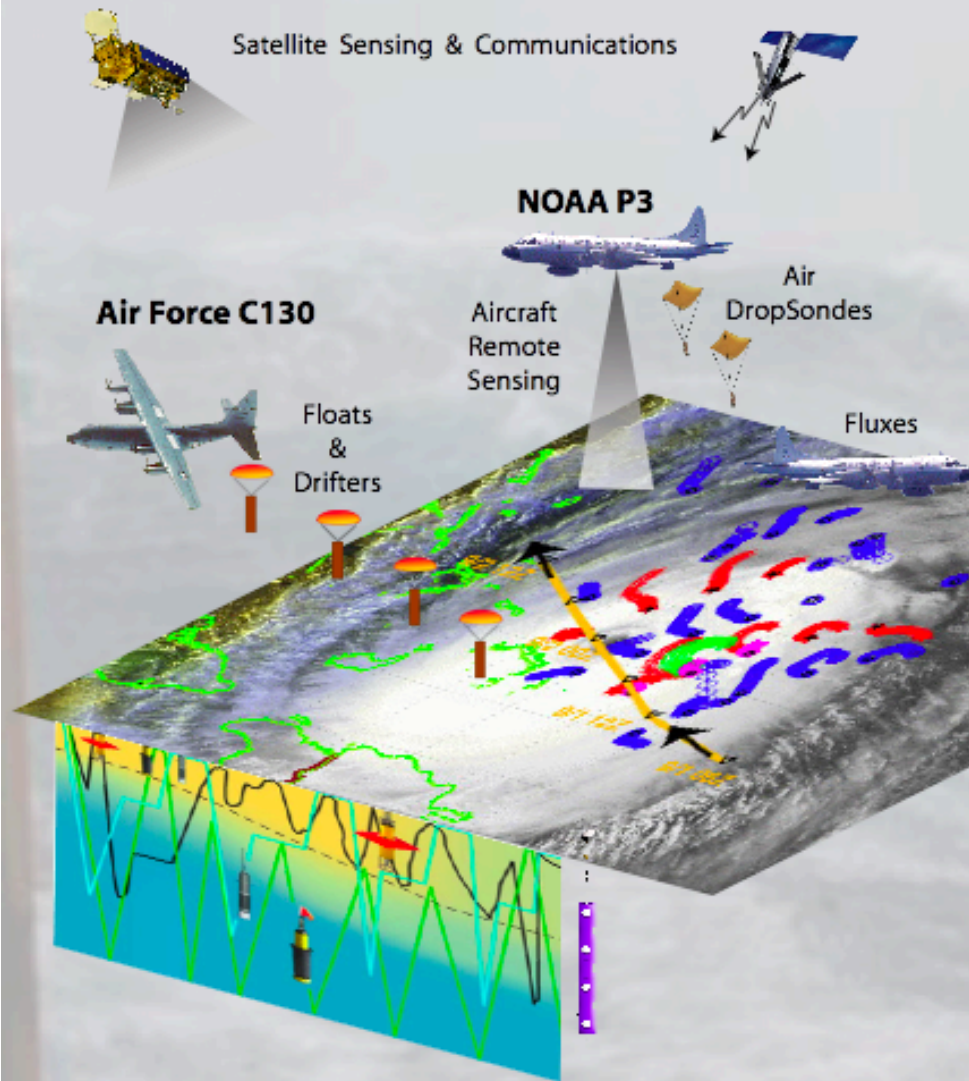


Eric D'Asaro's "rendition"

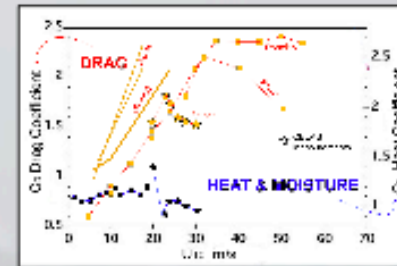
# How surface waves affect air-sea fluxes in TCs?



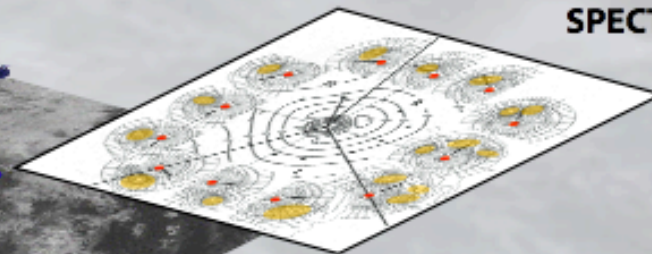
## CBLAST 2003-2004 Hurricanes Measurements and Results



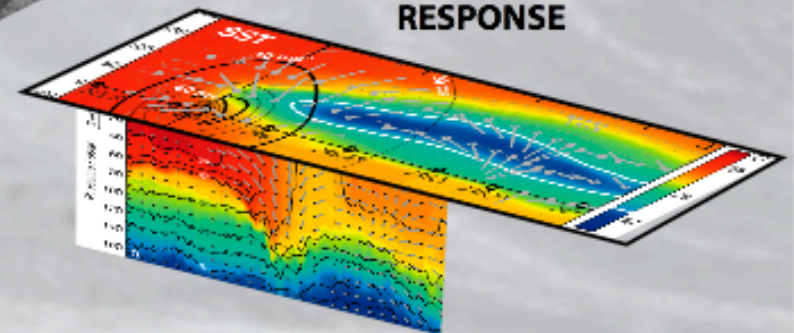
### AIR-SEA EXCHANGE COEFFICIENTS



### DIRECTIONAL WAVE SPECTRA



### 3-D OCEAN RESPONSE





# BAMS

Bulletin of the American Meteorological Society

GLOBAL CIRCUIT INTENSITY

CHALLENGES OF THIN CLOUDS

INDIRECT AEROSOL EFFECT

**PIECING  
TOGETHER  
THE AIR-SEA  
COUPLING**

# CBLAST

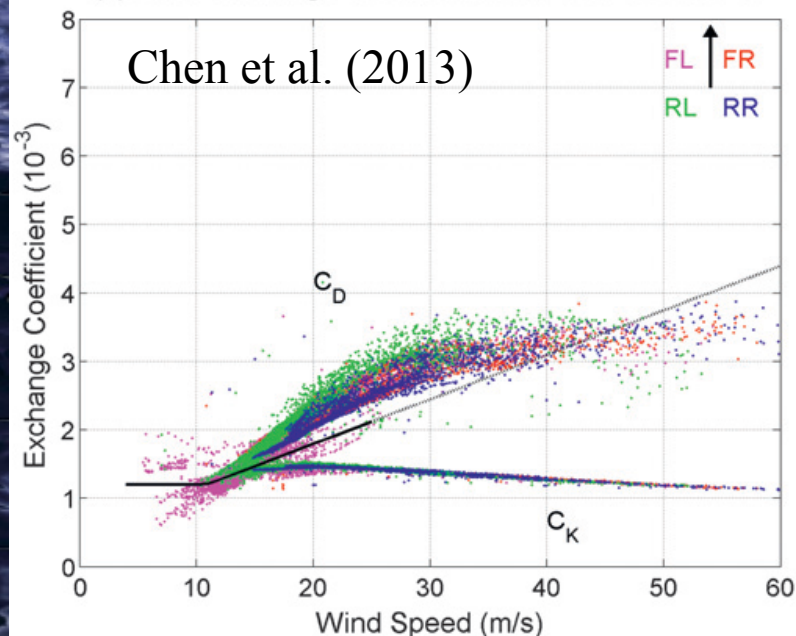
## **BAMS issue on CBLAST:**

**Black et al. 2007:** Air-Sea Exchange in Hurricanes: Synthesis of Observations from the Coupled Boundary Layer Air-Sea Transfer Experiment, *BAMS*, 357-374.

**Chen et al. 2007:** The CBLAST-Hurricane Program and the next-generation fully coupled atmosphere-wave-ocean models for hurricane research and prediction. *BAMS*, 311-317.

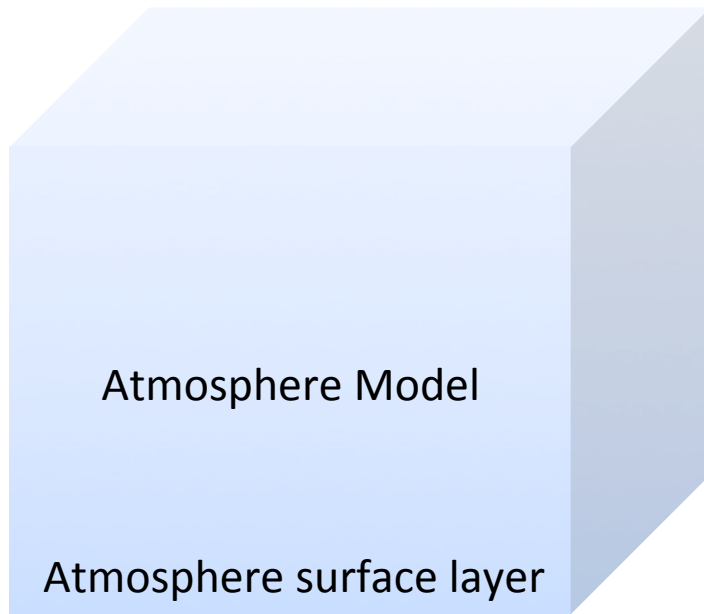
**Edson et al. 2007:** The Coupled Boundary Layers and Air-Sea Transfer Experiment in Low Winds (CBLAST-LOW).

(c) AWO Exchange Coefficient 1800 UTC 31 AUG 04

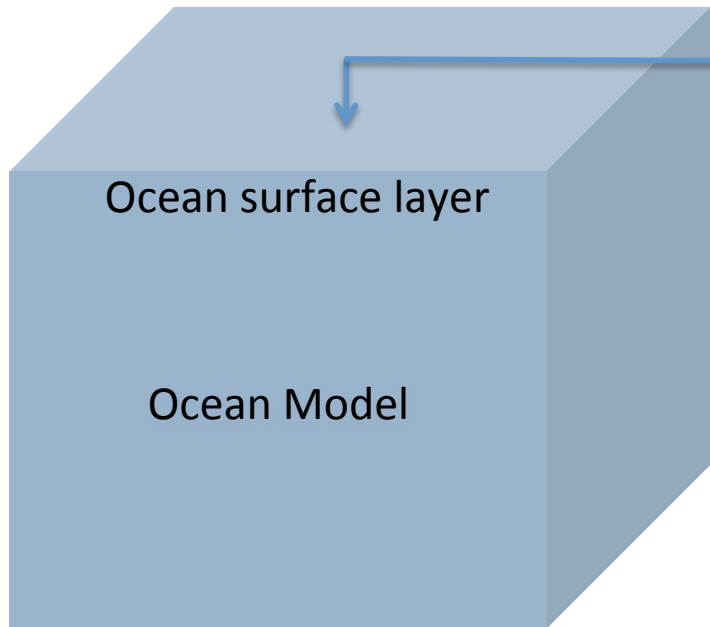




## Uncoupled Models



Lower boundary conditions (SST, roughness, etc.)



Surface forcing (wind, rad./latent/sensible fluxes, etc.)

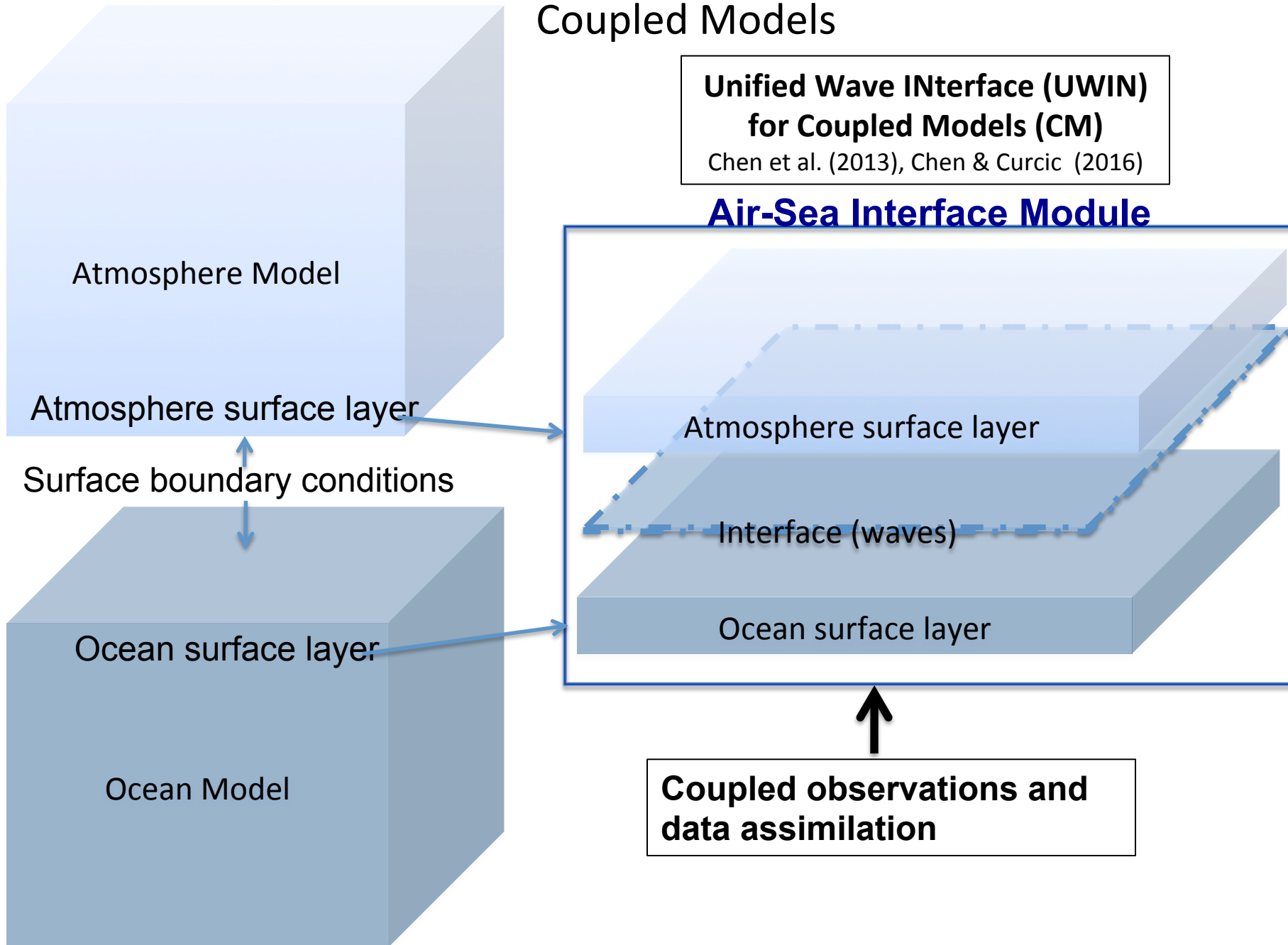
From different sources without  
energetic constrain/consistency

# Coupled Models

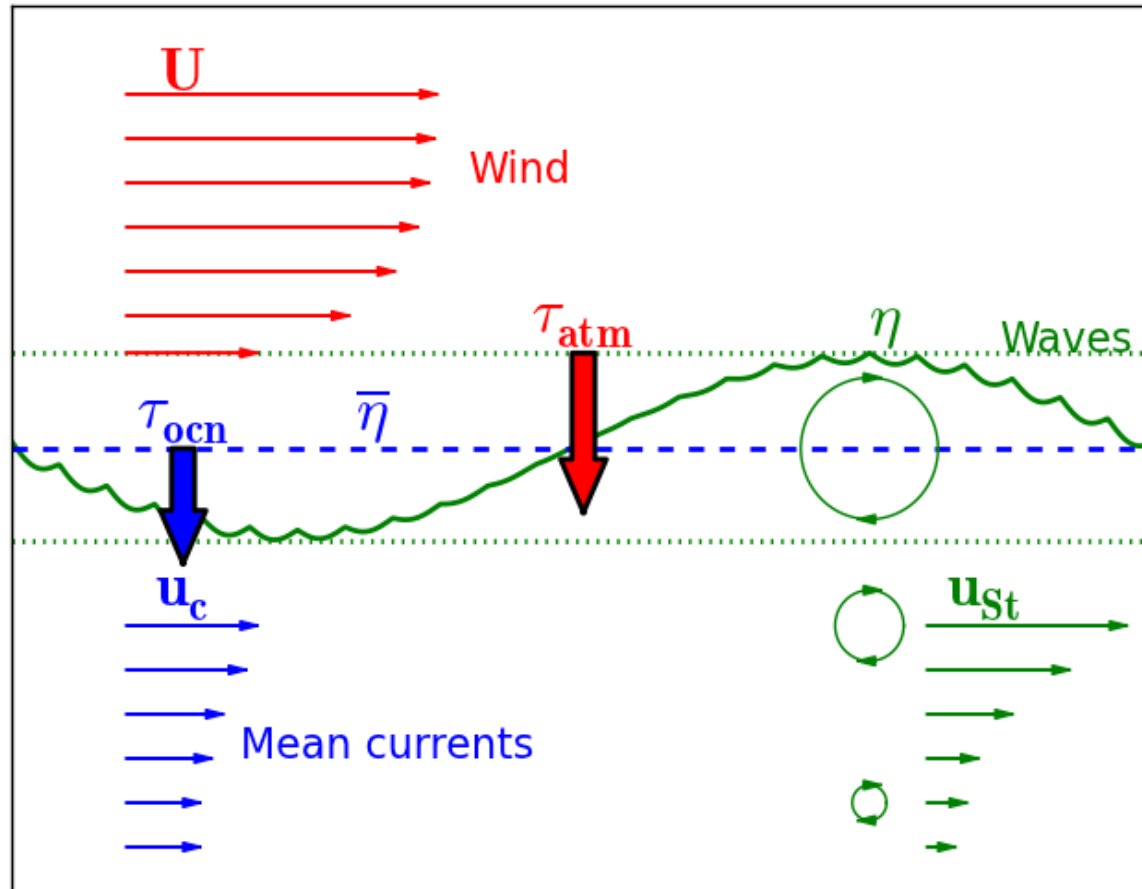
## Unified Wave Interface (UWIN) for Coupled Models (CM)

Chen et al. (2013), Chen & Curcic (2016)

### Air-Sea Interface Module



# Air-Sea Momentum Exchange through Surface Waves



$\tau_{atm} > \tau_{ocn}$ , when wave growth is greater than dissipation



# Momentum Equations without Waves

Atmosphere:

$$\partial(\rho \mathbf{u}) / \partial t + \nabla(\rho \mathbf{u} \cdot \mathbf{u}) + 2\boldsymbol{\Omega} \times (\rho \mathbf{u}) = -\nabla p + \partial \boldsymbol{\tau} / \partial z + \mu \nabla^2 \mathbf{u} + \Phi$$

Ocean:

$$\partial(\rho \mathbf{u}) / \partial t + \nabla(\rho \mathbf{u} \cdot \mathbf{u}) + 2\boldsymbol{\Omega} \times (\rho \mathbf{u}) = -\nabla p + \partial \boldsymbol{\tau} / \partial z + \mu \nabla^2 \mathbf{u} + \Phi$$

at  $z = 0$

# Unified Wave INTERFACE (UWIN) for Coupled Models (CM)

Chen and Curcic (2016), Curcic et al. (2016)

Atmosphere  
Momentum:

$$d(\rho \mathbf{u})/dt = -2\Omega \times \rho \mathbf{u} - \nabla p + \partial \boldsymbol{\tau} / \partial z + \Phi$$

Wind stress  
from wave  
growth

Wave energy  
balance:

$$\frac{\partial E}{\partial t} + \frac{\partial (\mathbf{c} \downarrow \mathbf{g} + \mathbf{u} \downarrow \mathbf{E}) E}{\partial \mathbf{x}} + \frac{\partial k E}{\partial k} + \frac{\partial \theta E}{\partial \theta} = S \downarrow_{in} + S \downarrow_{ds} + S \downarrow_{nl}$$

Stokes Drift

$$\mathbf{u} \downarrow \mathbf{St} = \int_{-\pi}^{\pi} \int_0^{\infty} \omega k^2 \cosh[2k(z+d)] / \sinh^2 \left( \frac{\pi k d}{\omega} \right) E d\mathbf{k} d\theta$$

Ocean  
stress  
from wave  
dissipation

Ocean  
Momentum:

$$d(\rho \mathbf{u} \downarrow \mathbf{E}) / dt = -2\Omega \times \rho (\mathbf{u} \downarrow \mathbf{E} + \mathbf{u} \downarrow \mathbf{St}) + \rho \mathbf{u} \downarrow \mathbf{St} \times \boldsymbol{\zeta} - \nabla p + \partial \boldsymbol{\tau} / \partial z + \Phi$$

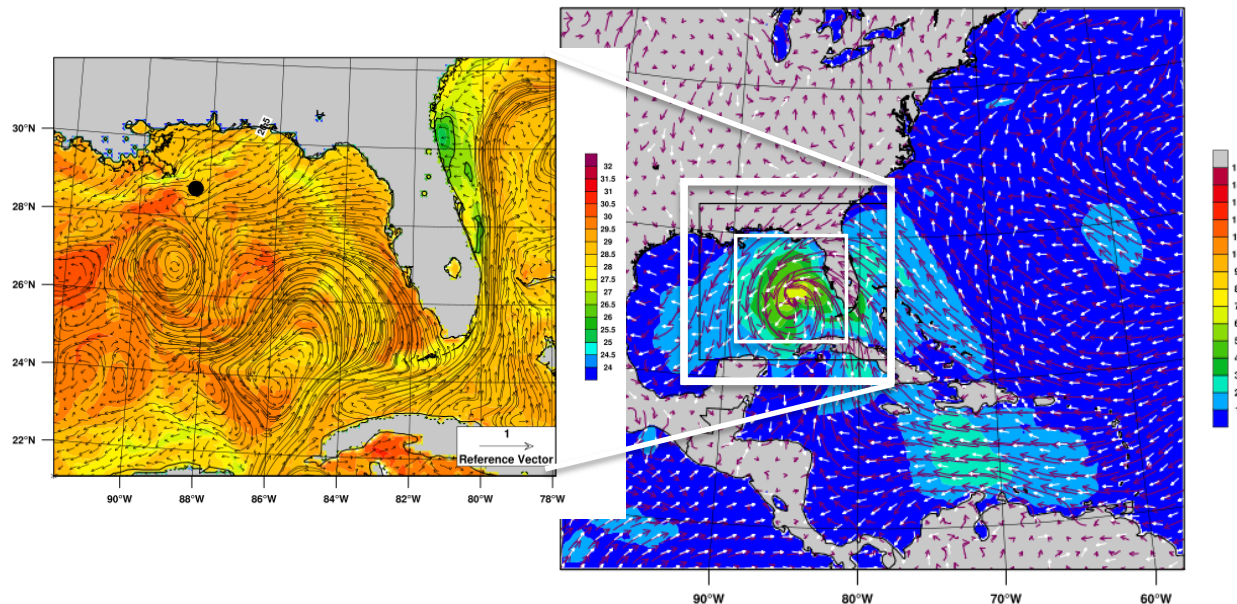
Scalar tracer  
transport:

$$\frac{\partial C}{\partial t} = -(\mathbf{u} \downarrow \mathbf{E} + \mathbf{u} \downarrow \mathbf{St}) \cdot \nabla C$$

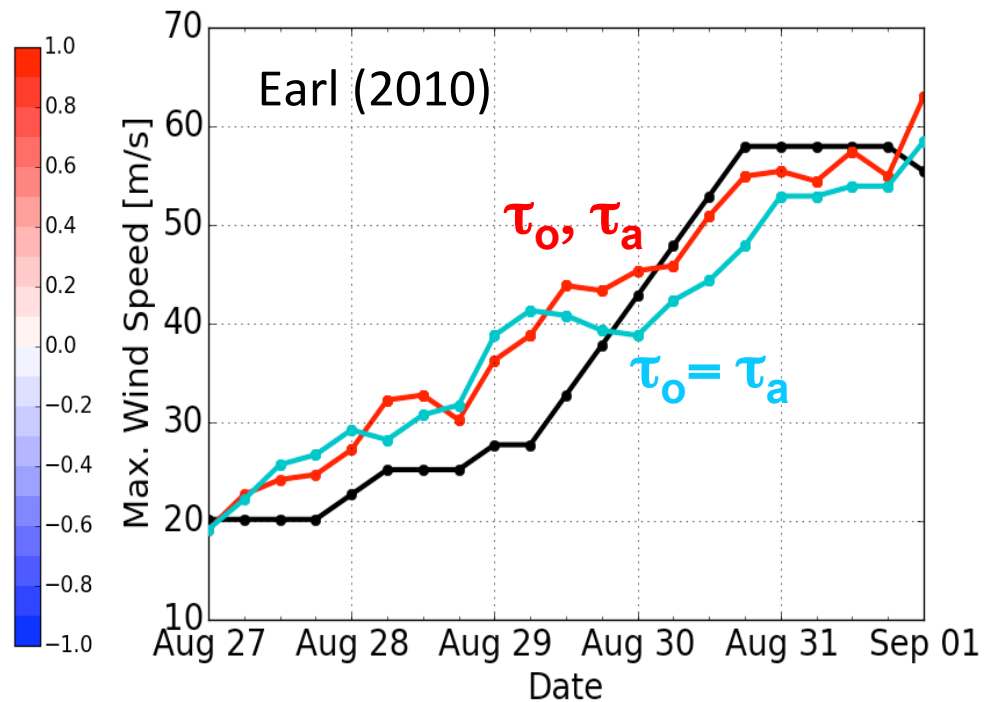
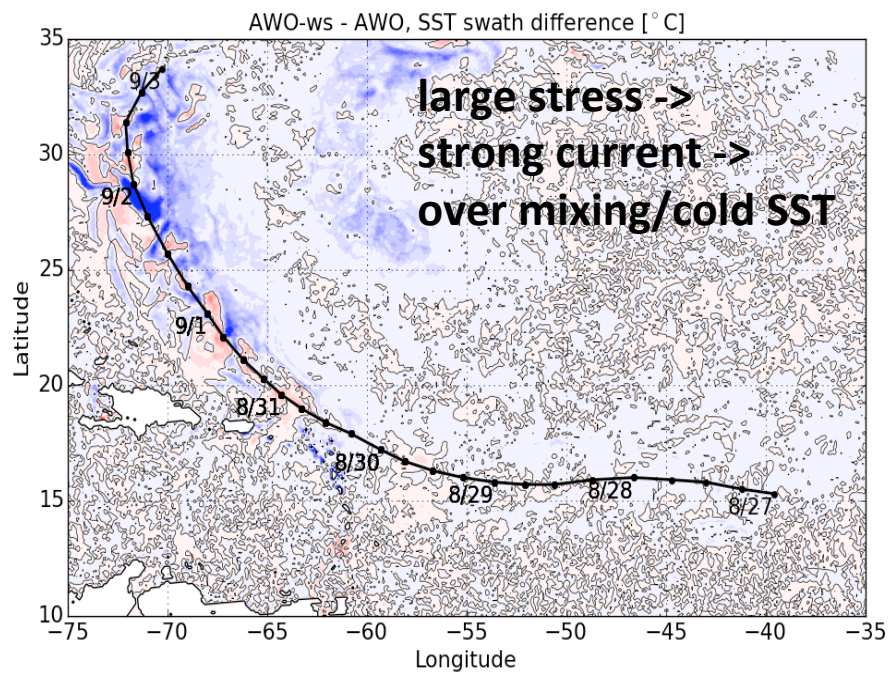
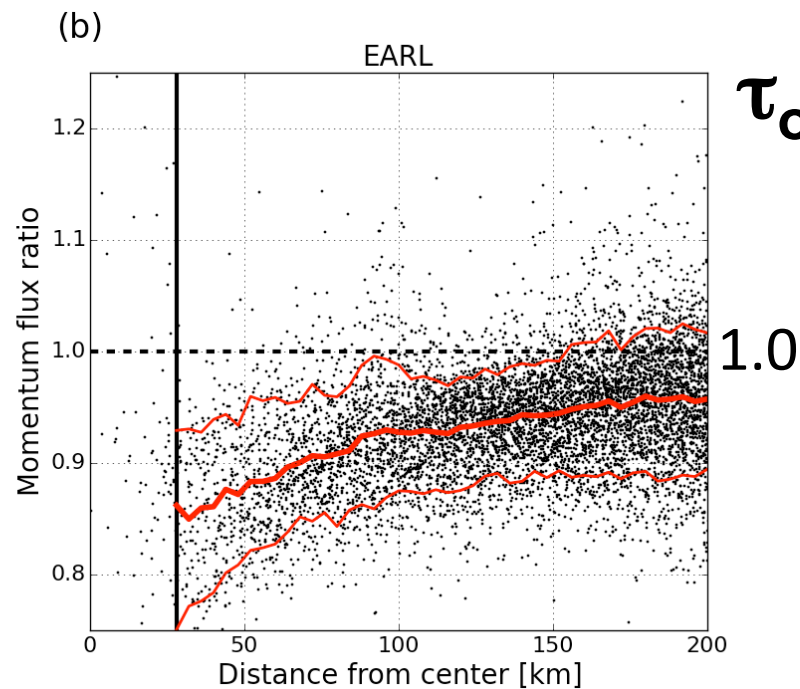
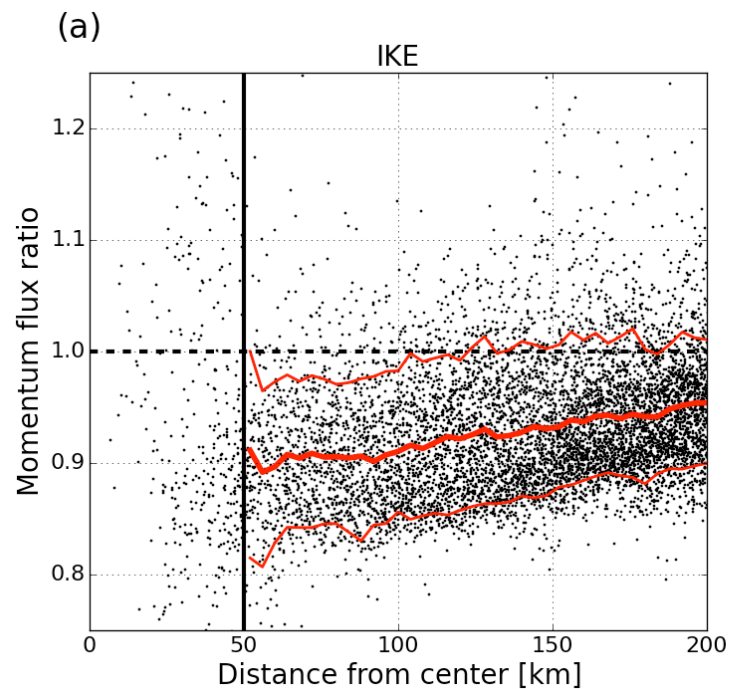
$\mathbf{u} \downarrow \mathbf{L}$  - Total Lagrangian velocity

# Unified Wave INTERFACE-Coupled Model (UWIN-CM) (Chen et al. 2013, 2018)

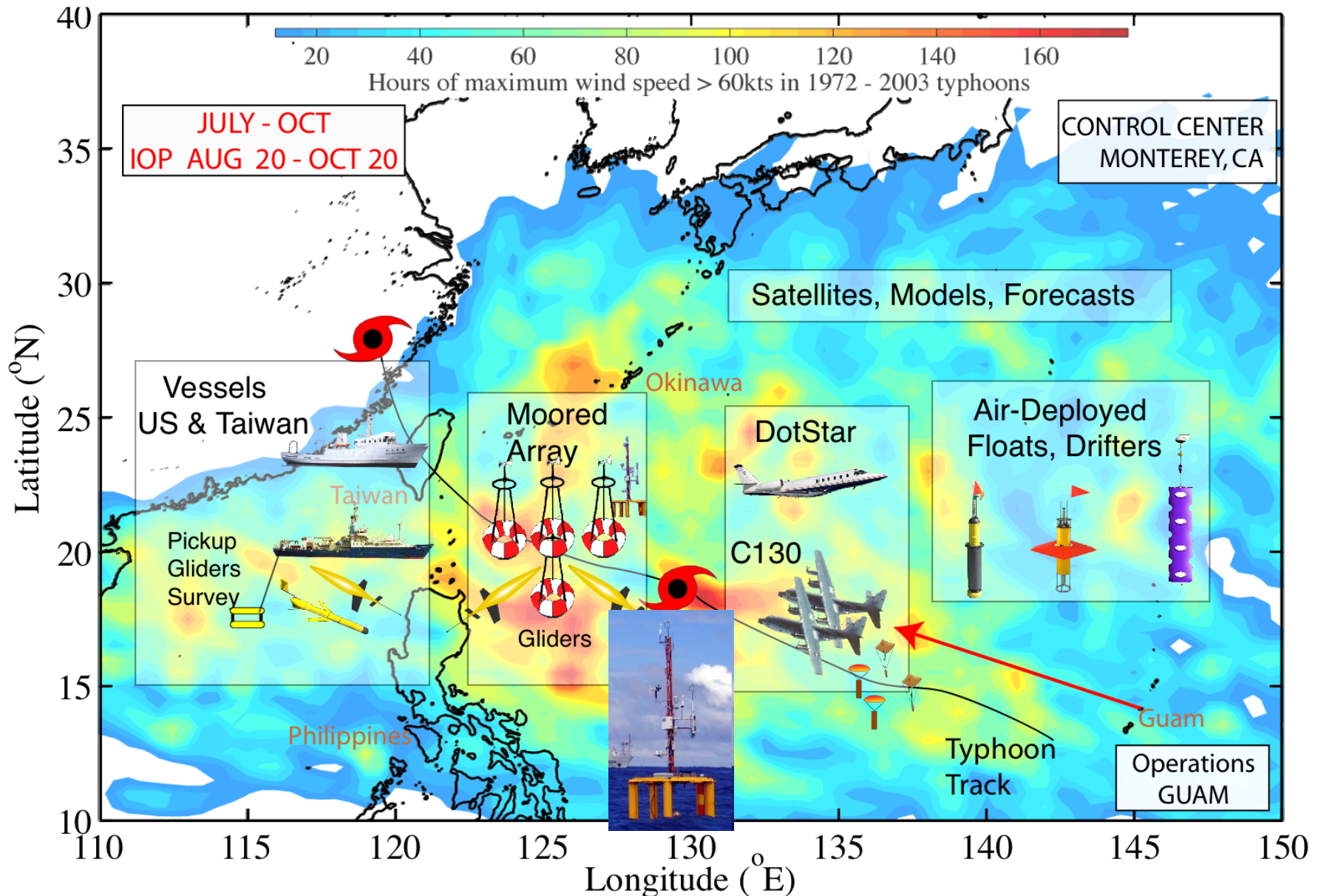
- Weather Research and Forecasting (WRF):  
12/4/1.3 km horizontal resolution with storm following nests, 36 vertical levels (phys: YSU, Donelan+Garrat sfc., WSM5)  
Initial and boundary conditions from NCEP GFS/FNL
- University of Miami Wave Model (UMWM):  
4 km horizontal resolution, 36 directional bins and 37 frequency bins from 0.0313 - 2 Hz
- HYbrid Coordinate Ocean Model (HYCOM):  
1/25 degree (~4 km) horizontal resolution, 41 vertical levels;  
Initial and boundary conditions from global 1/12 deg. HYCOM







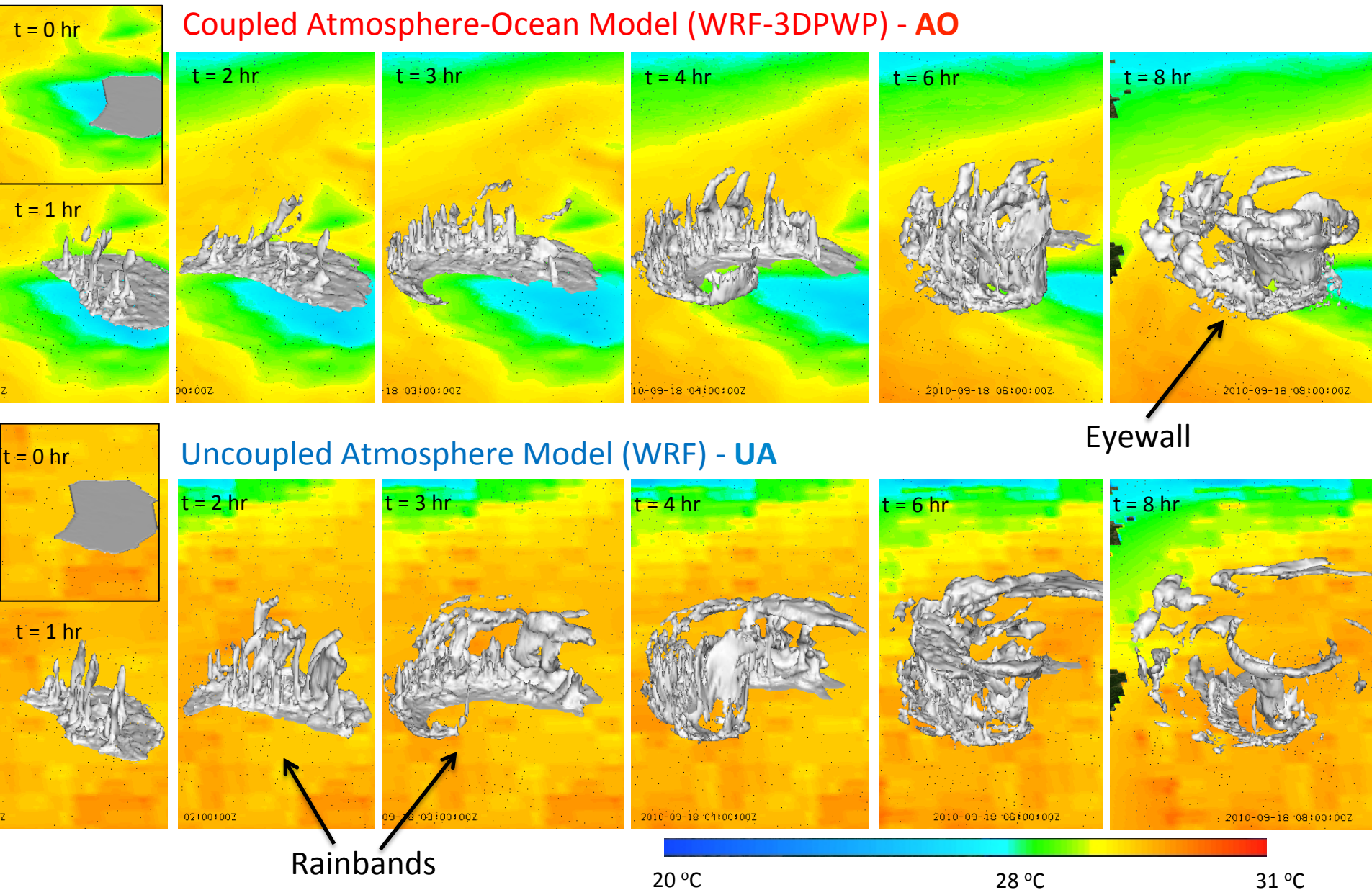
## How TC-induced cold wake affect TC structure and intensity?





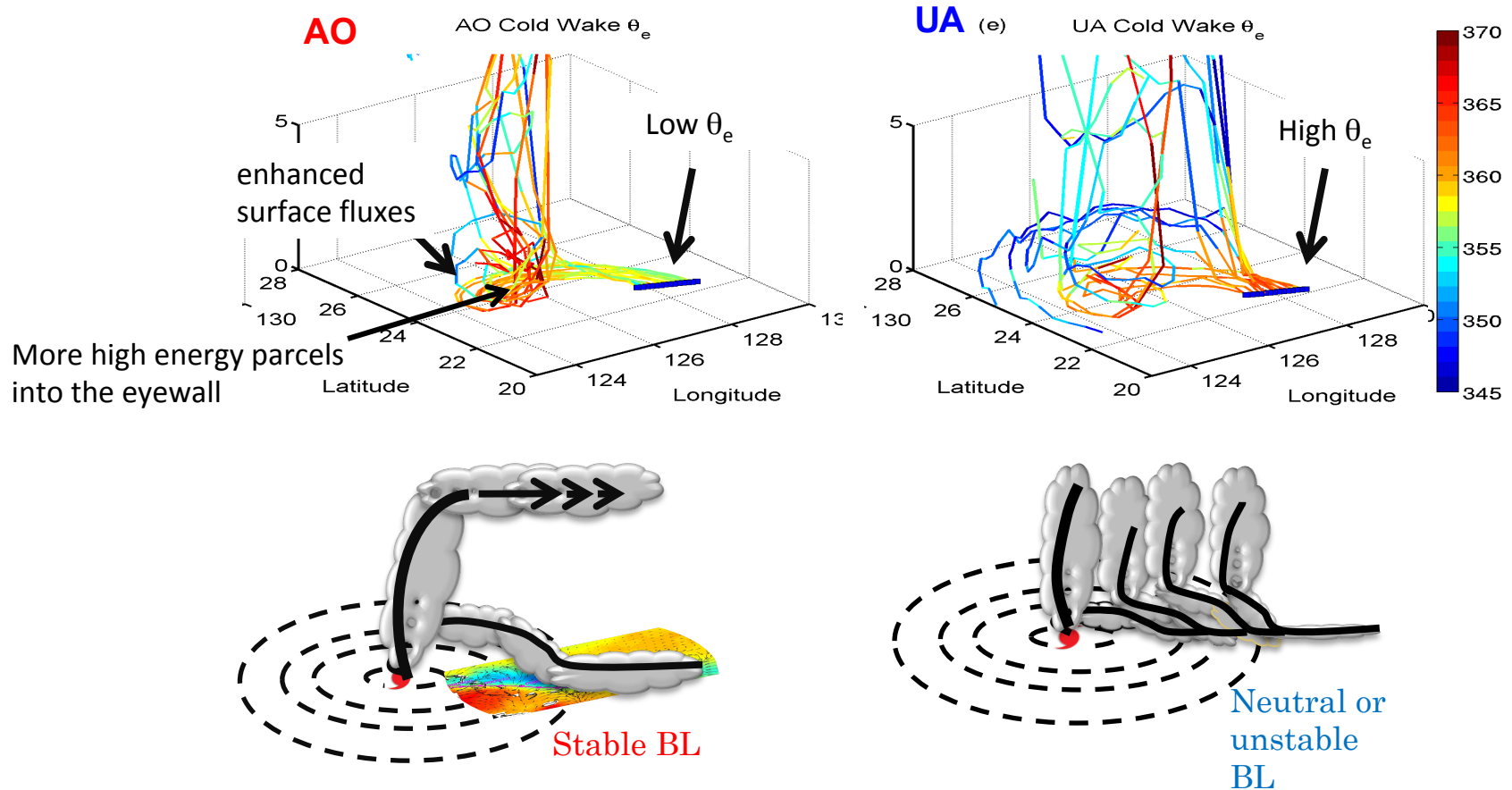
Lee and Chen (2012, 2014); Chen et al. (2019)

Typhoon Fanapi (2010): Stable BL, enhanced inflow from cold wake to eyewall



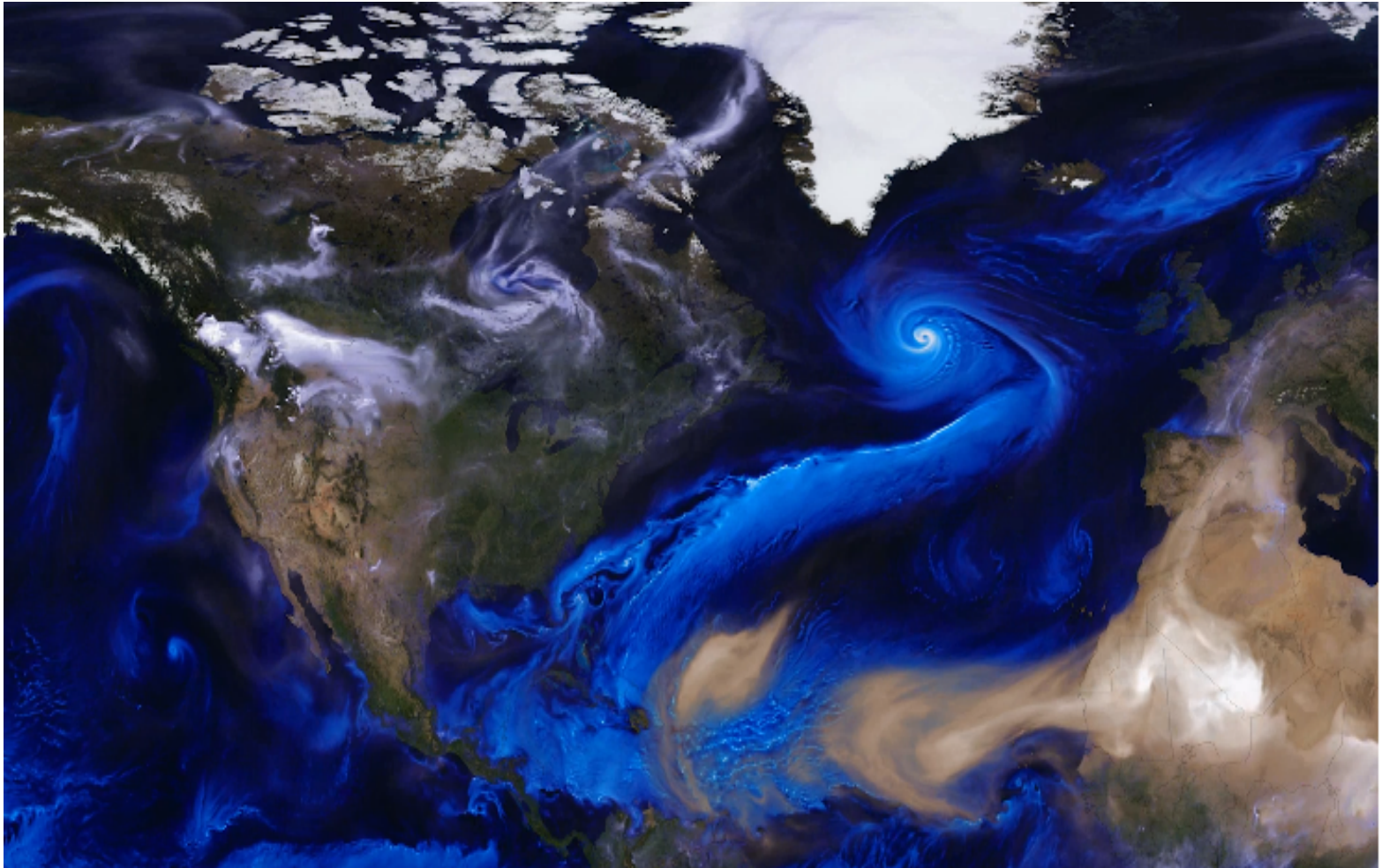


## Lee and Chen (2014): Effects of Stable Boundary Layer over Cold Wake on TC Energetics and Structure



- SBL leads to less rainband convection, increased inflow angle, enhanced heat fluxes downstream of the cold wake
- Although TC-induced ocean cooling reduce TC intensity, SBL over the cold wake can mitigate the negative oceanic feedback and increase the storm efficiency

**NASA GMAO GEOS model simulation of aerosol, dust (brown), sea salt (blue), and smoke (white), production and transport by weather systems on August 1, 2017.**



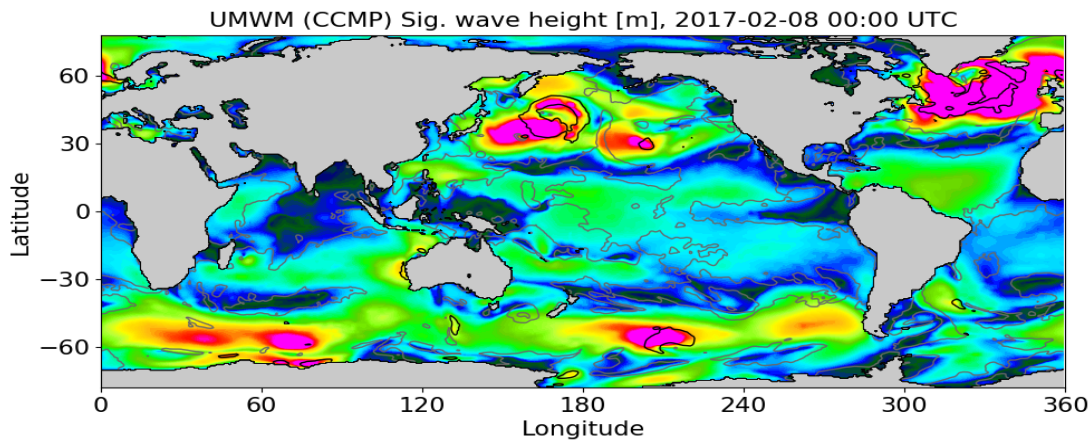
Important for sea salt  
aerosol production

Important for heat  
(momentum?) fluxes

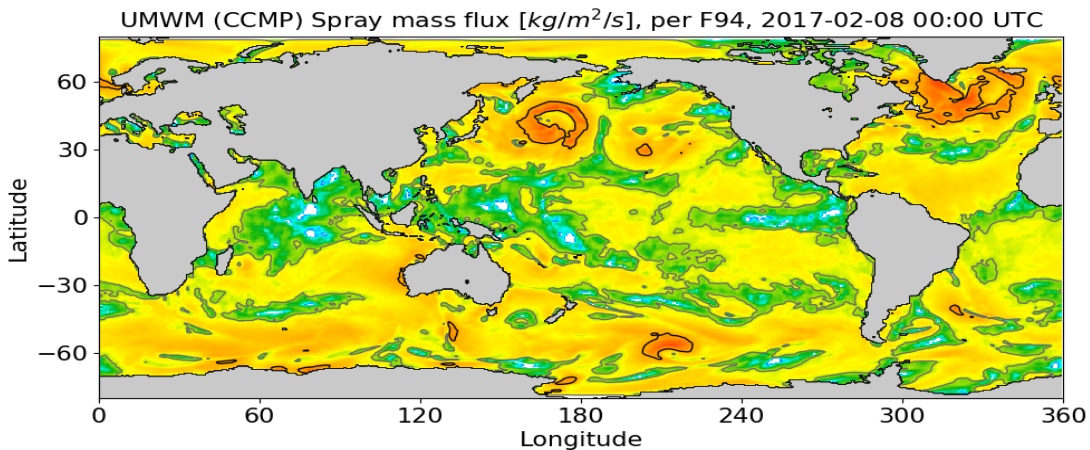


Veron (2015)

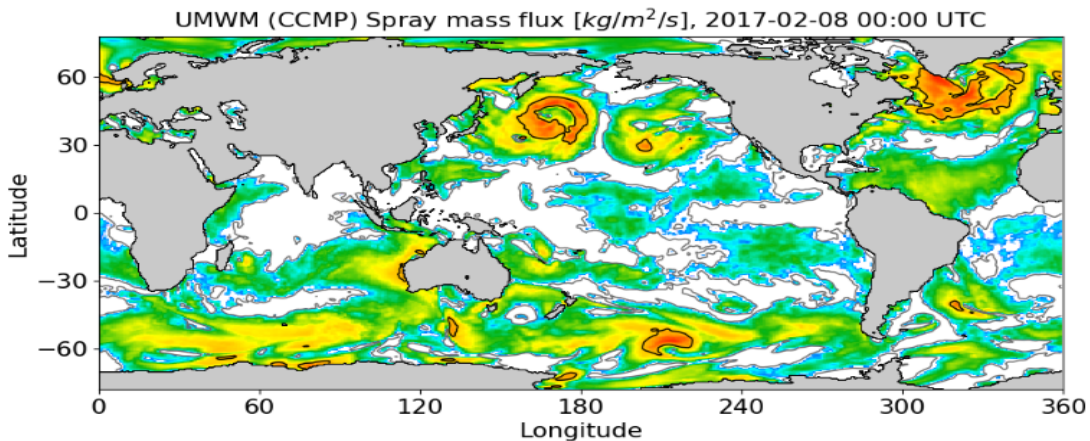




Significant wave height



**Wind-based** spray mass flux (Fairall94)

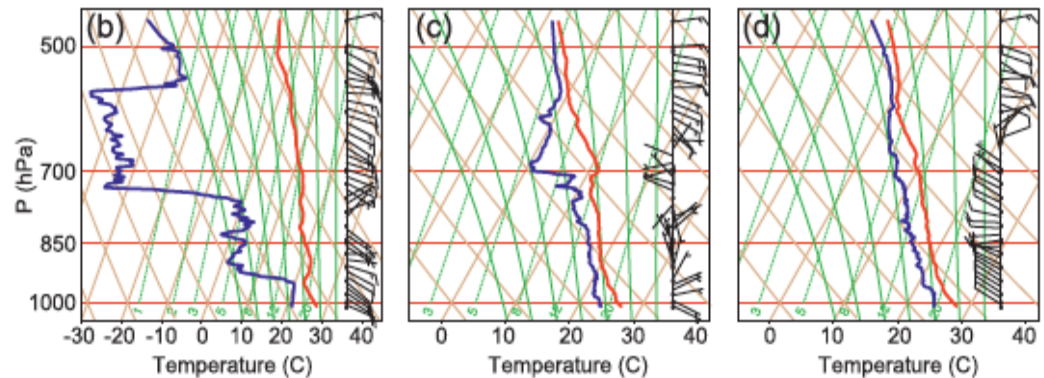
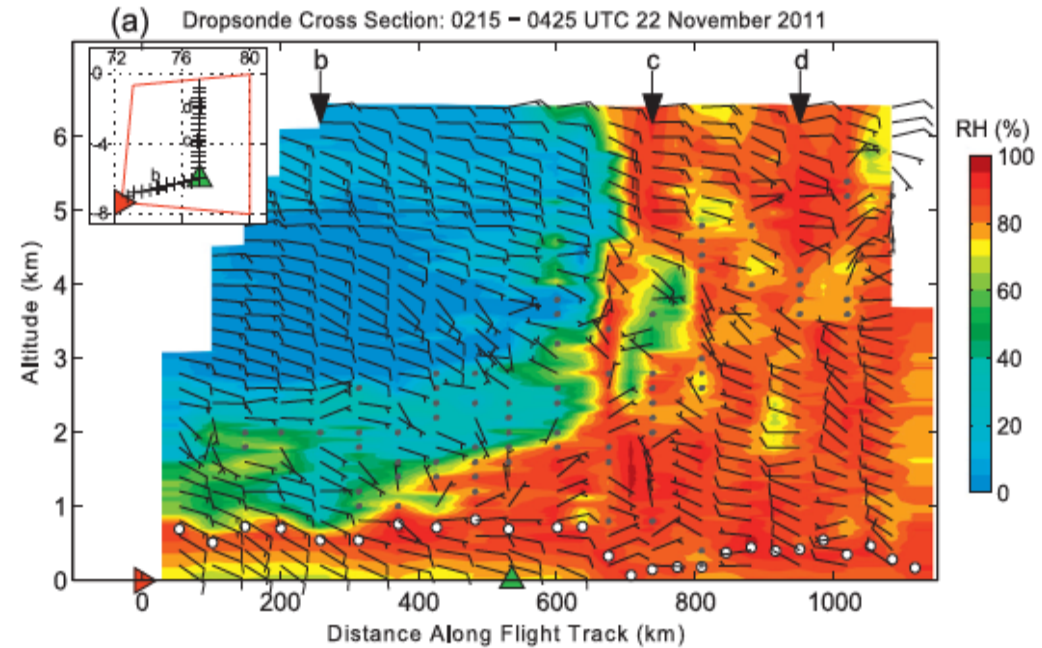
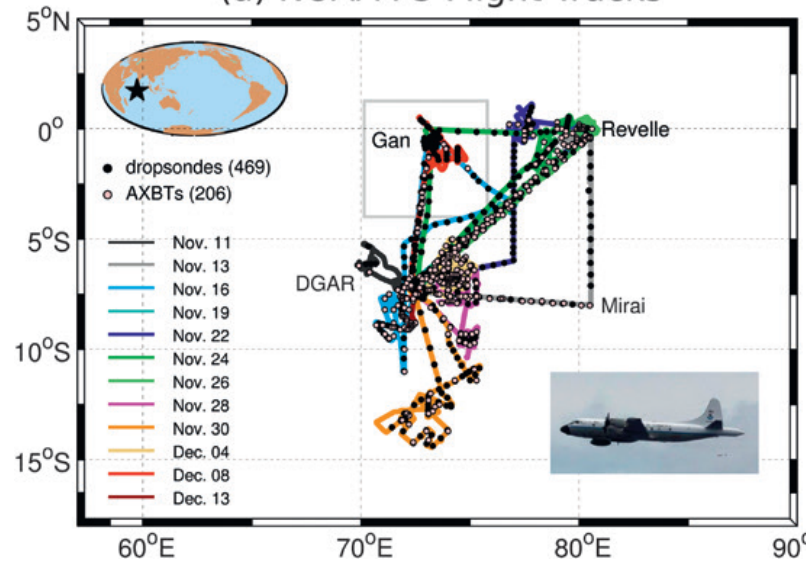


**Wave-based** spray mass flux (Fairall09)

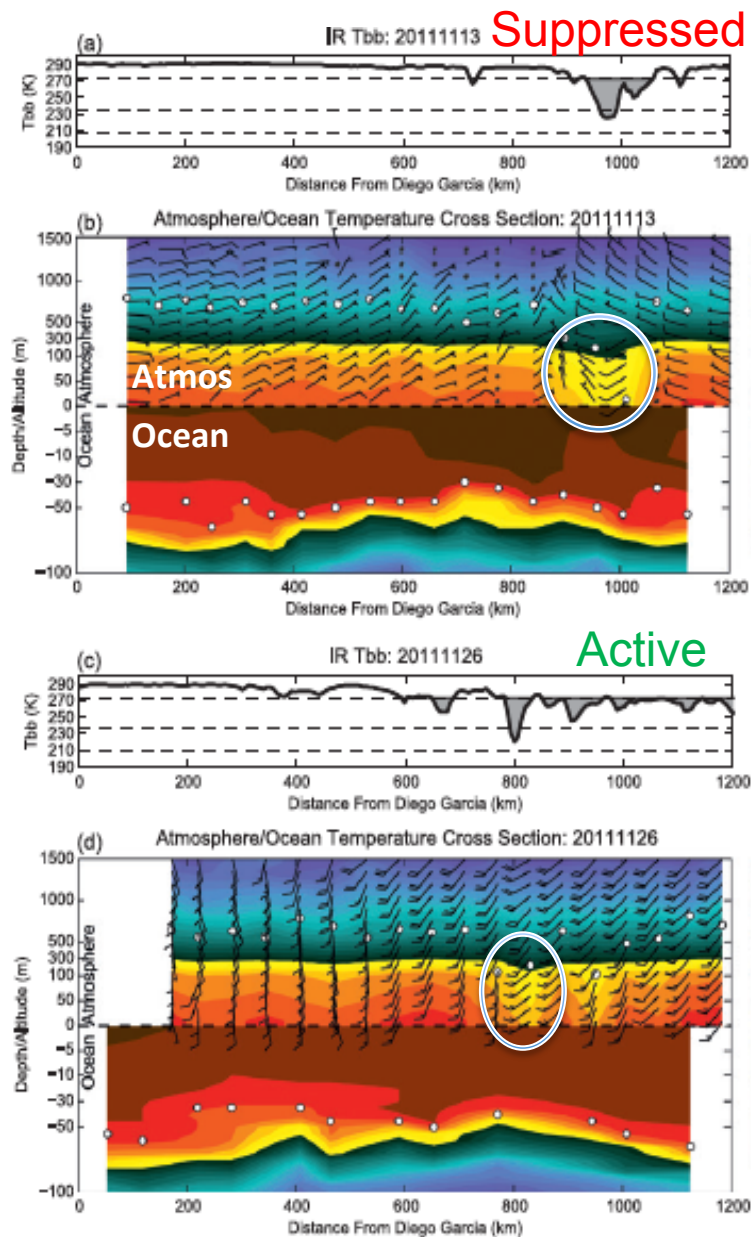
10-m wind speed contours for  $6 \text{ m s}^{-1}$  (white cap) and  $17 \text{ m s}^{-1}$  (Gale force)

Chen et al. (2016, BAMS):  
 DYNAMO airborne observation of convection, cold pools, water vapor, and air–sea fluxes from the **suppressed** to **active** phases of MJO initiation.

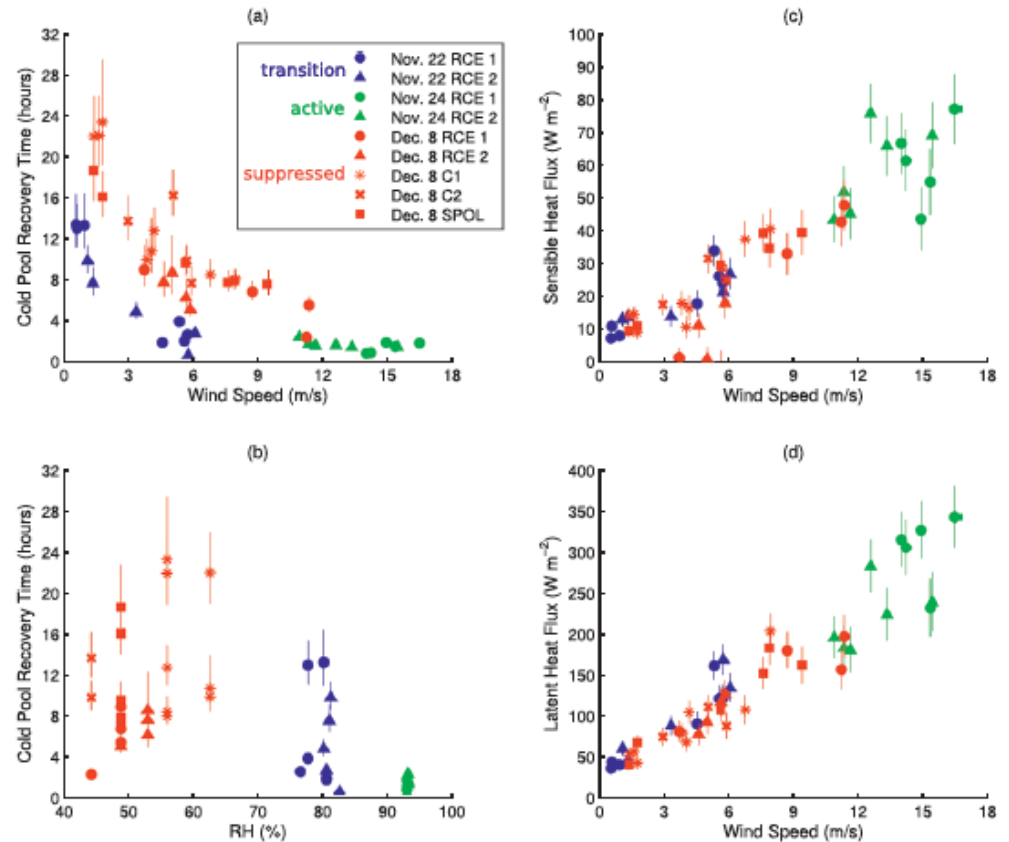
(a) NOAA P3 Flight Tracks







## Cool pool BL recovery time and air-sea fluxes (MJO **suppressed**, **transition**, **active** phases)

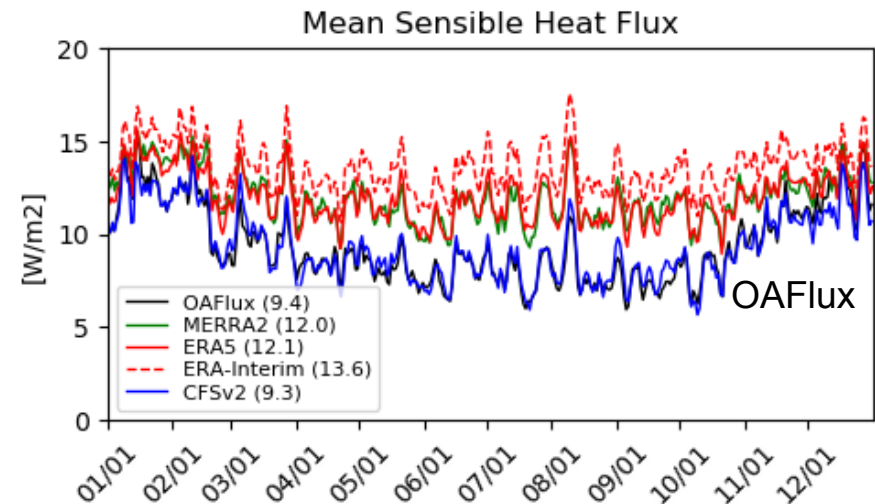
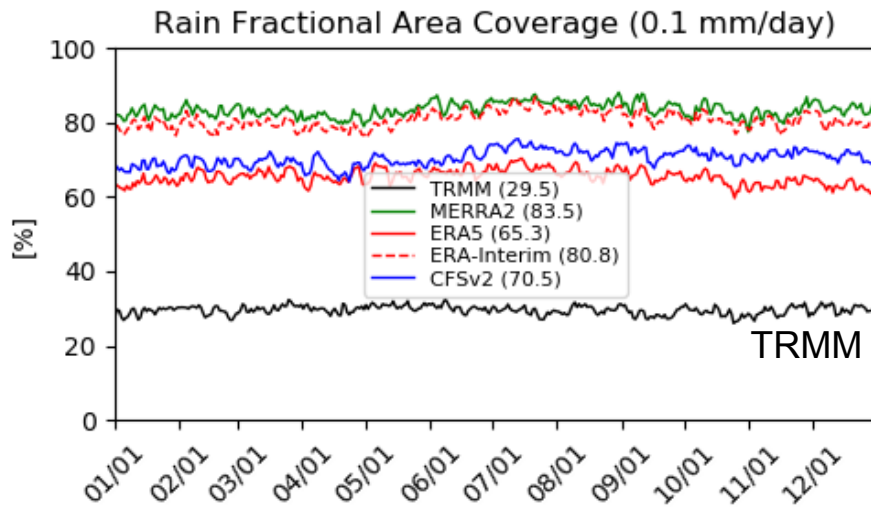
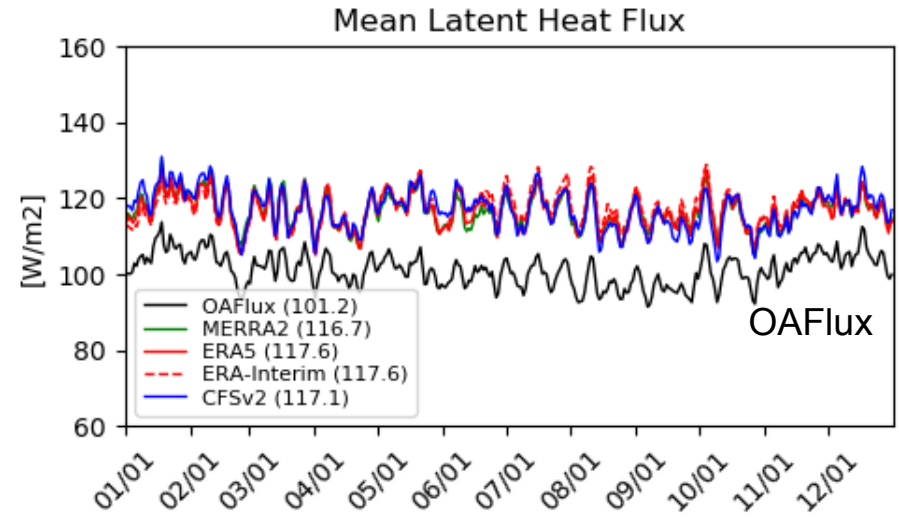
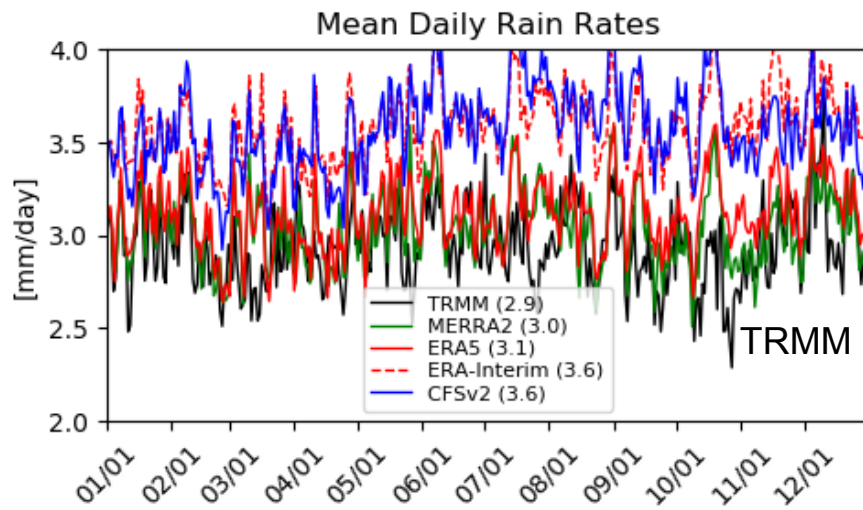


**FIG. 14.** The WP-3D aircraft observed convective cold pool recovery time varies with (a) wind speed and (b) the 700–500-hPa environment RH averaged over a circular area of 200–500-km radius from each dropsonde. The (c) surface sensible heat and (d) latent heat fluxes varying with wind speed, for individual convective modules from 22 Nov (blue), 24 Nov (green), and 8 Dec 2011 (red), which represent the MJO transition/onset, convectively active, and suppressed phases, respectively. The error bars represent the uncertainty due to SST  $\pm 0.5^\circ\text{C}$  and the range of wind speeds within the lowest 50 m.

Chen et al. (2016, BAMS)

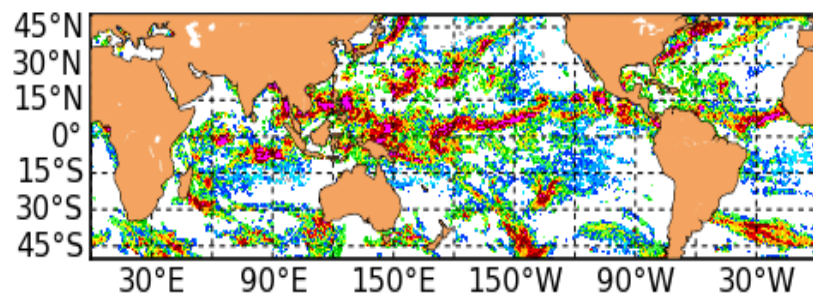
# Grand challenges

Global water & energy cycle: rainfall and air-sea fluxes in global reanalysis

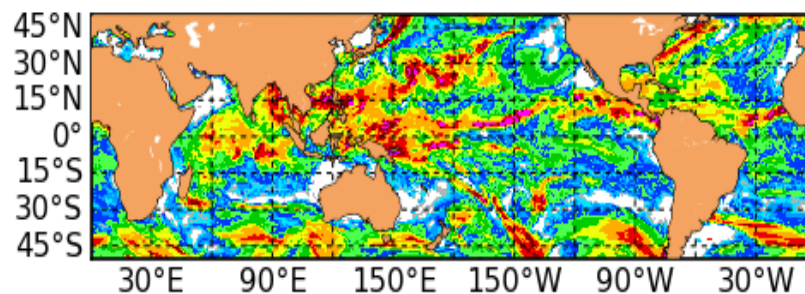


## Rain: 20170725

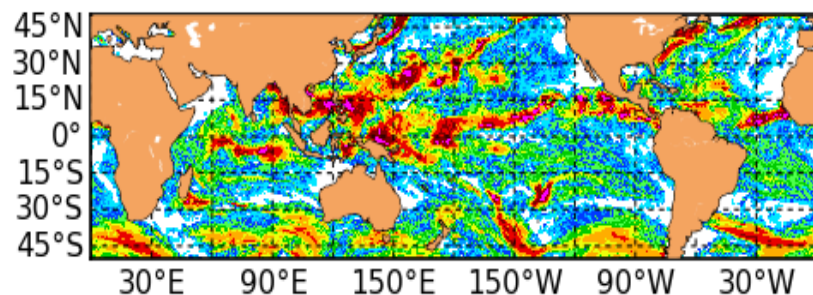
a. TRMM



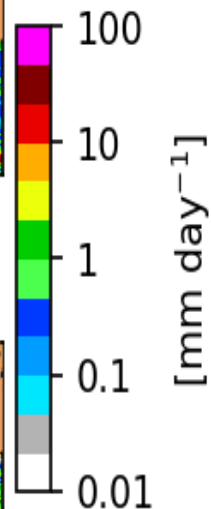
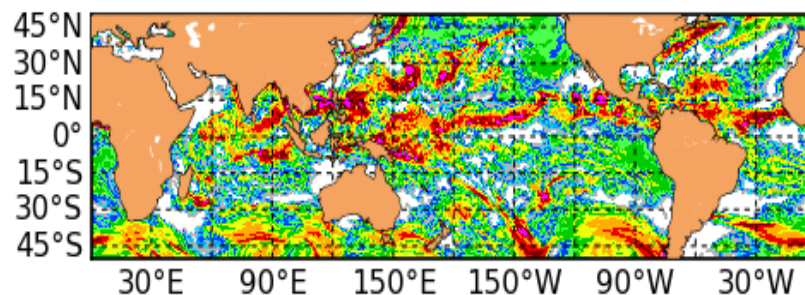
b. MERRA2



c. ERA5



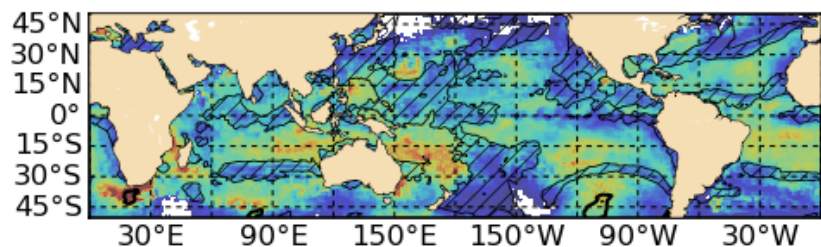
d. CFSv2



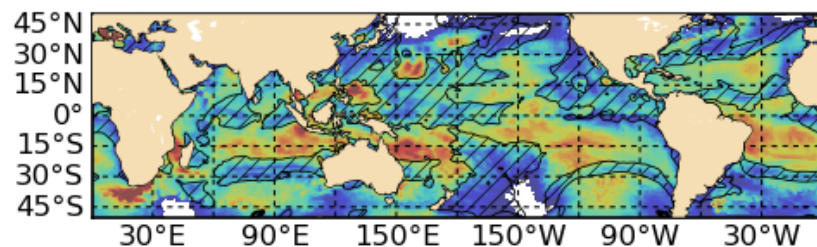


## Latent Heat Flux: 20170725

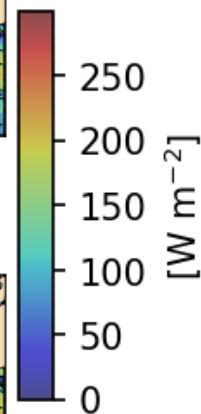
a. OAFlux



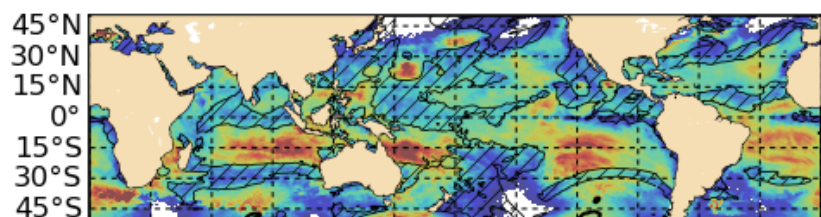
b. MERRA2



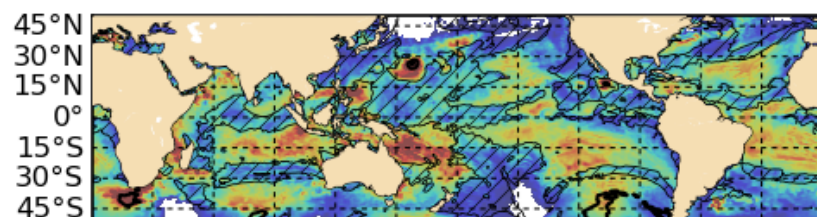
Hatch < 6 m/s  
Thick > 17 m/s



c. ERA5

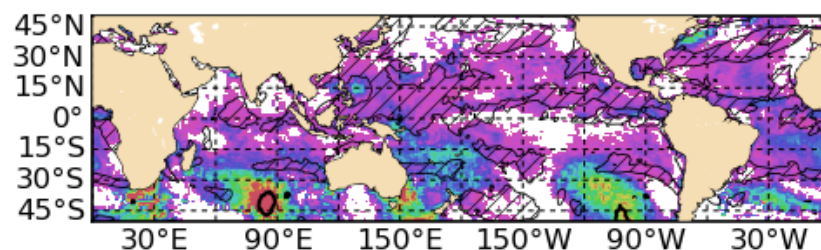


d. CFSv2

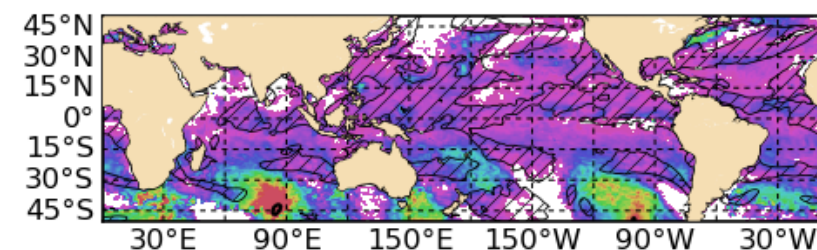


## Sensible Heat Flux: 20170726

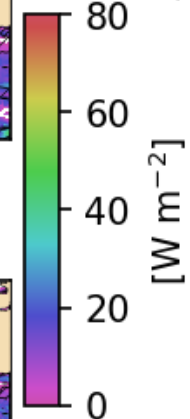
a. OAFlux



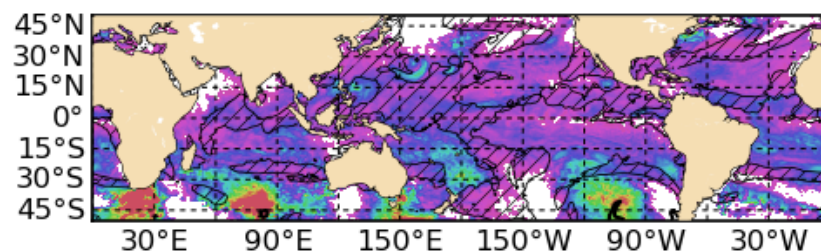
b. MERRA2



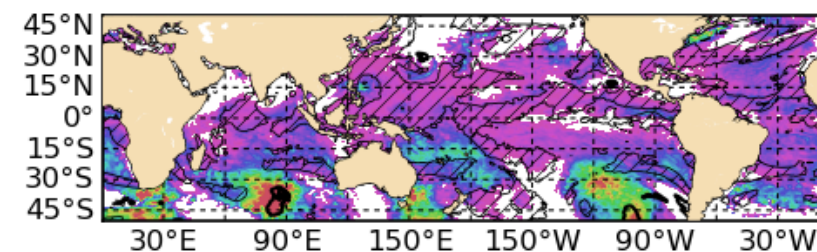
Hatch < 6 m/s  
Thick > 17 m/s



c. ERA5



d. CFSv2



## PROGRESS, CHALLENGES, AND WAY FORWARD

- **Field campaigns and coupled atmosphere-wave-ocean model development**
- **Better understanding of the physical processes in air-sea interaction**
- **Rainfall prediction and air-sea fluxes**
- **Unified physics of PBL for global weather and Climate**
- **Earth System modeling (atmosphere-wave-ocean-land-ice) and prediction, coupled observations and data assimilation**