

Parameterizing mesoscale eddy thickness/buoyancy fluxes using small neural networks

CLIVAR-OMDP Workshop, 11 September 2024

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Ryan Abernathey², Alistair Adcroft³, & Laure Zanna¹

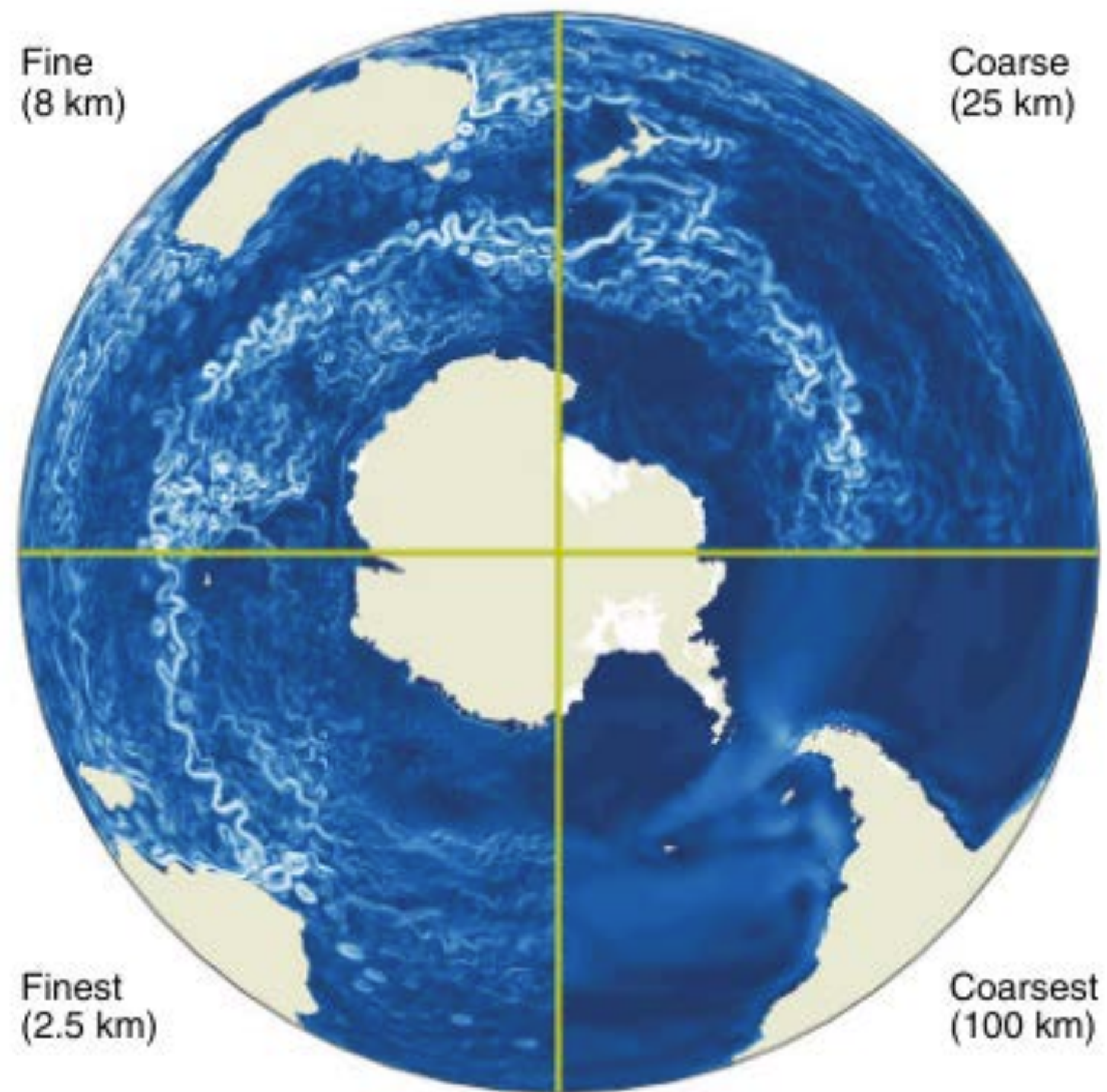
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³ Princeton University

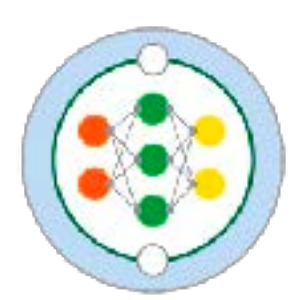


Turbulence at macro-scales (1-100 km)



Hewitt et al 2022

- Transport of momentum, heat, salt, and other tracers.
- Modulate the patterns of large scale flow and stratification.
- Play a vital role in the ocean's mechanical energy cycle
 - Form bulk of kinetic energy in ocean.
 - Transfers kinetic energy across scales.
- Goal: **Develop scale aware parameterizations that work over both non-eddying and eddy permitting resolutions.**



Eddies in thickness equation

In layered models:

$$\partial_t h_n + \nabla \cdot (\mathbf{u}_n h_n) = 0$$

$$\nabla = (\partial_x, \partial_y)$$

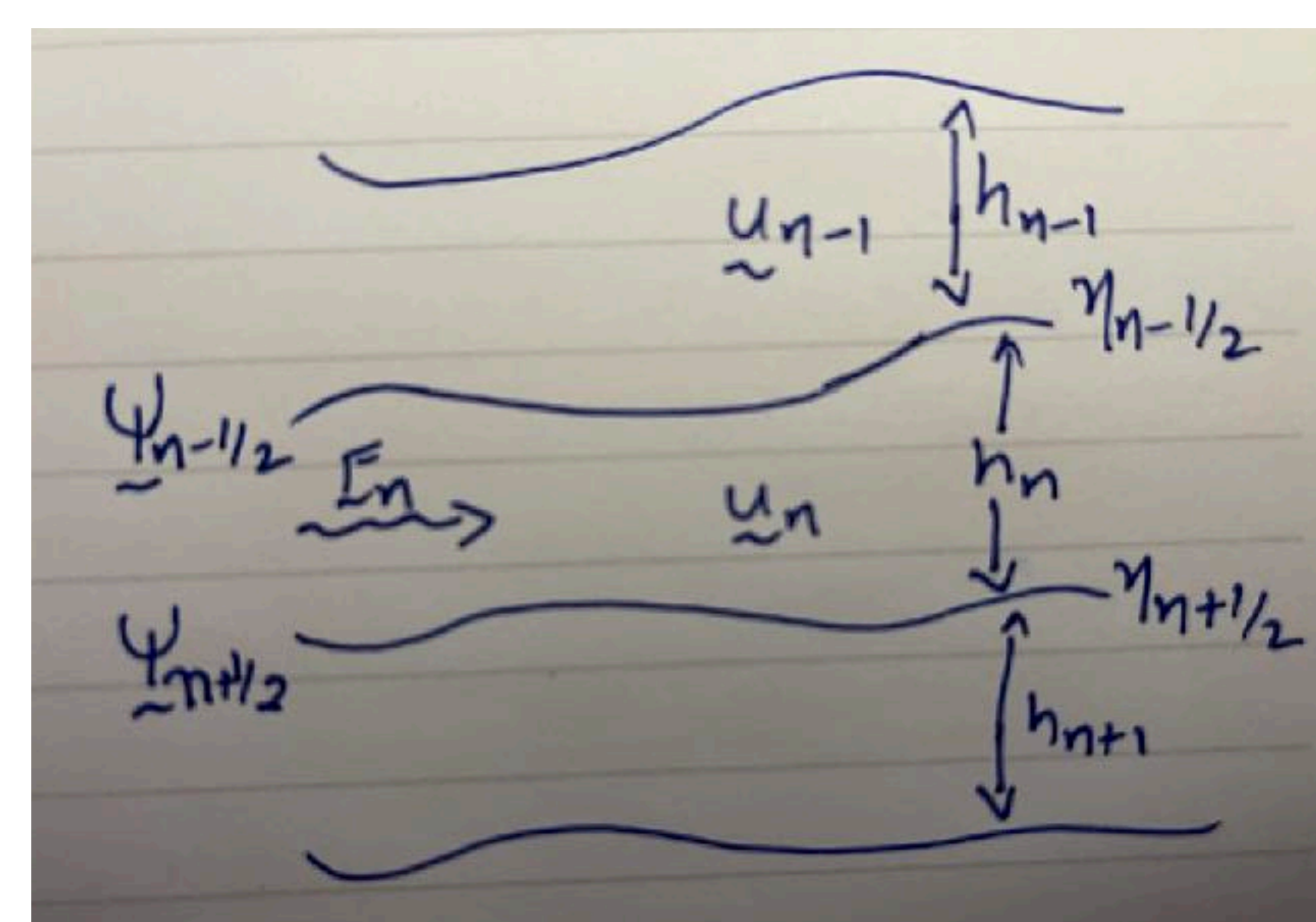
In filtered form:

$$\begin{aligned} \partial_t \bar{h}_n + \nabla \cdot (\bar{\mathbf{u}}_n \bar{h}_n) &= -\nabla \cdot (\overline{\mathbf{u}_n h_n} - \bar{\mathbf{u}}_n \bar{h}_n) \\ &= -\nabla \cdot (\mathbf{F}_n) \end{aligned}$$

$$\text{Advective form: } \mathbf{F}_n = \delta_n \Psi = \Psi_{n-1/2} - \Psi_{n+1/2}$$

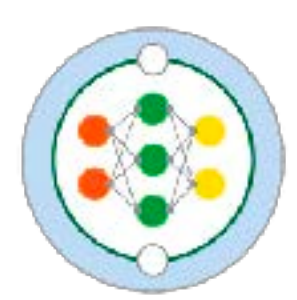
$$\text{Gent-McWilliams: } \Psi_{n-1/2} = -\kappa_{GM} \nabla \eta_{n-1/2}$$

$$\text{B.C.- No depth integrated flux: } \Psi_0 = \Psi_{N+1/2} = 0$$

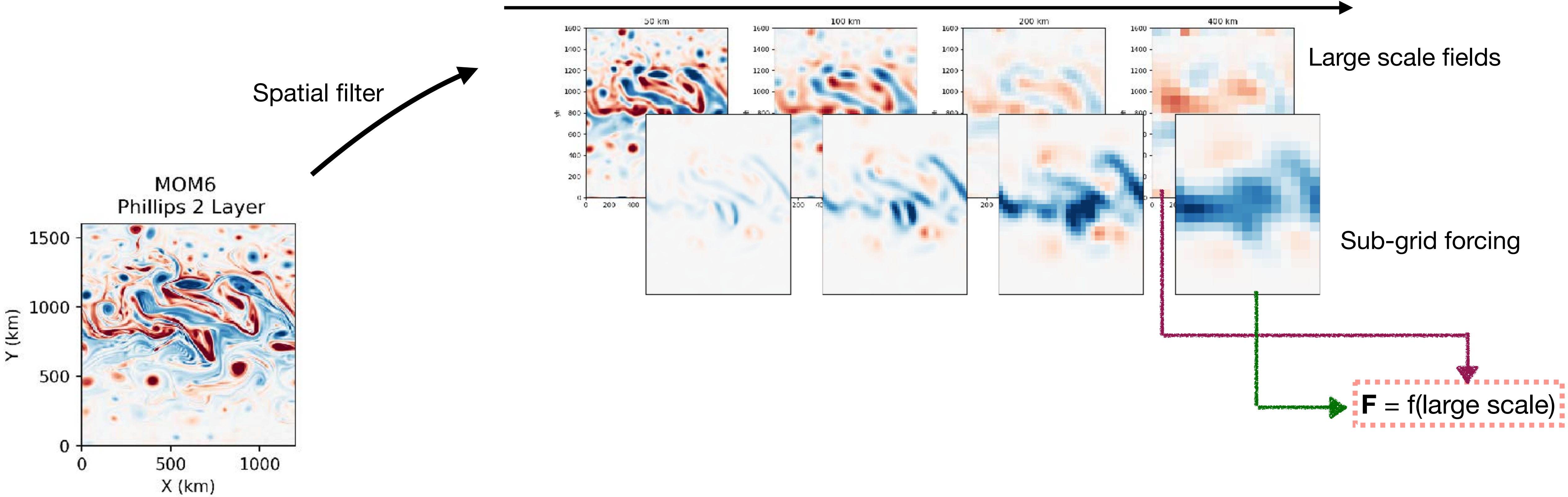


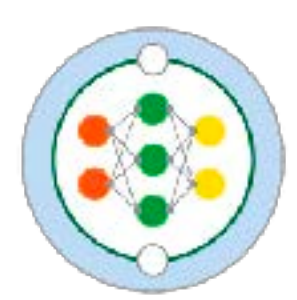
Our goal is to build a data driven parameterization of F:

Find/build a function connecting F to large scale variables.

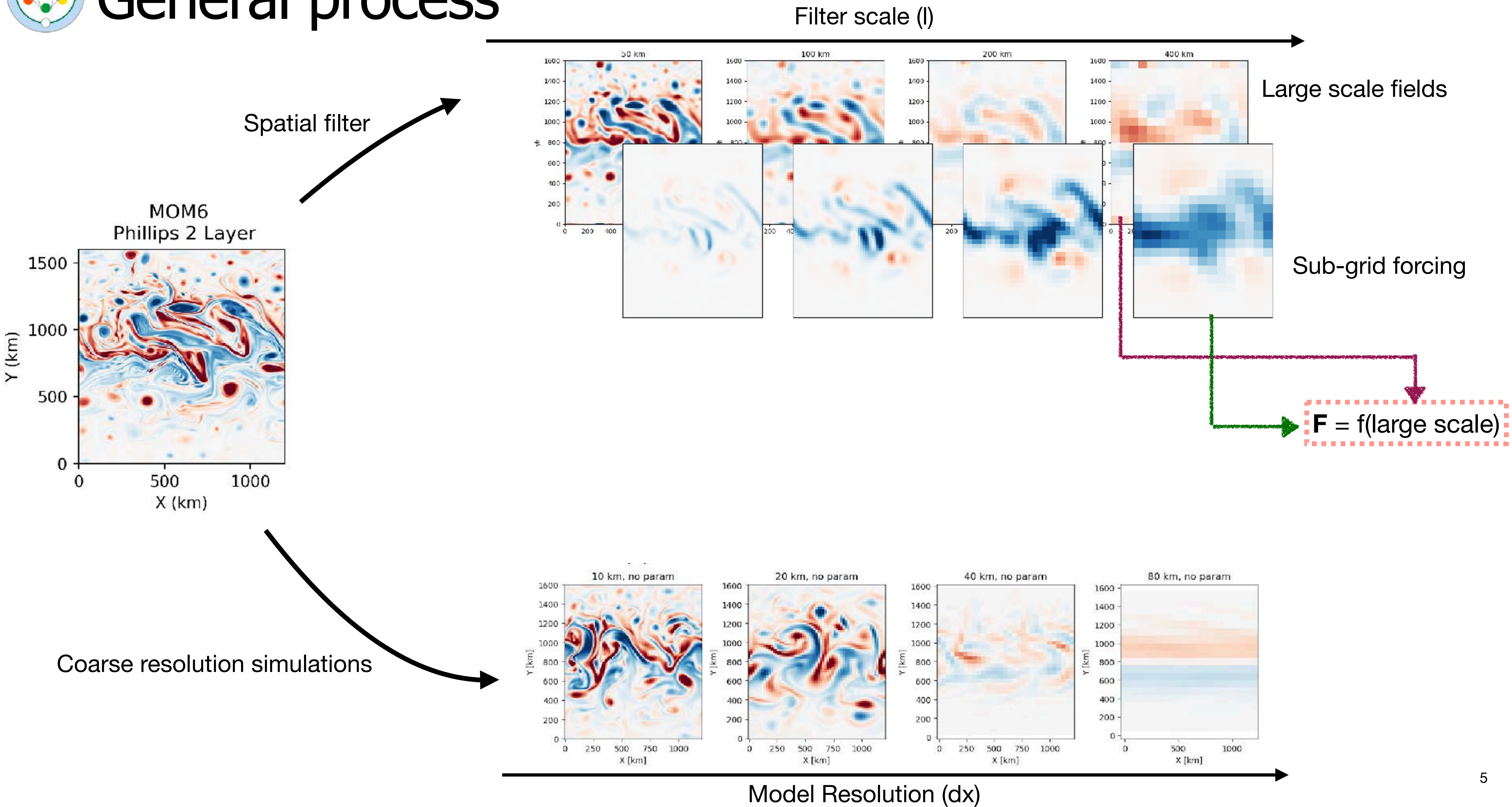


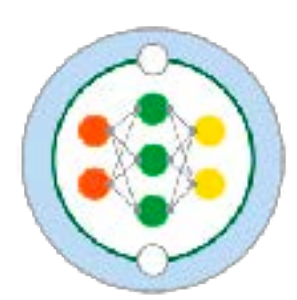
General process



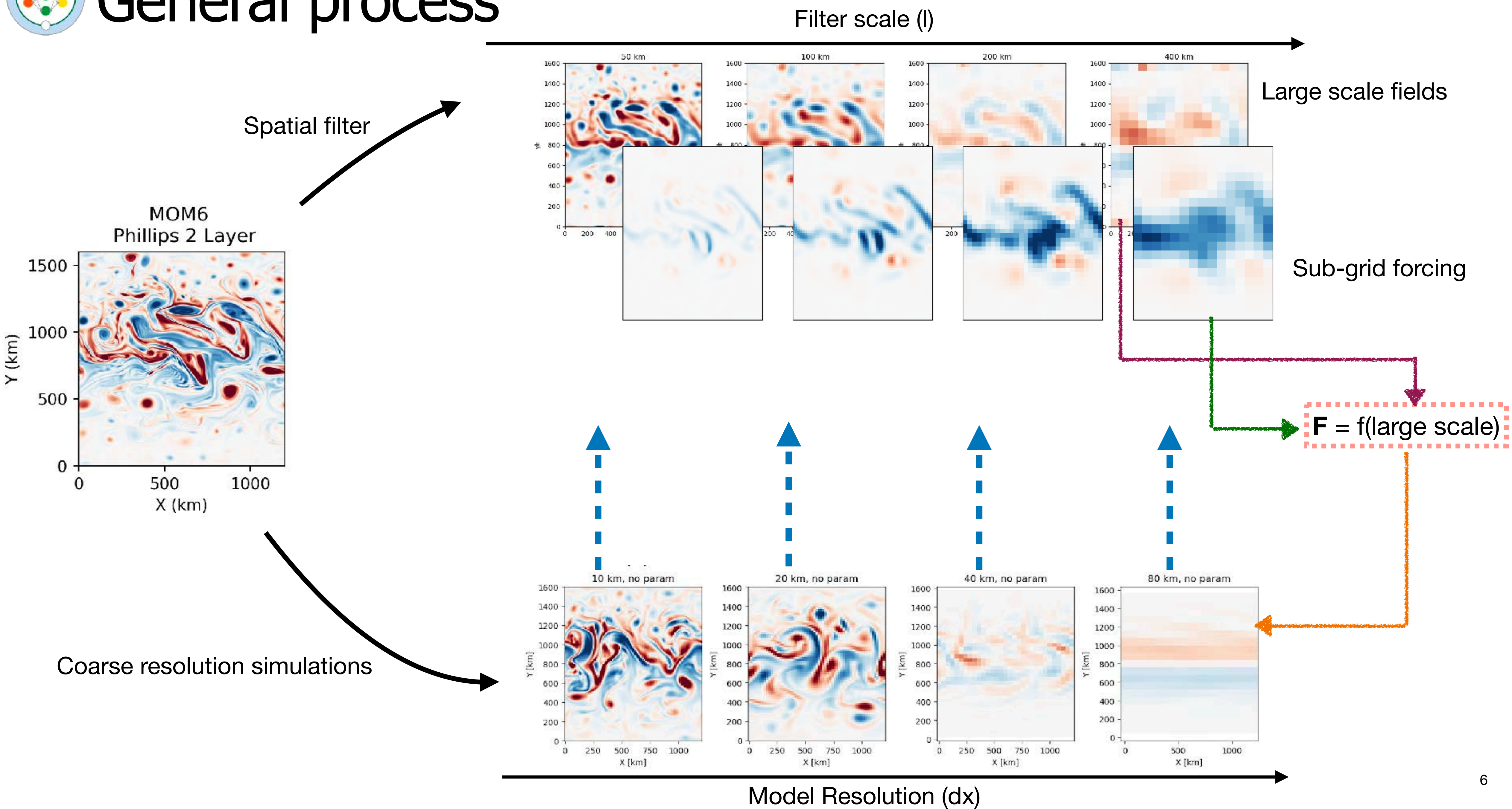


General process

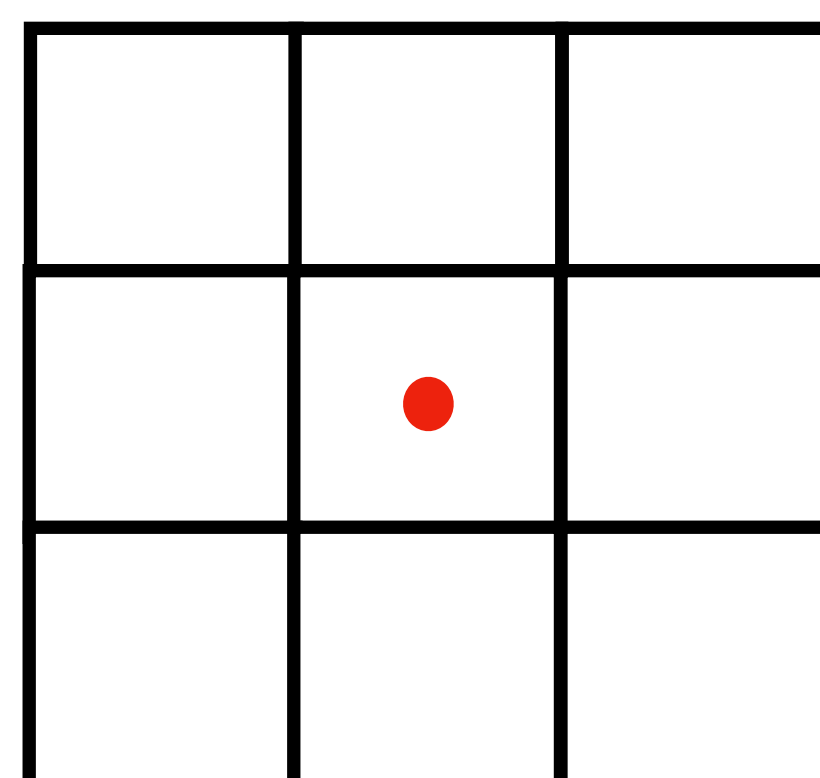




General process



ML Parameterization design



Point-wise/Local models

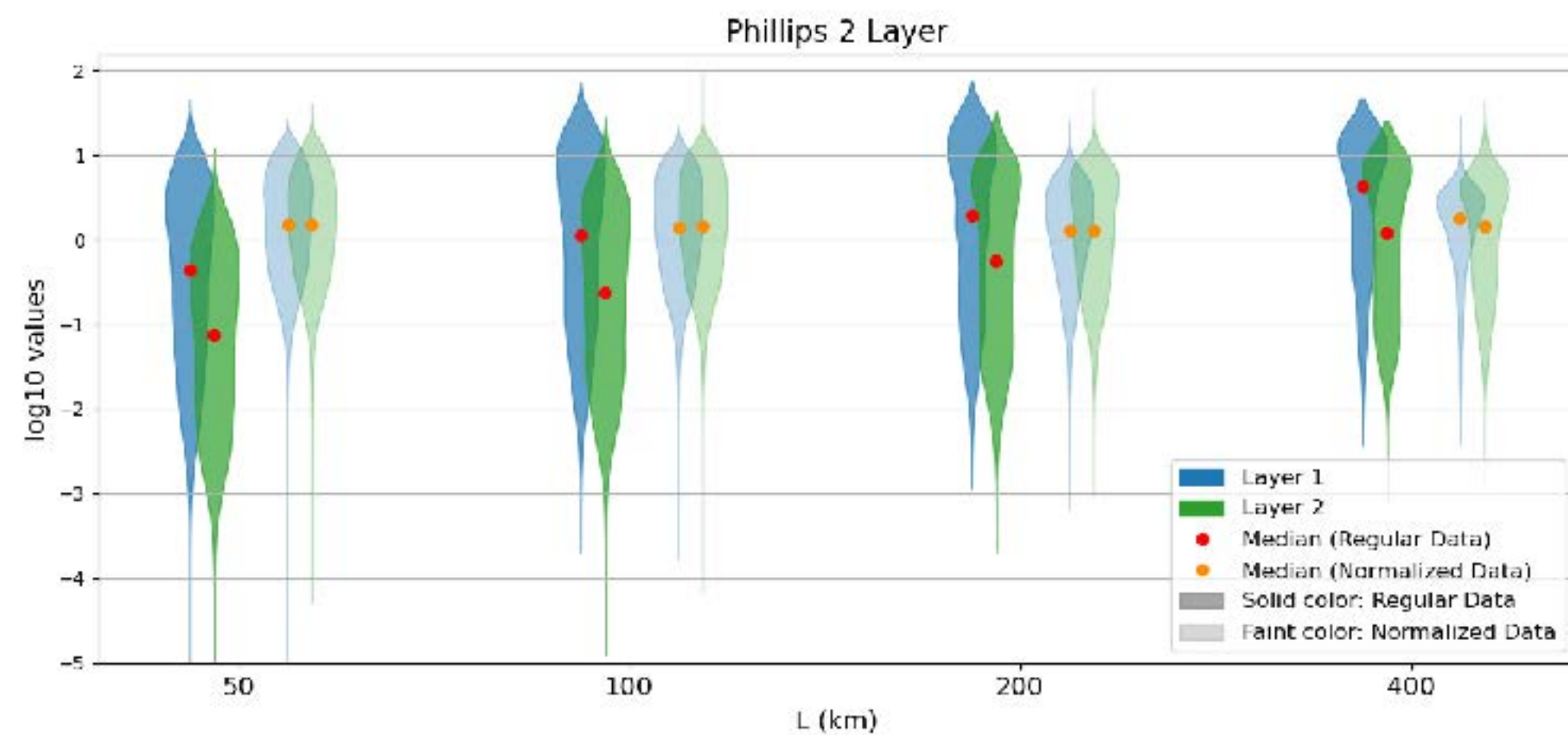
$$\mathbf{F}_n = f(\nabla \bar{\mathbf{u}}_n, \nabla \bar{h}_n, \Delta)$$

1. Non-dimensionalization
2. Range limited inputs
3. Separation of variables
4. Coordinate-Invariance
5. Scale-awareness
6. Lateral non-locality
7. Small ANN

$$\tilde{\mathbf{F}}_{n,(i,j)} = \Delta^2 |\nabla \bar{\mathbf{u}}_{n,(i+p,j+p)}| f\left(\frac{\widetilde{\nabla \bar{\mathbf{u}}_{n,(i+p,j+p)}}}{|\nabla \bar{\mathbf{u}}_{n,(i+p,j+p)}|}, \frac{\widetilde{\nabla \bar{h}}_{n,(i+p,j+p)}}{|\nabla \bar{h}_{n,(i+p,j+p)}|}\right) g(|\nabla \bar{h}_{n,(i+p,j+p)}|).$$



ML Parameterization design



Outputs:

$$|\mathbf{F}_n| \rightarrow \frac{|\mathbf{F}_n|}{\Delta^2 |\nabla \bar{\mathbf{u}}_n|}$$

$$\mathbf{F}_n = f(\nabla \bar{\mathbf{u}}_n, \nabla \bar{h}_n, \Delta)$$

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2. Range limited inputs

3. Separation of variables

4. Coordinate-Invariance

5. Scale-awareness

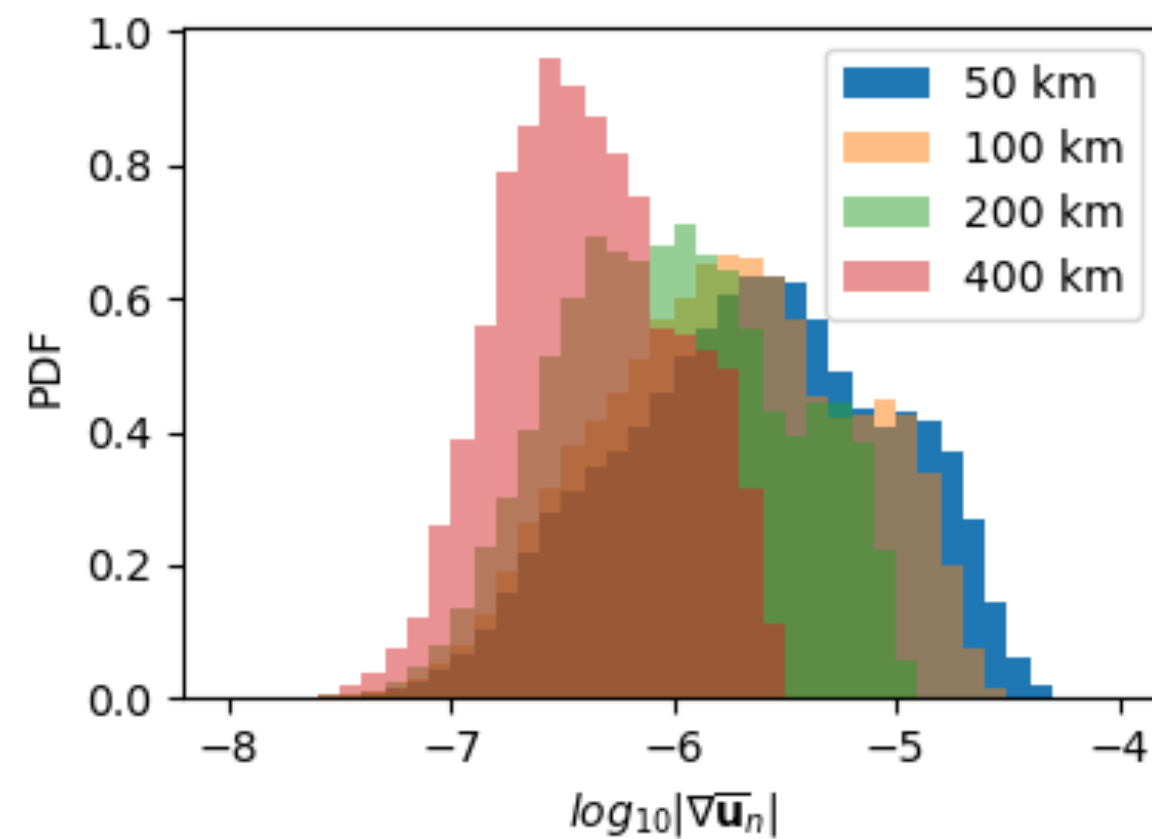
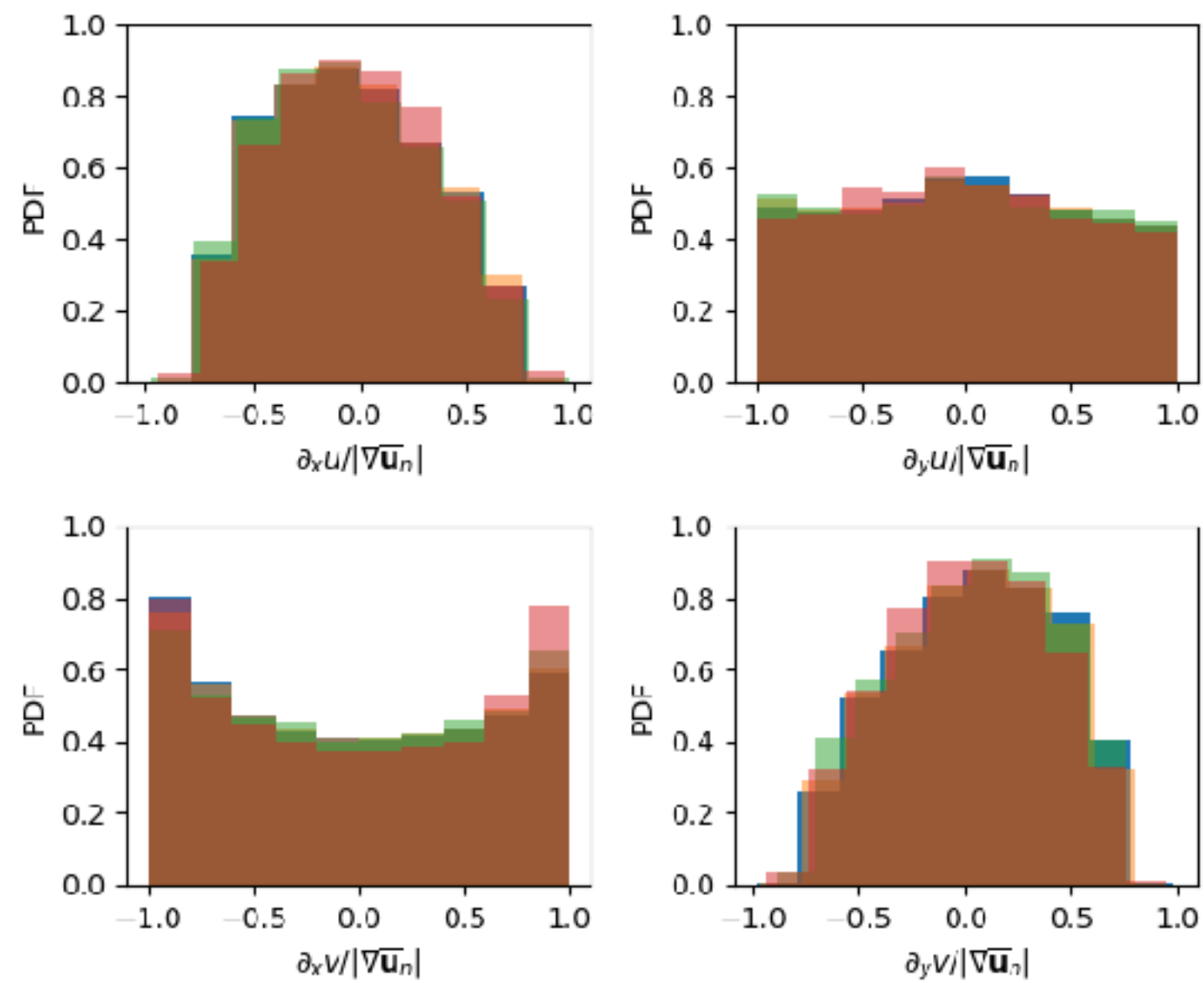
6. Lateral non-locality

7. Small ANN

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ML Parameterization design



$$\mathbf{F}_n = f(\nabla \bar{\mathbf{u}}_n, \nabla \bar{h}_n, \Delta)$$

1. Non-dimensionalization
- 2. Range limited inputs**
- 3. Separation of variables**
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Inputs:

$$\nabla \bar{\mathbf{u}}_n \rightarrow \left(\frac{\nabla \bar{\mathbf{u}}_n}{|\nabla \bar{\mathbf{u}}_n|}, |\nabla \bar{\mathbf{u}}_n| \right)$$

$$\nabla \bar{h}_n \rightarrow \left(\frac{\nabla \bar{h}_n}{|\nabla \bar{h}_n|}, |\nabla \bar{h}_n| \right)$$

Functions:

$$f(\nabla \bar{h}_n) \rightarrow f_1\left(\frac{\nabla \bar{h}_n}{|\nabla \bar{h}_n|}\right) f_2(|\nabla \bar{h}_n|)$$

$$\tilde{\mathbf{F}}_{n,(i,j)} = \Delta^2 |\nabla \bar{\mathbf{u}}_{n,(i+p,j+p)}| f\left(\frac{\widetilde{\nabla \bar{\mathbf{u}}_{n,(i+p,j+p)}}}{|\nabla \bar{\mathbf{u}}_{n,(i+p,j+p)}|}, \frac{\widetilde{\nabla \bar{h}}_{n,(i+p,j+p)}}{|\nabla \bar{h}_{n,(i+p,j+p)}|}\right) g(|\nabla \bar{h}_{n,(i+p,j+p)}|).$$



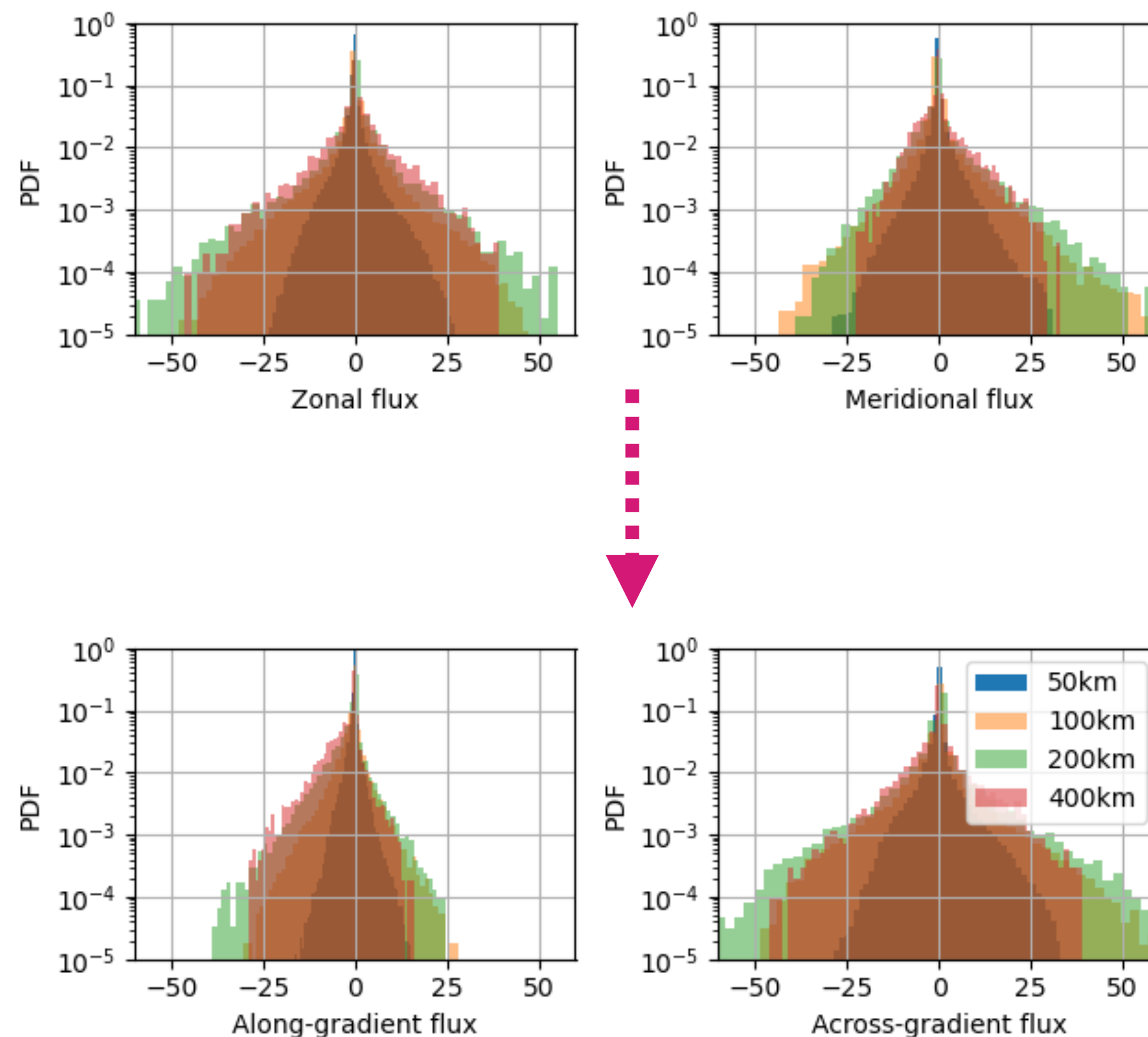
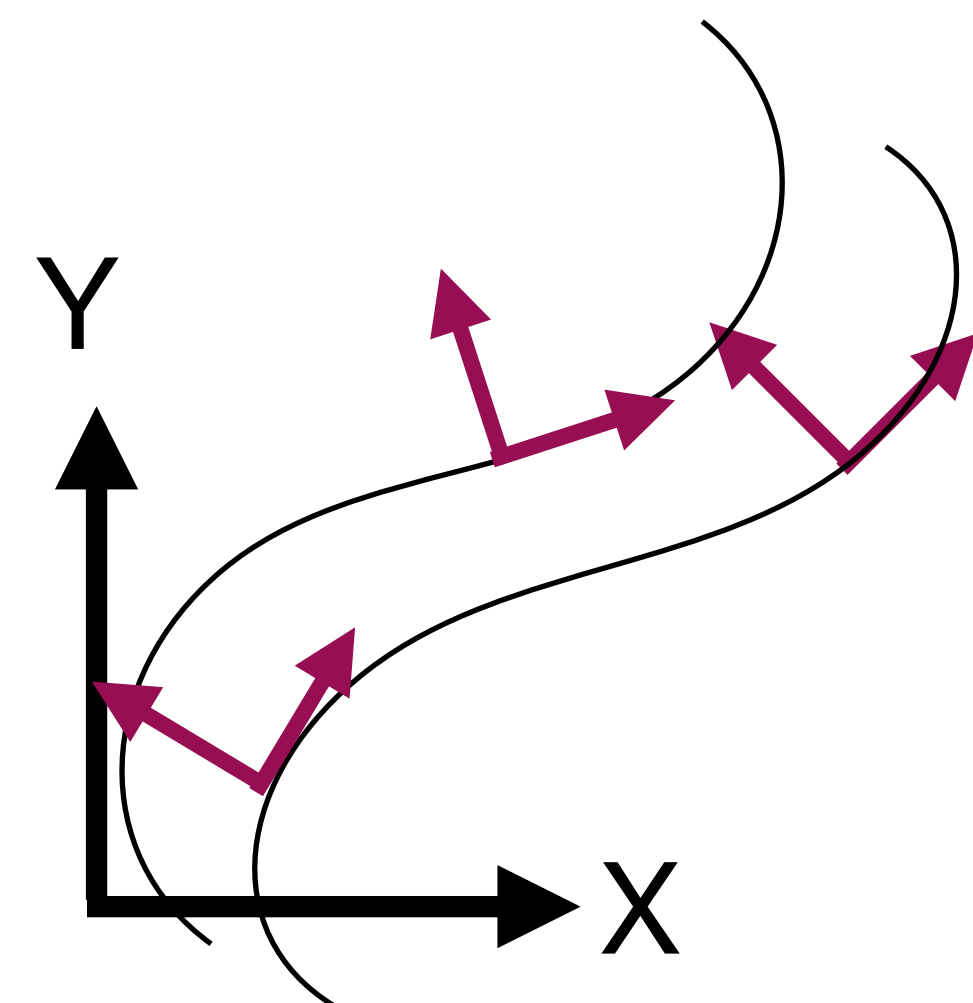
ML Parameterization design

Rotate to flow dependent coordinate:

x/y -> along/across h grad

$$\tilde{\mathbf{F}}_n = \mathbf{R}_n^T \mathbf{F}_n$$

$$\tilde{\nabla \bar{\mathbf{u}}}_n = \mathbf{R}_n^T (\nabla \bar{\mathbf{u}}_n) \mathbf{R}_n$$



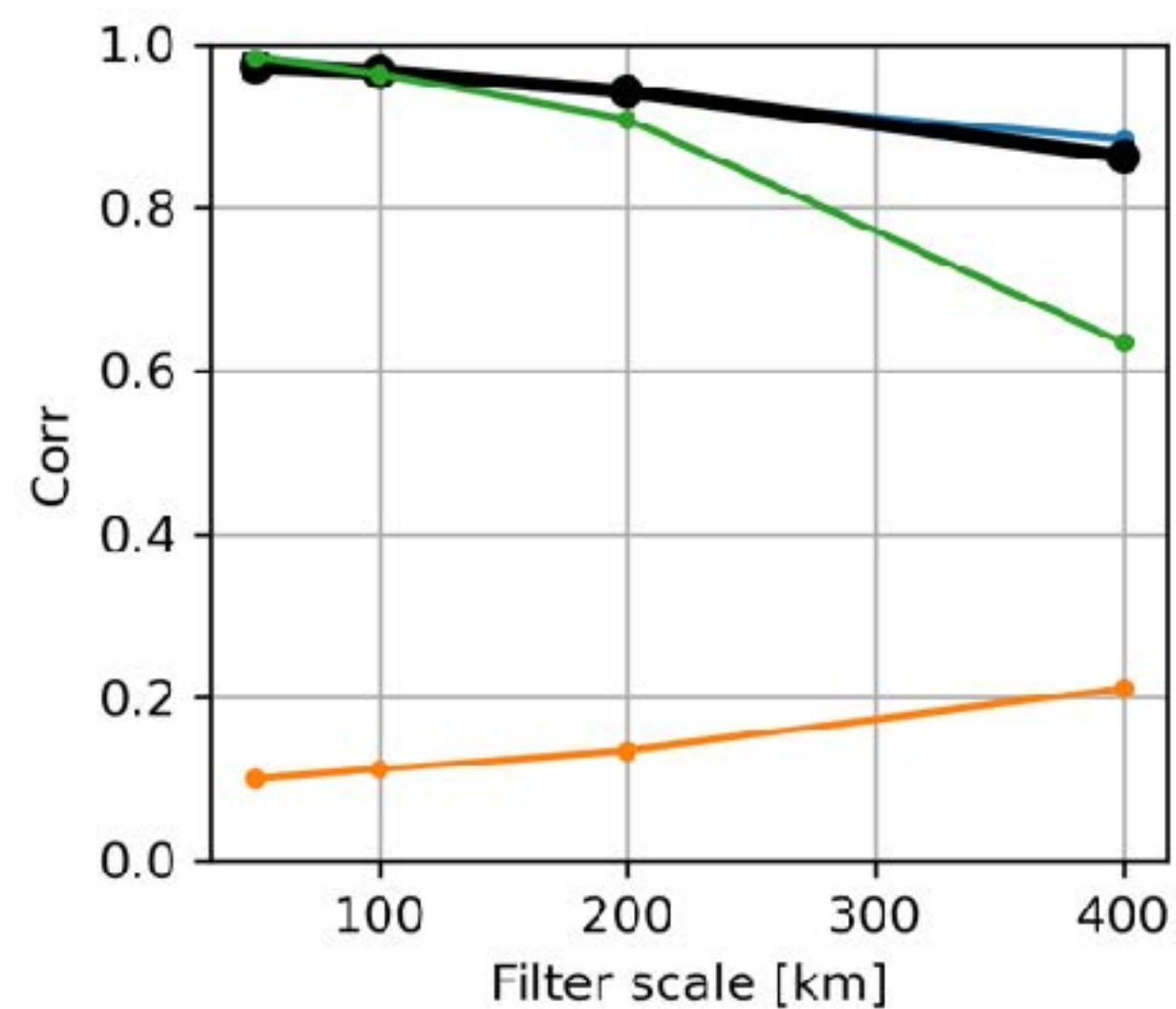
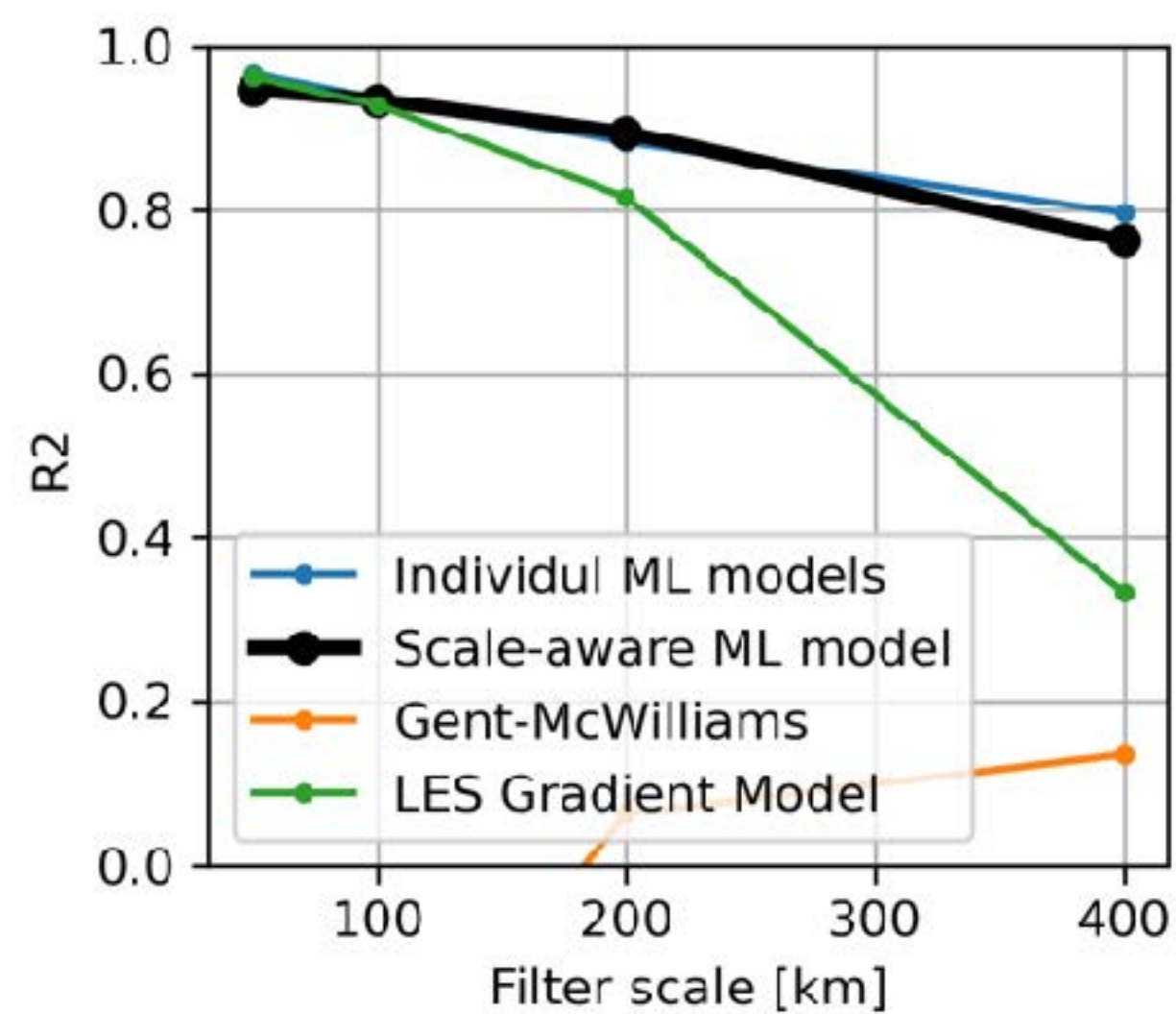
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ML Parameterization design



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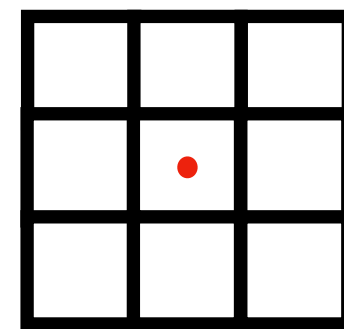
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ML Parameterization design

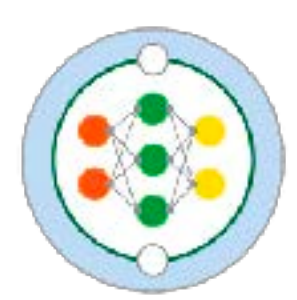
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Col:Scale Row:Stencil Width	50km	100km	200km	400km
1X1	0.90	0.91	0.85	0.77
3X3	0.96	0.96	0.93	0.90
5X5	0.96	0.96	0.93	0.91

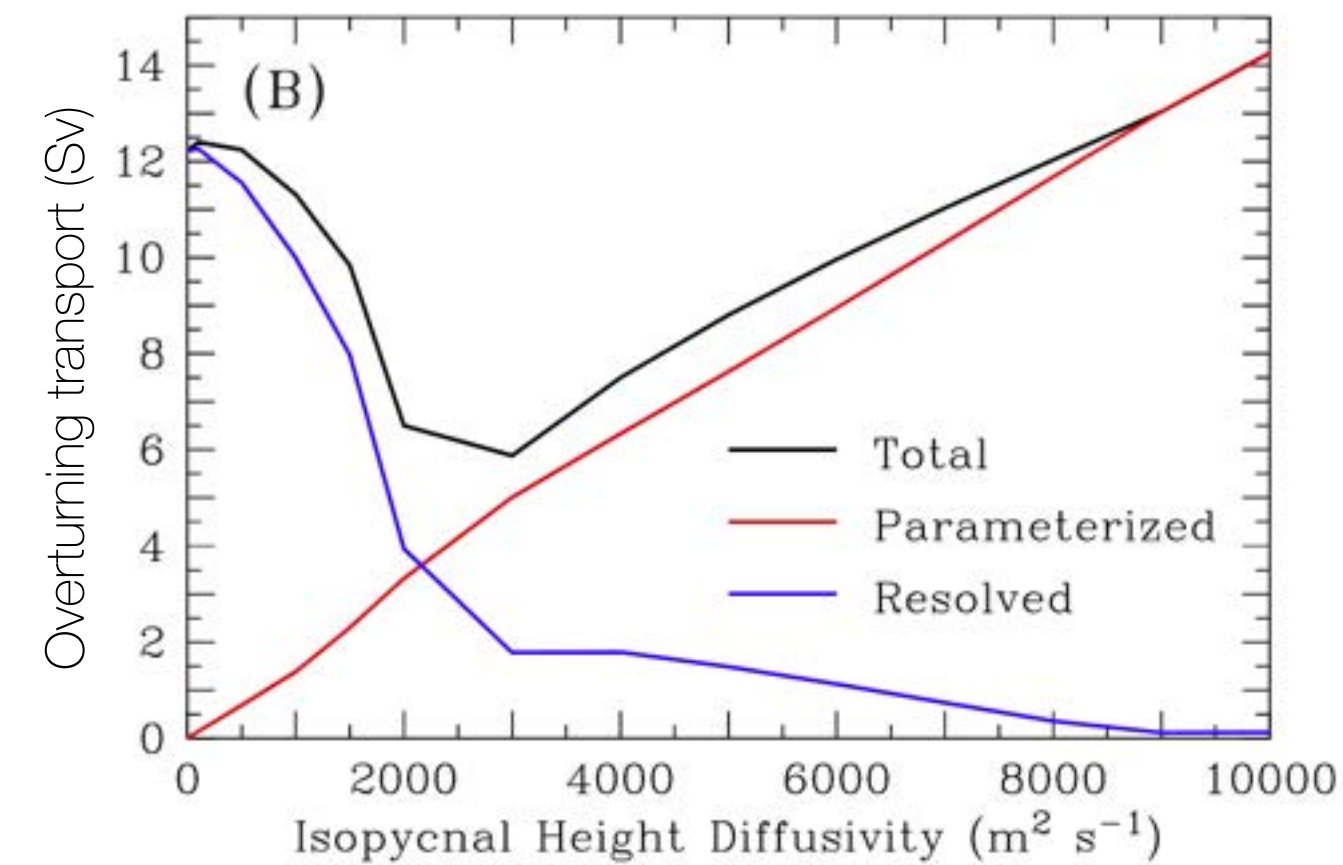
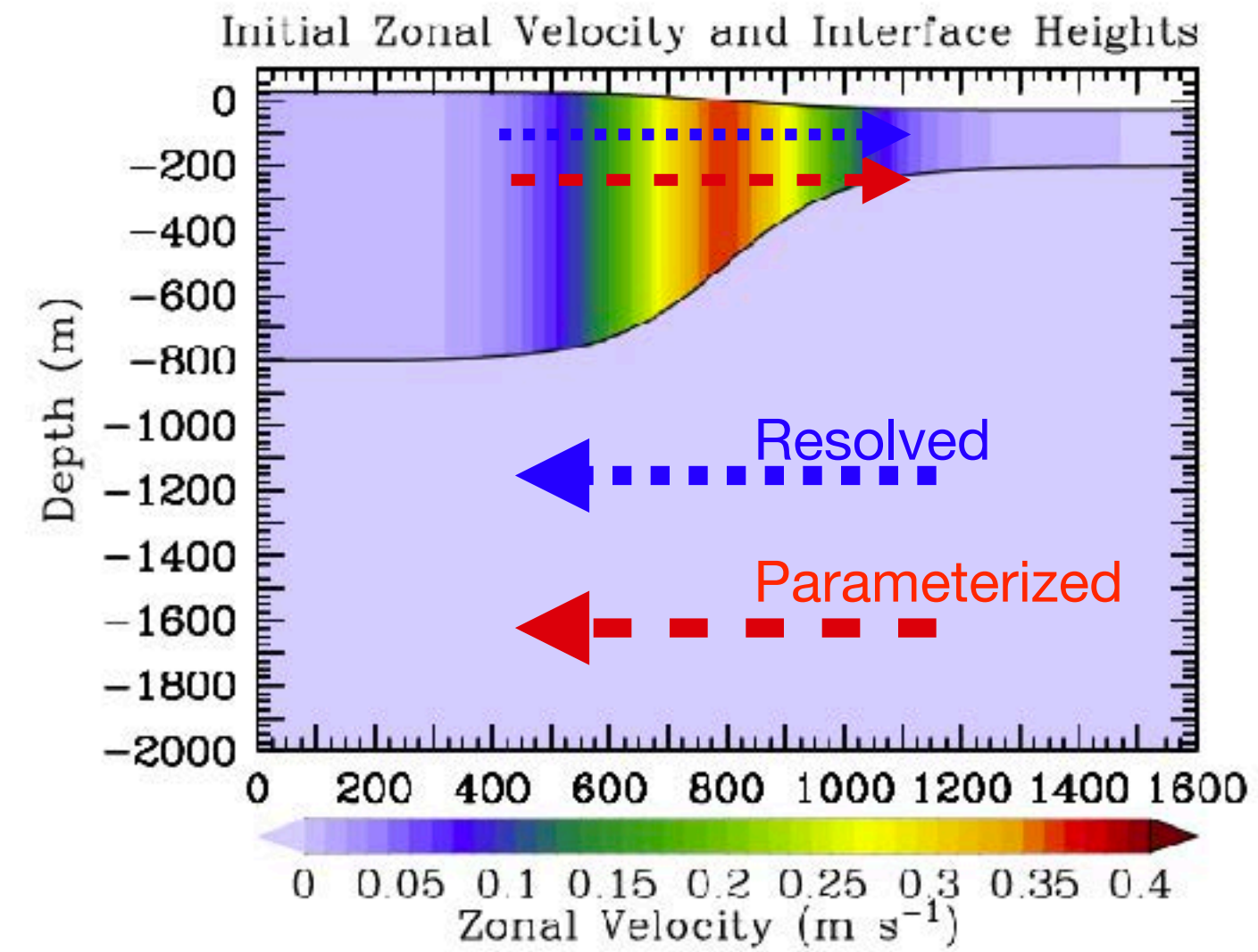
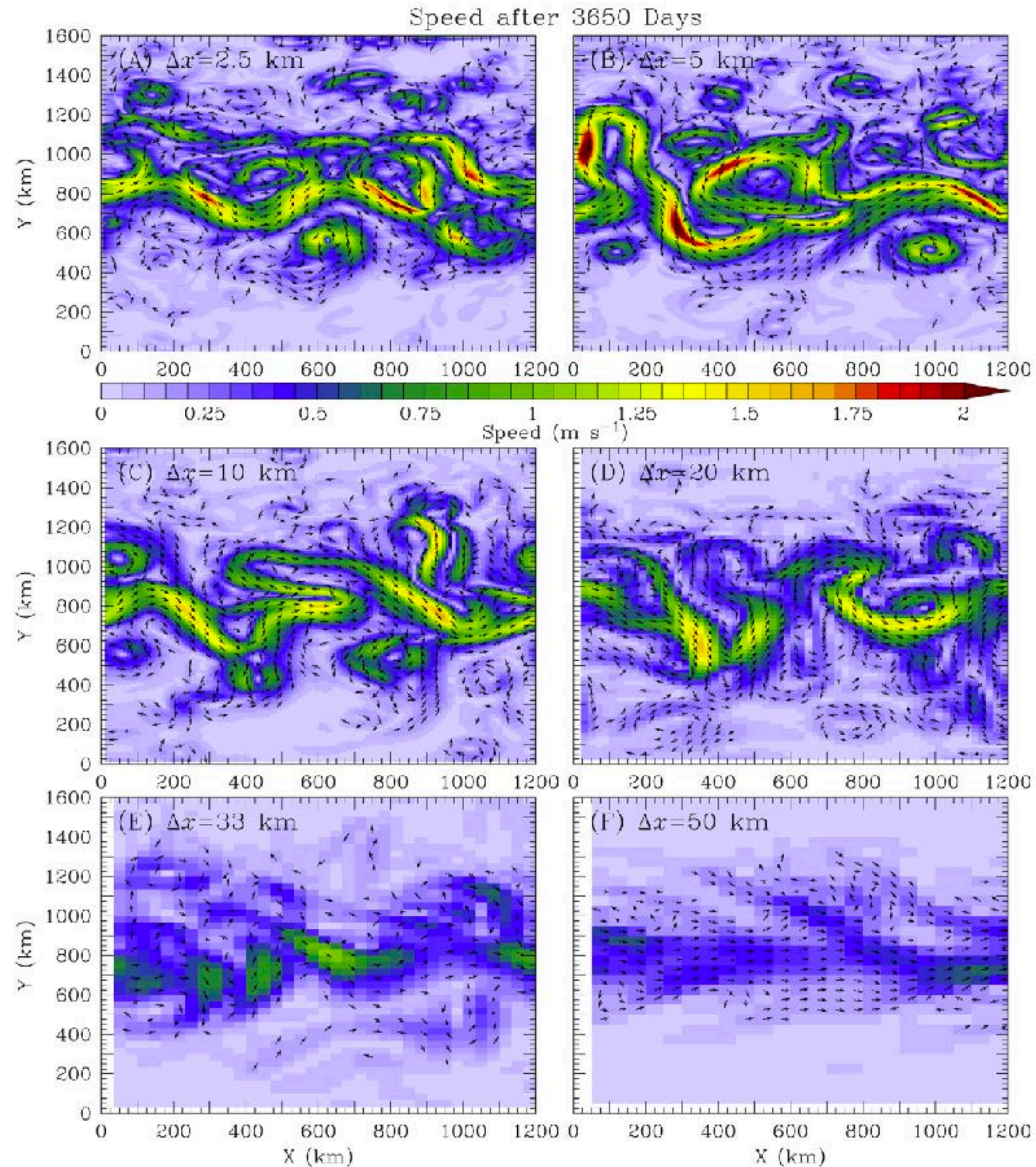


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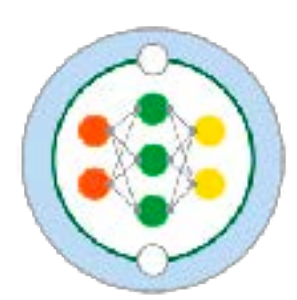
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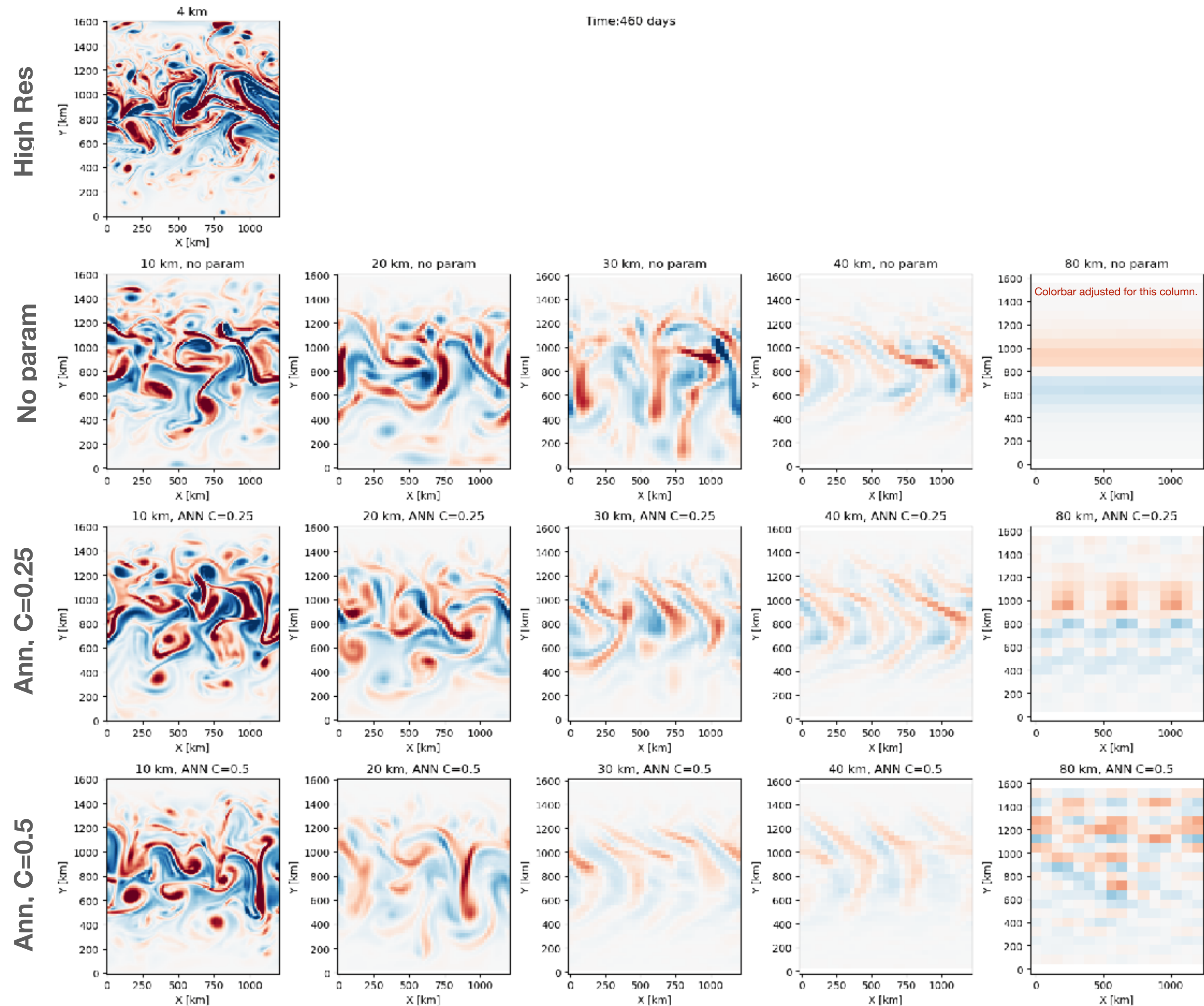
Some online evaluation - Phillips 2 Layer



Total overturning responds non-linearly to Gent- McWilliams parameterization.



Some online evaluation - visuals, **stable** 🥲



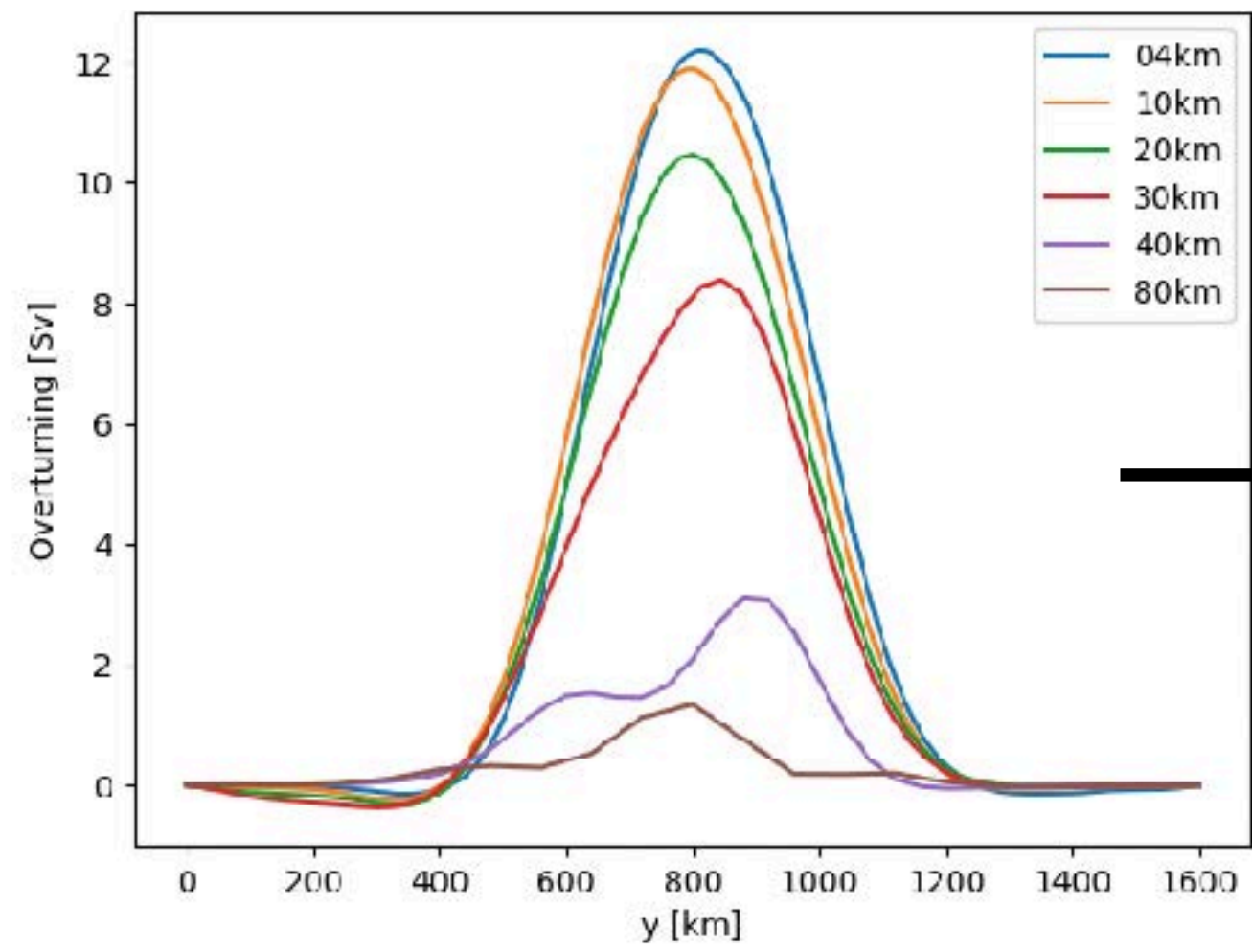
Stable over large range of resolutions and coefficients! **(No filters added)**.

Resolved variability is only slightly damped.

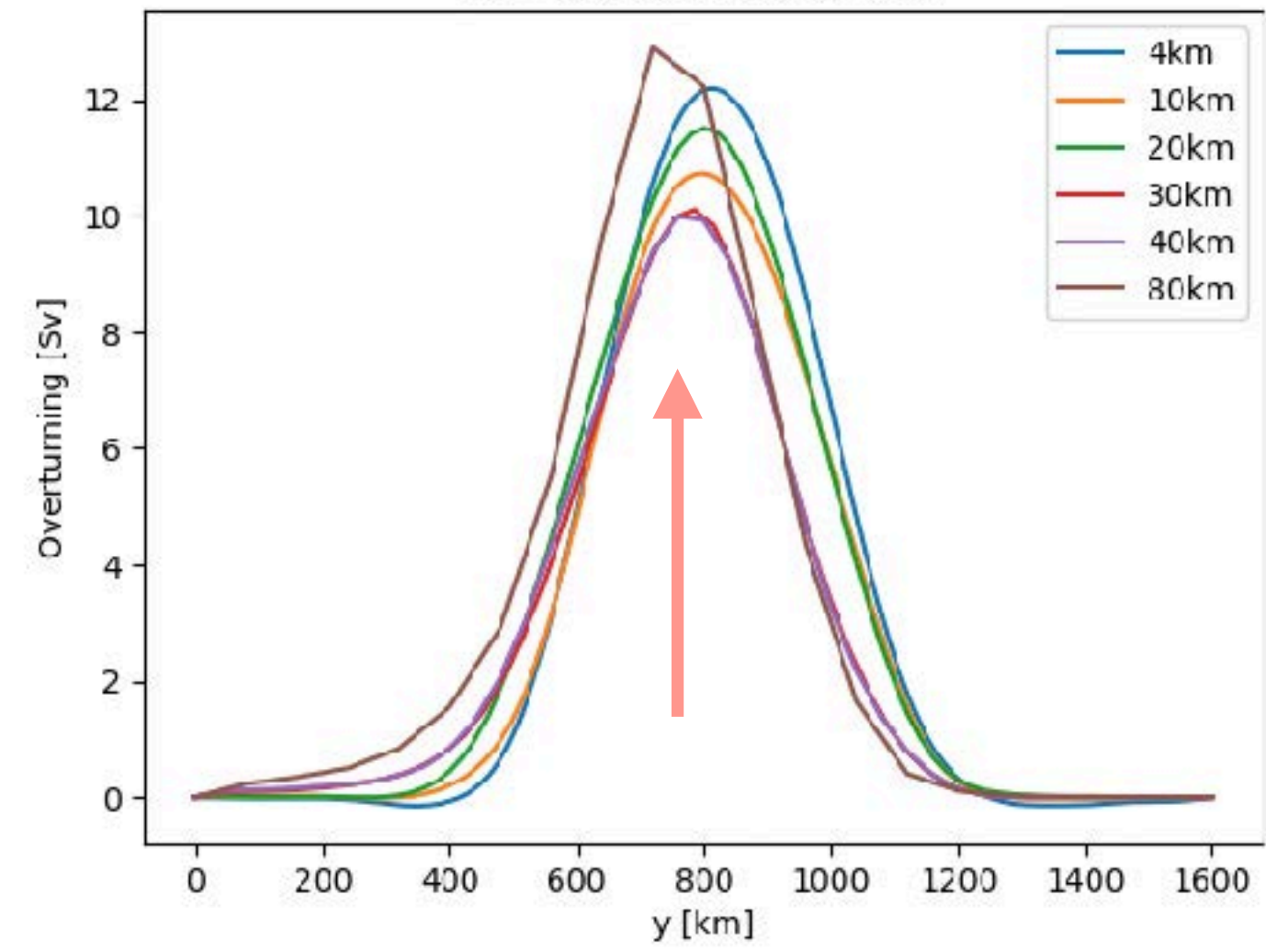
Even has some skill when absolutely no eddies are resolved

Some online evaluation - Bulk

ML parameterization increases overturning.

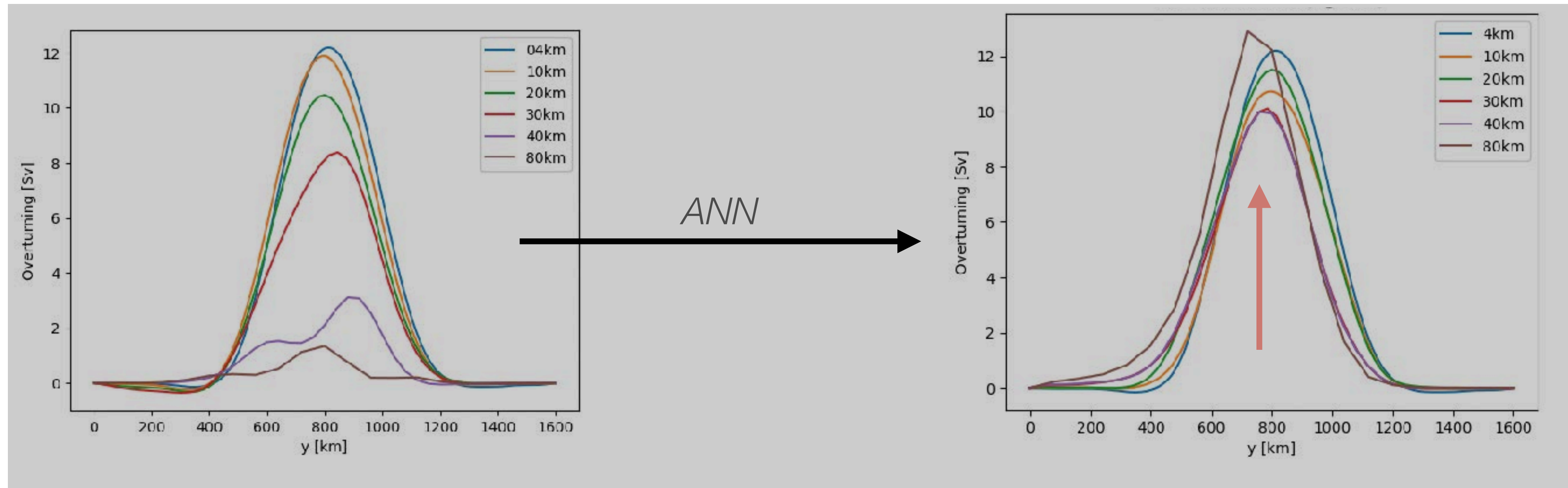


ANN

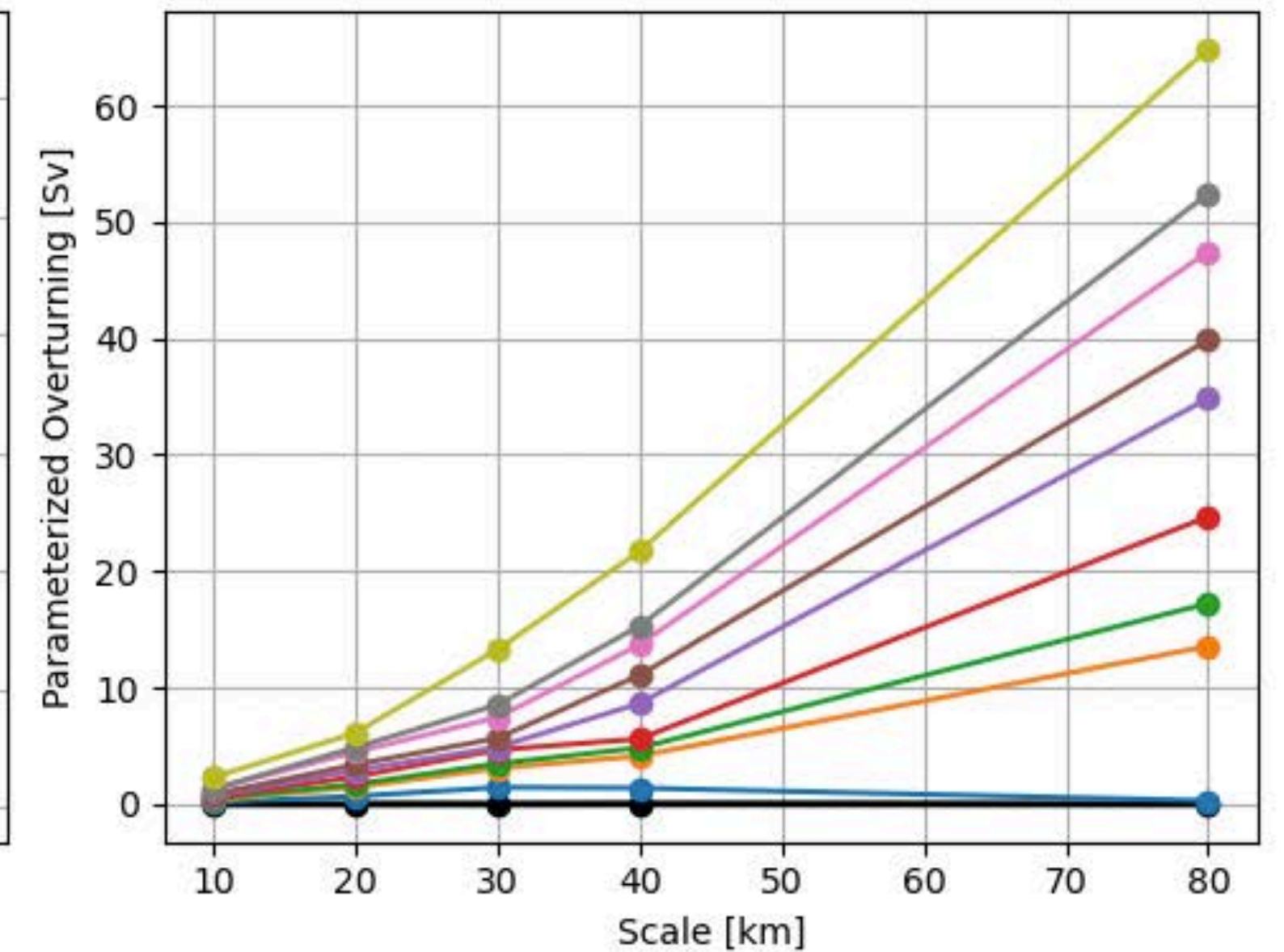
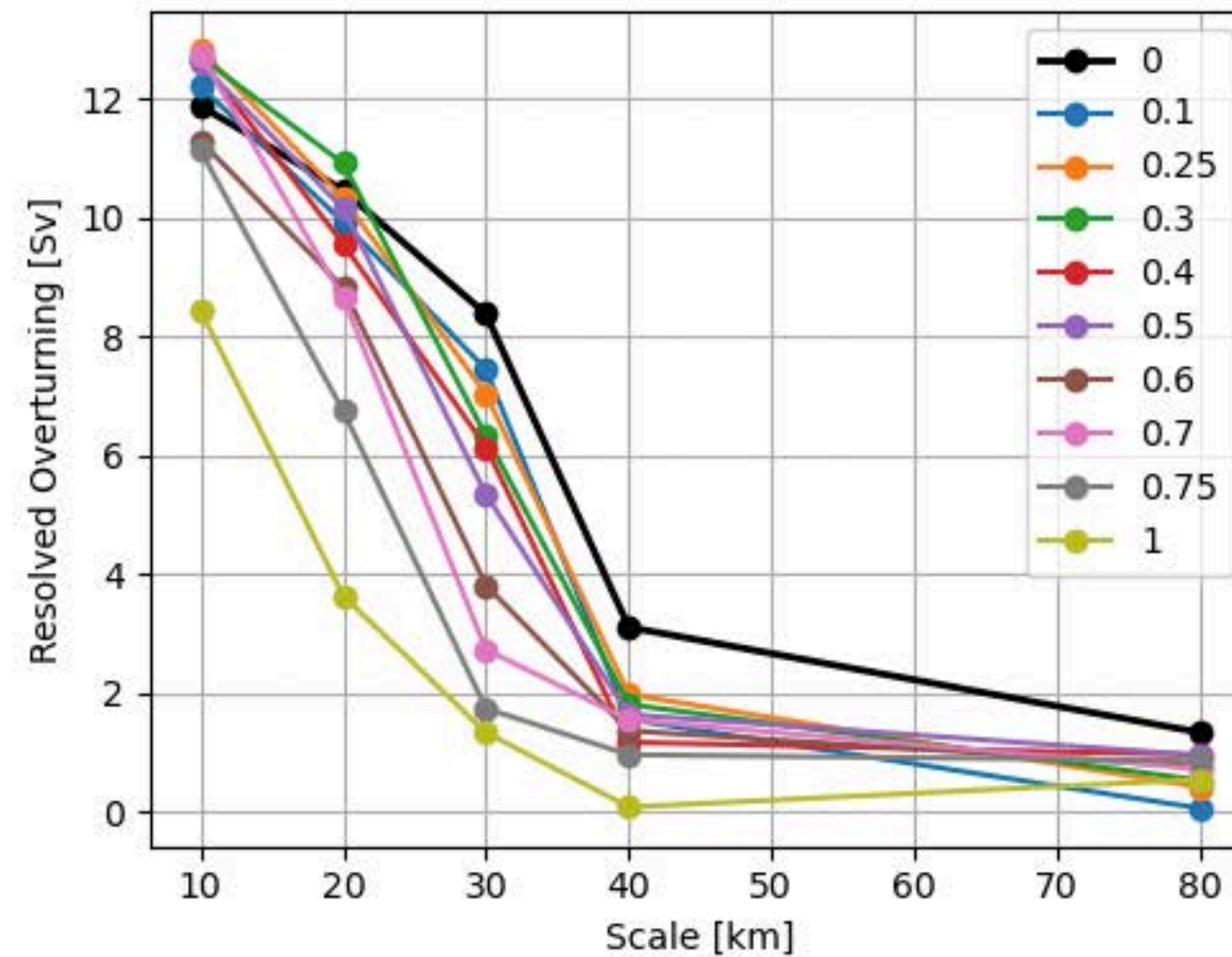


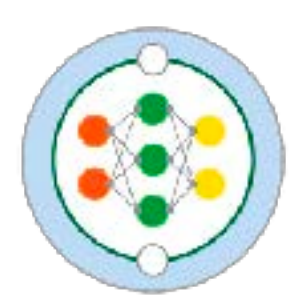
Some online evaluation - Bulk

ML parameterization increases overturning.



- Parameterized flow changes ~non-linearly with tuning coefficient.
- Response of resolved flow to tuning coefficient is
 - Resolution dependent
 - Non-linear and non monotonic
- Resolved eddies are damped, but not as bad as if we used Gent-McWilliams.



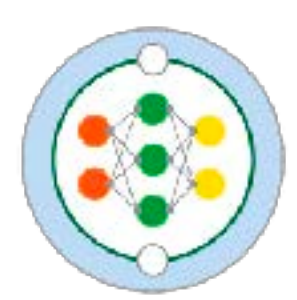


Conclusions

- Developed a parameterization based on ML and intuition/physics.
- Behavior of the function, and the response of the system to it, need to be studied empirically.
- Response of the resolved flow is stable and favorable (less dissipative than GM), but tuning is required.
- Some generalization when some eddies are resolved, but not for the case non-eddying resolutions.

Future Work

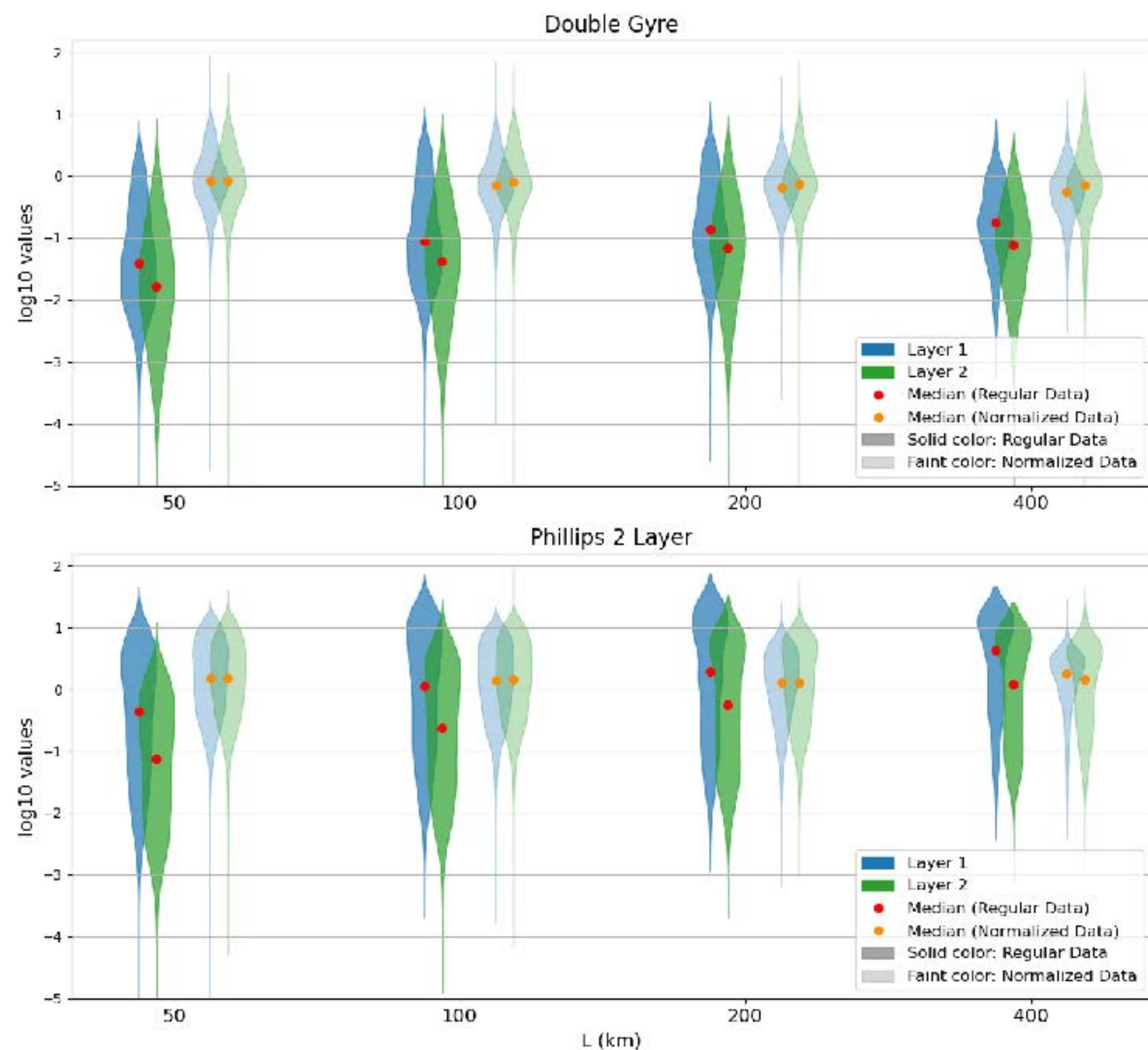
- Does training on larger/more diverse datasets help generalization?
- Merging thickness and momentum parameterizations (**Kelsey Everard next talk!**)
- How to ensure that ML model learn bulk response (e.g. APE reduction) to bulk parameters (R_d , f , β , etc)?
- Discretization/Numerics? Theory? ...



End



ML Parameterization design

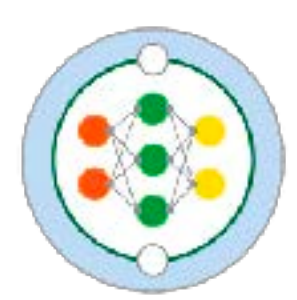


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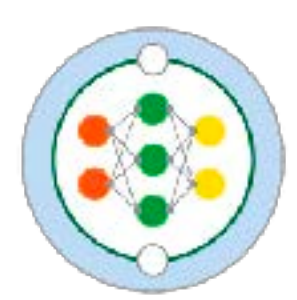
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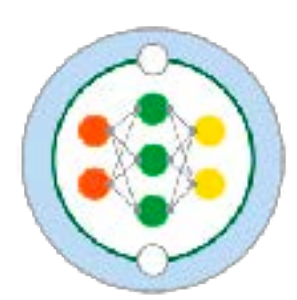
Does velocity grad magnitude scale with sub-grid KE?

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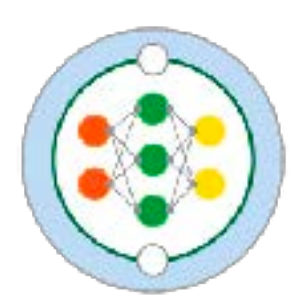
Dataset design aspects

- GCM filters for spatial filtering
- FGR ~ 5 (maybe this helps with learning)
-



ML Design Aspects

- Sub-sample the grid to keep data uniform.
- Architecture:
 - Simple ANN, JAX, FLAX
 - 2 layers
 - N neurons per layer.
- Optimizer:
 -



Topography or interfaces

-