Iterative Use of Observations and Machine Learning to Design ESM Perturbed Parameter Ensembles (PPEs)

Greg Elsaesser NASA/GISS & Columbia University Applied Physics and Applied Mathematics

Acknowledgements:

Marcus van Lier-Walqui, Qingyuan Yang, Max Kelley, Andy Ackerman, Ann Fridlind, Greg Cesana, Gavin Schmidt, Jingbo Wu, & many other GISS/Obs community colleagues



National Aeronautics and Space Administration Goddard Institute for Space Studies



A Typical Climate Model (Atmosphere) PPE

- Survey the parameterizations representing the various atmospheric processes/structures.
- Select the equations used to describe the processes, and perturb their parameters (coefficients/constants) simultaneously.



Rio et al. 2019

Which parameters? Perhaps parameter(s) in equations representing conversion of cloud drops to rain drops. (what not to perturb: Coriolis parameter, acceleration due





A Typical Climate Model (Atmosphere) PPE

- Survey the parameterizations representing the various atmospheric processes/structures.
- Select the equations used to describe the processes, and perturb their parameters (coefficients/constants) simultaneously.



Rio et al. 2019

Which parameters? Perhaps parameter(s) in equations representing conversion of cloud drops to rain drops. (what not to perturb: Coriolis parameter, acceleration due to gravity).



A Typical Climate Model (Atmosphere) PPE

A mapping of parameters-outputs constructed independent of what model mean states look like.





Minimum requirement: find all combinations of parameters that yield model output in agreement with the base-state climate.

- Minimum requirement: find all combinations of parameters that yield model output in agreement with the base-state climate.
- Expanded definition of base-state climate. Are we underutilizing available obs?

**Requires closer, two-way OBS-MODELer interaction.

**I wonder: if mean-state was defined to include more outputs (e.g., warm rain fraction, convective rain fraction, cloud top condensate phase climatologies), how then would mean state fidelity map to projection?

- Minimum requirement: find all combinations of parameters that yield model output in agreement with the base-state climate.
- Expanded definition of base-state climate. Are we underutilizing available obs?

**Requires closer, two-way OBS-MODELer interaction.

**I wonder: if mean-state was defined to include more outputs (e.g., warm rain fraction, convective rain fraction, cloud top condensate phase climatologies), how then would mean state fidelity map to projection?

What of the obs at present? Are they truthy enough? IF uncertainties in products are provided, they are often not the full uncertainties. Does uncertainty matter?

- Minimum requirement: find all combinations of parameters that yield model output in agreement with the base-state climate.
- Expanded definition of base-state climate. Are we underutilizing available obs?

**Requires closer, two-way OBS-MODELer interaction.

**I wonder: if mean-state was defined to include more outputs (e.g., warm rain fraction, convective rain fraction, cloud top condensate phase climatologies), how then would mean state fidelity map to projection?

What of the obs at present? Are they truthy enough? IF uncertainties in products are provided, they are often not the full uncertainties. Does uncertainty matter?



Generation of Calibrated Physics Ensemble (CPE)

CPE: members similar in mean-state *fidelity*, but with possibly different emergent properties and projections (that are likely different from that of a PPE).



- ♦ Workflow: PPE \rightarrow emulator \rightarrow parameter estimation \rightarrow CPE.
- Key: many parameters, many obs (& uncertainty [bias?])

Metrics (36 in total)	Data Source
Radiation (Longwave [LW], Shortwave [SW])	CERES-EBAF
Cloud Radiative Forcing (LWcrf, SWcrf)	CERES-EBAF
Column Water Vapor (CWV)	*Obs4MIPS RSS, G-VAP
Specific Humidity profiles (qv)	*Obs4MIPS AIRS, MLS
Temperature profiles (T)	*Obs4MIPS AIRS, MLS, GNSS- RO
Total Liquid Water Path (TLWP)	*MAC-LWP, GPM/TRMM
Total Ice Water Path (TIWP)	*CloudSat, MODIS
Total Precipitation (Pr)	*GPCP, GPM/TRMM
Convective Precipitation (Prc)	GPM/TRMM
Total Cloud Cover (TCC)	CloudSat/CALIPSO, ISCCP
Low (Shallow Cu, StratoCu) Cloud Cover	CloudSat/CALIPSO
Cloudtop Droplet Number Concentration (CDNC)	*MODIS (<u>Bennartz</u> , Grosvenor)
Surface Wind (W)	*WindSat, QuikSCAT
Liquid-to-ice transition Temperature/Height	CALIPSO 17

Climate Error Computation is Aware of Obs. Discrepancies





Example to LEFT of larger obs. uncertainties: liquid water path from satellites. Discrepancies are quantitatively accounted for in the model penalty (i.e., climate error) functions.

In this way, we account for systematic obs error in the emulation stage, not estimation stage. **PPE vs CPE**



ML-Emulate

ESM runs

Equifinality (i.e., equally plausible parameter combinations) favored not just because we have more parameters (more DOFs), but also because obs. uncertainty is accounted for.

An advantage to equifinality: auto-calibration efforts in climate models. It is very likely that many CPE members will not play well when coupled to ocean. One can stack-the-deck toward ensuring coupling success with more members.

Heat Maps in a PPE vs CPE

Sensitivities (doutput/dparameter) under the constraint that the mean states are Earth-Like – and, your perspective of "importance" might change.





Perturbed Physics Ensemble (PPE)

Calibrated Physics Ensemble (CPE)



Assessing model-observation mismatches.

-ML-MCMC does its job at finding physics parameter combinations that yield GCM configurations matching satellite data *where possible*.



-Suitable parameter combinations possibly **non-existent**. Why? Structural deficiencies?

Was accounting for obs. product discrepancy important?

Sensitivity to obs. product discrepancy is important...

Ruane et al. 2022, *Earth's Future*

...and with the surrogate model (or emulator) being able to simulate a diverse selection of model outputs (spanning water and energy cycle diagnostics), we can add new hypothetical observations (and their uncertainties), run the CPE process, and see impact on parameters & outputs.

We might call this a Climate Observing System Simulation Experiment, or Climate OSSE.

Sensitivity to obs. product discrepancy is important...

Ruane et al. 2022, *Earth's Future*

Sensitivity to obs. product discrepancy is important...

Ruane et al. 2022, *Earth's Future*

Conclusions

- A calibrated physics ensemble (or CPE) is useful for assessing sensitivities under the constraint that mean states look more like Earth (different from most PPE designs). Observational uncertainty favors lots of members. We need to convey this message.
- Physics": plans for accommodating both parameters and spans across structure.
- In between GISS' (or any modeling center's) construction of a CPE, their many members can be explored using process level metrics to reduce membership and feedback onto next CPE.

- Even with expanded mean state definition, should design calibration metrics related to variabilities (spatial/temporal) and sensitivities.
- CPE framework nice for serving as a bridge to understand different model projections (do their projection envelopes overlap? What causes them to simultaneously reduce?), and nice for stacking-the-deck in finding members that work in coupled mode.